

2013-
2014

MEMORANDUM OF UNDERSTANDING
Between Ministry of Railways
and
Dedicated Freight Corridor Corporation of
India Limited

MoU 2013-14
Approved by DPE/TF
Sawatzje
Signed 13/02/14

Regd. Office: Room No. 101 A, Rail Bhawan, Raisina Road,
New Delhi-110001

Corp. Office: 5th Floor, Pragati Maidan Metro Station Building Complex,
New Delhi-110001





MOU (2013-2014)

VISION

To create a partnership with IR for retaining and expanding the market share of rail through efficient and reliable service with customer focus.

MISSION

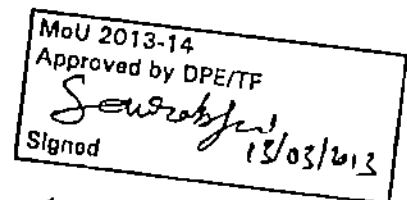
As the dedicated agency to make the vision into reality, DFCCIL's mission is

- 1) To build a corridor with appropriate technology that enables Indian Railways to regain its market share of freight transport by creating additional capacity and guaranteeing efficient, reliable, safe and cheaper options for mobility to its customers.*
- 2) To support the Government's initiatives towards ecological sustainability by encouraging users to adopt Railways as the most environment friendly mode for their transport requirements.*

OBJECTIVES

The main objectives of DFCCIL are:

- 1. Project Delivery :** To construct the dedicated freight corridor network to the highest quality standards, within the budgeted timelines and costs.*





MOU (2013-2014)

2. Operation and Maintenance

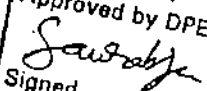
- i) *Additional line Capacity : To make additional line capacity available to IR for running freight trains and assure safe and reliable train operations.*
- ii) *Reduction in cost of operations : Achieve significant reduction in the cost of operations by adopting international best practices including long haul/heavy haul operations.*

COMMITMENTS/ASSISTANCE FROM THE GOVERNMENT

- (i) *Timely release of adequate funds for execution of the projects.*
- (ii) *Continuance of full assistance by the Ministry of Railways in deputing Indian Railway Officials on tenure basis to work in DFCC.*


Secretary Railway Board
Ministry of Railways
15/03/12


Managing Director
DFCCIL
15/3

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Signed
15/03/2012

MOU Assessment Format for CPSE under Construction for the year (2013-2014)

| Evaluation Criteria | | Unit | Weight (In %) | Excellent | V. Good | Good | Fair | Poor |
|---------------------|--|------|---------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
| 1 | Project Related Parameters | | | | | | | |
| 1.1 | Land Acquisition (20F) of revised alignment of Hapur, Meerut Detour (APL-3) (Scope - 80 Ha) | % Ha | 1 | 95% (Cumulative) (72 Ha) | 90% (Cumulative) (68 Ha) | 85% (Cumulative) (64 Ha) | 80% (Cumulative) (60 Ha) | 75% (Cumulative) (56 Ha) |
| 1.2 | Land acquisition (20F) of Sonnagar – Dankuni Section (PPP Project) of EDFC (Scope - 1000 Ha) | % Ha | 2 | 40% (Cumulative) (400 Ha) | 35% (Cumulative) (350 Ha) | 30% (Cumulative) (300 Ha) | 25% (Cumulative) (250 Ha) | 20% (Cumulative) (200 Ha) |
| 1.3 | Mutation/Resumption of acquired land of Sonnagar - Ludhlana Section (3805 Ha) | % Ha | 2 | 70% (2283 Ha) | 65% (2093 Ha) | 60% (1902 Ha) | 55% (1712 Ha) | 50% (1552 Ha) |
| 1.4 | Handing over & Taking over of acquired land of Sonnagar Ludhlana Section (3805 Ha) with Zonal Railways | % Ha | 2 | 70% (2283 Ha) | 65% (2093 Ha) | 60% (1902 Ha) | 55% (1712 Ha) | 50% (1552 Ha) |
| 1.5 | Financial progress of Civil contract for Khurja – Kanpur section (APL-1- EDFC) | | 2 | 25% (Cumulative) | 20% (Cumulative) | 15% (Cumulative) | 10% (Cumulative) | 5% (Cumulative) |

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MOU Assessment Format for CPSE under Construction for the year (2013-2014)

| Evaluation Criteria | | | | Excellent | V. Good | Good | Fair | Poor |
|---------------------|--|------|---------------|---|---|--|--|--|
| | | Unit | Weight (In %) | | | | | |
| 1.6 | Award of system contract for Khurja – Kanpur section (APL-1 - EDFC) | | 1 | Opening of financial bids, evaluation and submission to World Bank for NOC | Technical evaluation and NOC from World Bank | Opening of technical bids | Launching of Bid documents and pre-bid conference | Approval of Bid document |
| 1.7 | Project appraisal by World Bank and approval of PAD for APL-2 | | 1 | Approval of PAD | Project appraisal | Project negotiation | Finalization of various project parameters | - |
| 1.8 | Pre qualification of civil contract Mughalsaral – Kanpur section (APL-2-EDFC) | | 1 | Finalization of PQ Evaluation, NOC from World Bank and publication of PQ applicant list | Receipt of PQ application | Issue of PQ Documents | Submission of PQ document to World bank and Receipt of NOC from World Bank | Finalization of PQ document by DFCCIL |
| 1.9 | Award of Civil Contract for APL-2 | | 1.5 | Technical evaluation by DFCCIL and submission to World Bank for NOC | Opening of Technical bid and evaluation of technical proposal by GC | Pre-Bid conference | Launching of Bid Document | Submission of Bid document to World Bank and NOC from World Bank |
| 1.10 | Pre qualification for system contract for Mughalsaral-Kanpur section (APL-2- EDFC) | | 1 | PQ finalization after NOC from World Bank | PQ evaluation, finalization and submission to World Bank | Issue of PQ document and pre-submission conference | PQ document clearance by World Bank | PQ document finalization by DFCCIL |
| 1.11 | Award of system contract for Kanpur – Mughalsarai section APL-2 (EDFC) | | 1 | NOC from World Bank and launching of bid | Finalization of Bid document by DFCCIL | Preparation of Bid document by GC | Finalisation of Technical specification | Collection of field data |

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MOU Assessment Format for CPSE under Construction for the year (2013-2014)

| Evaluation Criteria | | Unit | Weight (In %) | Excellent | V. Good | Good | Fair | Poor |
|---------------------|---|--------|---------------|---|--|--|---------------------|--|
| 1.12 | Award of civil contract for balance portion of Durgawati – Mughalsarai section. | | 1 | Finalization of Contract and 10% Progress | Opening and Evaluation of financial proposal | Opening and Evaluation of Technical proposal | Pre-Bid conference | Approval and launching of bid document |
| 1.13 | Award of Son Bridge contract | | 1.5 | Finalization of Contract and 10% Progress | Opening and Evaluation of financial proposal | Opening and Evaluation of Technical proposal | Pre-Bid conference | Approval and launching of bid document |
| 1.14 | Balance (10%) work of Durgawati – Karwandi section (66 Kms) | | 2 | 98% (Cumulative) | 95% (Cumulative) | 94% (Cumulative) | 92% (Cumulative) | 91% (Cumulative) |
| 1.15 | Progress of track work contract for Durgawati – Karwandi section (66 Kms) | | 2 | 85% (Cumulative) | 80% (Cumulative) | 75% (Cumulative) | 70% (Cumulative) | 65% (Cumulative) |
| 1.16 | Progress of system contract for Durgawati – Karwandi section (66 Kms – Phase - I) | | 1 | 80% (Cumulative) | 60% (Cumulative) | 50% (Cumulative) | 40% (Cumulative) | 30% (Cumulative) |
| 1.17 | Alignment finalization of Koderma Detour in Sonnagar – Dankuni Section | | 1 | 30.11.2013 | 31.12.2013 | 31.01.2014 | 28.02.2014 | 31.03.2014 |
| 1.18 | LAND ACQUISITION (WDFC)Phase – 1 | | | | | | | |
| 1.18 (a) | Balance land Acquisition (180 Ha) – Issue of 20F. | % (Ha) | 1 | 95% | 85% | 80% | 70% | 60% |
| 1.18 (b) | Mutation/resumption of acquired land (3608 Ha). | % (Ha) | 1 | 70% | 65% | 60% | 55% | 50% |

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MOU Assessment Format for CPSE under Construction for the year (2013-2014)

| Evaluation Criteria | | | | Excellent | V. Good | Good | Fair | Poor |
|---------------------|---|--------|---------------|---------------------|--|--|---------------|---|
| | | Unit | Weight (In %) | | | | | |
| .18 (c) | Handing over and taking over of acquired land to IR. (3608 Ha). | % (Ha) | 1 | 70% | 65% | 60% | 55% | 50% |
| 1.19 | LAND ACQUISITION (WDFC)Phase – 2 | | | | | | | |
| .19 (a) | Balance land Acquisition (630 Ha) – Issue of 20F. | % (Ha) | 2 | 85% | 80% | 75% | 70% | 65% |
| .19 (b) | Mutation/resumption of acquired land (2252 Ha). | % (Ha) | 1 | 70% | 65% | 60% | 55% | 50% |
| .19 (c) | Handing over and taking over of acquired land to IR. (2252 Ha). | % (Ha) | 2 | 70% | 65% | 60% | 55% | 50% |
| 1.20 | Signing of Contract for package 1 & 2 WDFC-Phase 1 | | 2 | Signing of Contract | Acceptance of Letter of Acceptance by the Contractor | Issue of Letter of Acceptance to Eligible Contractor | NOC from JICA | Approval of tender by accepting authority |
| 1.21 | Award of Civil contract for WDFC | | | | | | | |
| 1.21.1 | Award of Civil contract for WDFC Package 3A. | | 1 | Signing of Contract | Acceptance of LOA by the Contractor | Issue of Letter of Acceptance to Eligible Contractor | NOC from JICA | Submission of proposal to JICA |
| 1.21.2 | Award of Civil contract for WDFC Package 3 (Bridges). | | 1 | Signing of Contract | Acceptance of LOA by the Contractor | Issue of Letter of Acceptance to Eligible Contractor | NOC from JICA | Submission of proposal to JICA |

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| Evaluation Criteria | | Unit | Weight (In %) | Excellent | V. Good | Good | Fair | Poor |
|---------------------|--|---------------|---------------|-----------------------------------|-------------------------------|---|------------------------------------|-----------------------|
| 1.22 | Signing of Contract for Civil packages WDFC -Phase 2 | | | | | | | |
| .22 (a) | Package -15A, 15B & 15 C | | 1 | Signing of Contract | Issue of Letter of Acceptance | NOC from JICA | Pre-Bid query | Issue of Bld Document |
| .22 (b) | PACKAGE -12 & 13 | | 1 | Signing of Contract | Issue of Letter of Acceptance | NOC from JICA | Pre-Bid query | Issue of Bld Document |
| .22 (c) | Issue of Letter of Acceptance Package 11 & 14 | | 1 | Issue of Letter of Acceptance | NOC from JICA | Financial Evaluation and negotiation | Technical Evaluation | Bld opening |
| 1.23 | Award of System (Electrical) Contract for W-DFC Phase-1 (Package 4) | | 1.5 | Signing of the Contract Agreement | Contract Negotiations | Compliance of Financial Evaluations & NOC from JICA | Completion of Technical Evaluation | NOC from JICA |
| 1.24 | Award of Systems (S&T) Contract for WDFC phase-1 (Package 5) | | 1.5 | Signing of the Contract Agreement | Contract Negotiations | Compliance of Financial Evaluations & NOC from JICA | Completion of Technical Evaluation | NOC from JICA |
| 1.25 | Design & Construction of major/important bridges between Vaitarna and Uran of Western Corridor.(Total scope for 2013-2014 is 35 bridges) | Number | | | | | | |
| .25 (a) | No. of 100% completed bridges. (LA+Design/drawings+foundation+ substructure +superstructure +approach embankment) | | 1 | 14 | 13 | 12 | 11 | 10 |

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| Evaluation Criteria | | Unit | Weight (In %) | Excellent | V. Good | Good | Fair | Poor |
|---------------------------------------|---|------|---------------|-------------------------------|---|--|---|---|
| .25 (b) | No. of 80% completed bridges. (LA+Design/drawings+foundation+ substructure +superstructure) | | 1 | 7 | 6 | 5 | 4 | 3 |
| .25 (c) | No. of 60% completed bridges. (LA+Design/drawings+ foundation+ substructure) | | 1 | 8 | 7 | 6 | 5 | 4 |
| .25 (d) | No. of 40% completed bridges. (LA+Design/drawings+ foundation) | | 1 | 6 | 5 | 4 | 3 | 2 |
| New Parameters of WDFC-Phase-2 | | | | | | | | |
| 1.26 | Award of S & T Contract for WDFC-Phase-2 (Package -17) | | 2 | Issue of Letter of Acceptance | Compliance of Financial Evaluations & NOC from JICA | Completion of Technical Evaluation & NOC from JICA | Pre Bid Conference and Issue of Clarification and Receipt of offers | NOC from JICA and Launching of Bid Document |
| 1.27 | Award of Electrical Contract for WDFC-Phase-2 (Package -16) | | 2 | Issue of Letter of Acceptance | Compliance of Financial Evaluations & NOC from JICA | Completion of Technical Evaluation & NOC from JICA | Pre Bid Conference and Issue of Clarification and Receipt of offers | NOC from JICA and Launching of Bid Document |
| Total of 1.1 to 1.27 | | | 50 | | | | | |

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Saurabh 13/03/2013
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MOU Assessment Format for CPSE under Construction for the year (2013-2014)

| Evaluation Criteria | | Unit | Weight (In %) | Excellent | V. Good | Good | Fair | Poor |
|---------------------|--|----------------------|---------------|---|---|--|---|--|
| 2. | Dynamic Parameters | | | | | | | |
| 2.1 | Review of Corporate Plan | T/Date | 2 | 15.08.2013 | 31.08.2013 | 15.09.2013 | 30.09.2013 | 15.10.2013 |
| 2.2 | Project Implementation | | | | | | | |
| 2.2.1 | Submission of Project Implementation Plan - EDFC | T/Date | 2.5 | 31.08.2013 | 30.09.2013 | 31.10.2013 | 30.11.2013 | 31.12.2013 |
| 2.2.2 | Submission of Project Implementation Plan - WDFC | T/Date | 2.5 | 31.08.2013 | 30.09.2013 | 31.10.2013 | 30.11.2013 | 31.12.2013 |
| 2.3 | Corporate Social Responsibility | | | | | | | |
| 2.3.1 | Spreading Computer Literacy in the project affected Rural areas. | No. of Schools | 2 | 10 | 8 | 6 | 4 | 2 |
| 2.3.2 | Providing vocational training. | Mandays | 2 | 100 | 80 | 60 | 40 | 20 |
| 2.3.3 | Amount to be spent on CSR till 31.03.2014 | Amount in Rs. (Lacs) | 1 | 18 | 16 | 14 | 12 | 10 |
| 2.4 | Sustainable Development | | | | | | | |
| 2.4.1 | Wild Life Clearance of Sanjay Gandhi National Park. | | 1 | Issue of Judgment by green bench of Hon'ble Supreme Court | Completion of hearing of green bench of Supreme Court | Submission of Central Empowered Committee's report before green bench of Hon'ble Supreme Court | Completion of hearing of Central Empowered Committee constituted by Hon'ble Supreme Court | Filing of Proposal before green bench of Hon'ble Supreme Court |

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MOU Assessment Format for CPSE under Construction for the year (2013-2014)

| Evaluation Criteria | | | | Excellent | V. Good | Good | Fair | Poor |
|---------------------|--|------------|---------------|------------------------------|--------------------------|------------------------|----------------------------|-----------------------------|
| | | Unit | Weight (In %) | | | | | |
| 2.4.2 | Educating Project Affected People(PAPs)regarding Grievance Redressal Mechanism for WDFC Phase1(920 Km) | Km | 1 | 70% | 65% | 60% | 55% | 50% |
| 2.4.3 | Educating PAPs regarding Grievance Redressal Mechanism for WDFC Phase-2 (550 Km) | Km | 1 | 70% | 65% | 60% | 55% | 50% |
| 2.5 | Human Resource Management | | | | | | | |
| 2.5.1 | Deputation and Extension. | Nos. | 1 | 60 | 40 | 30 | 20 | 10 |
| 2.5.2 | Training | Mandays | 2 | 100 | 90 | 80 | 70 | 60 |
| 2.5.3 | Recruitment/Absorption | Nos. | 2 | 100 | 80 | 60 | 40 | 25 |
| 2.5.4 | Formal Risk Management Training Course To Senior Management Personnel | Mandays | 2 | 50 | 40 | 30 | 20 | 10 |
| 2.6 | Research Development | | | | | | | |
| 2.6.1 | Proving trials of Traction Innovation System In one of the 3 Phase Locomotives –Phase-2 (Estimated cost Is Rs. 10 Lacs) | T/Date | 2 | 30.09.2013 | 31.10.2013 | 30.11.2013 | 31.12.2013 | 31.01.2014 |
| 2.6.2 | Development of Safety System as a result of Traction Innovation System In Conventional Locomotives –phase3 (Estimated Cost Is Rs. 10 Lacs) | T/Date | 2 | 31.01.2014 | 15.02.2014 | 28.02.2014 | 15.03.2014 | 31.03.2014 |
| 2.6.3 | Amount to be spent (31.03.2014) | Rs in Lacs | 1 | 6 | 5 | 4 | 3 | 2 |
| 2.7 | Other Parameters | | | | | | | |
| 2.7.1 | JICA Assessment for Loan agreement for 2 nd tranche for WDFC Phase-I | | 1 | Completion of JICA Appraisal | Initiation of Request to | Completion of Internal | Documentary evidence to be | Preparation of proposal and |

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 Approved by DPET
Sudhakar 13/03/2013
 Signed

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J. K. Singh
R. S.

MOU Assessment Format for CPSE under Construction for the year (2013-2014)

| Evaluation Criteria | | Unit | Weight (in %) | Excellent | V. Good | Good | Fair | Poor |
|--------------------------------|---|-------------------------------------|---------------|------------|---|---|--|------------------------------|
| | | | | | MoR seeking 2 nd tranche of JICA funding | assessment for additional funds requirements and timeline there off | submitted by the concerned functional head | submission to Railway Board. |
| 2.7.2 | Submission of preliminary plan for Risk Mitigation and Management. | T/Date | 1 | 31.12.2013 | 31.01.2014 | 28.02.2014 | 15.03.2014 | 31.03.2014 |
| 2.7.3 | Finalisation of draft General Rules (GR) & Subsidiary Rules (SR) by DFCCIL. | Finalization of rule book T/Date | 1 | 31.08.2013 | 15.09.2013 | 30.09.2013 | 15.10.2013 | 31.10.2013 |
| Total of (2.1) to (2.7) | | | 30 | | | | | |

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MOU Assessment Format for CPSE under Construction for the year (2013-2014)

| | Evaluation Criteria | Unit | Weight (in %) | Excellent | V. Good | Good | Fair | Poor |
|-----------|--|-------------------------------------|---------------|------------|------------|------------|------------|------------|
| 3 | Sector Specific Variables | | | | | | | |
| 3.1 | Traffic study projection for freight traffic for East Coast & Southern Corridor. | T/Date | 3 | 31.01.2014 | 15.02.2014 | 28.02.2014 | 15.03.2014 | 31.03.2014 |
| 3.2 | Preliminary Engineering & Technical survey for future corridor for East Coast & Southern Corridor- Field Data Collection | T/Date | 3 | 31.01.2014 | 15.02.2014 | 28.02.2014 | 15.03.2014 | 31.03.2014 |
| 3.3 | Studies of Heavy Haul operations – Institutional strengthening of DFCCIL. (HHPDISM) | Submission of Interim Report T/Date | 2 | 31.01.2014 | 15.02.2014 | 28.02.2014 | 15.03.2014 | 31.03.2014 |
| 3.4 | Studies of Heavy Haul Operations – Marketing and Commercial Strategies for Heavy Haul Rail Transport In India. (HHPDMCS) | Award of contract T/Date | 1 | 31.01.2014 | 15.02.2014 | 28.02.2014 | 15.03.2014 | 31.03.2014 |
| 3.5 | Finalisation of Standards and technical specifications for PPP Project | T/Date | 1 | 30.08.2013 | 15.09.2013 | 30.09.2013 | 15.10.2013 | 31.10.2013 |
| 4. | Enterprise Specific Variables. | | | | | | | |
| 4.1 | Implementation of HR & Finance Modules | T/Date | 2.5 | 30.07.2013 | 31.10.2013 | 31.01.2014 | 28.02.2014 | 31.03.2014 |
| 4.2 | Implementation of Project Modules of ERP | T/Date | 2.5 | 30.09.2013 | 31.10.2013 | 30.11.2013 | 31.12.2013 | 31.01.2014 |
| 4.3 | Change Management Workshops | Nos. | 2 | 5 | 4 | 3 | 2 | 1 |
| 4.4 | Implementation of GIS | T/Date | 1 | 31.12.2013 | 31.01.2014 | 28.02.2014 | 15.03.2014 | 31.03.2014 |

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MOU Assessment Format for CPSE under Construction for the year (2013-2014)

| Evaluation Criteria | | | | Excellent | V. Good | Good | Fair | Poor |
|------------------------|---|--------|---------------|------------|------------|------------|------------|------------|
| | | Unit | Weight (in %) | | | | | |
| 4.5 | Finalization of Quality Manual for DFCC | T/Date | 1 | 30.08.2013 | 15.09.2013 | 30.09.2013 | 15.10.2013 | 31.10.2013 |
| 4.6 | Finalisation of Budget Manual | T/Date | 1 | 30.06.2013 | 15.07.2013 | 31.07.2013 | 15.08.2013 | 31.08.2013 |
| Total (1+2+3+4) | | | 100 | | | | | |

Foot Note:

1. Non Compliance of Corporate Governance will also be penalized by way of negative marking and the MoU score will be increased in the following manner in accordance with DPE OM 18/(8)/2005-GM dated 22nd June 2011 .

| S.No | Annual Score | Grading | Penalty mark | Difference in score from 'Excellent Grade' |
|------|---------------|-----------|--------------|--|
| 01 | 85% and Above | Excellent | 0 | 0.00 |
| 02 | 75% -84% | Very Good | 0 | 0.00 |
| 03 | 60% -74% | Good | 0.5 | 0.02 |
| 04 | 50% -59% | Poor | 0.5 | 0.02 |
| 05 | Below 50% | Fair | 1.0 | 0.04 |

If a CPSE fails to submit the self evaluation report in the format enclosed with the OM, its grading will be treated as Poor and score will be inflated accordingly.

2. CPSEs have to give a Certificate regarding Implementation of Guidelines issued by DPE as per OM No. DPE/14(38)/10-Fin dated 28th June 2011 and also a certificate from their auditors/Chartered Accountant in Practice. Non Compliance of DPE guidelines determined on the basis of certificate submitted will be penalized up to 1 mark at the discretion of Task Force at the time of MoU Evaluation.
3. The Basic Target will be "Good" for financial year 2013-2014.


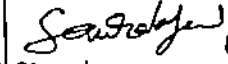
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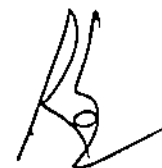
MoU 2013-14
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Saurabh 15/03/2013
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4. The documentary evidence for Project related parameters would be certification by the Concerned Functional/ Departmental Head. The Means of verification/Documentary Evidences for the non-financial items would be as detailed below.

| 2. | Dynamic Parameters | Documentary Evidence |
|-------|---|--|
| 2.1 | Review of Corporate Plan | A copy of revised Corporate Plan. |
| 2.2 | Project Implementation | |
| 2.2.1 | Submission of Project Implementation Plan -EDFC | Submission of PERT Chart and project implementation Strategy. |
| 2.2.2 | Submission of Project Implementation Plan -WDFC | Submission of PERT Chart and project Implementation Strategy. |
| 2.3 | Corporate Social Responsibility | |
| 2.3.1 | Spreading Computer Literacy in the project affected Rural areas. | A certification from the concerned functional head along with the Acknowledgment of the concerned school (an External agency). |
| 2.3.2 | Providing vocational training. | A certification from the concerned functional head along with the Certificate from the training agency (an External agency). |
| 2.3.3 | Amount to be spent on CSR till 31.03.2014 | A certification from the concerned functional head. |
| 2.4 | Sustainable Development | |
| 2.4.1 | Wild Life Clearance of Sanjay Gandhi National Park | Relevant Communication of the concerned authority. |
| 2.4.2 | Educating Project Affected People(PAPs)regarding Grievance Redressal Mechanism for WDFC-Phase1(920 Km) | Progress report to JICA |
| 2.4.3 | Educating PAPs regarding Grievance Redressal Mechanism for WDFC Phase-2 (550 Km) | Progress report to JICA |


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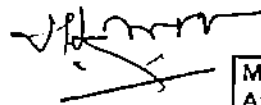
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|------------|---|---|
| 2.5 | Human Resource Management | |
| 2.5.1 | Deputation and Extension. | A letter from the HR department indicating the no. of Deputation and extension granted. |
| 2.5.2 | Training | A certification along with the list by the training section of HR Department about the successful completion of the training by the trainees. |
| 2.5.3 | Recruitment/Absorption | A copy of the result announced in case of open market recruitment/immediate absorption and list of details of the deputationist permanently absorbed in the Company under the certification from the HR department. |
| 2.5.4 | Formal Risk Management Training Course To Senior Management Personnel | A copy of the certificate/letter from reputed training organization. |
| 2.6 | Research Development | |
| 2.6.1 | Proving trials of Traction Innovation System in one of the 3 Phase Locomotives –Phase-2 (Estimated cost is Rs. 10 Lacs) | A copy of the trial report |
| 2.6.2 | Development of Safety System as a result of Traction Innovation System In Conventional Locomotives –Phase-3 (Estimated Cost is Rs. 10 Lacs) | A copy of the report |
| 2.6.3 | Amount to be spent (31.03.2014) | A Certification from the concerned |
| 2.7 | Other Parameters | |
| 2.7.1 | JICA Assessment for Loan agreement for 2 nd tranche for WDFC Phase-I | A copy of covering letter of JICA |
| 2.7.2 | Submission of preliminary plan for Risk Mitigation and Management. | Preliminary Risk Management and Mitigation Plan |
| 2.7.3 | Finalisation of draft General Rules (GR) & Subsidiary Rules (SR) by DFCCIL. | A copy of draft Rules. |



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Sawadhye 15/03/2013
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| 3 | Sector Specific Variables | |
| 3.1 | Traffic study projection for freight traffic for East Coast & Southern Corridor. | A copy of the Traffic Study Projection for freight traffic. |
| 3.2 | Preliminary Engineering & Technical survey for future corridor for East Coast & Southern Corridor-Field Data Collection | A copy of the certificate from RITES under the certification by the concerned functional head. |
| 3.3 | Studies of Heavy Haul operations – Institutional strengthening of DFCCIL. (HHPDISM) | A copy of the Interim Report. |
| 3.4 | Studies of Heavy Haul Operations – Marketing and Commercial Strategies for Heavy Haul Rail Transport in India. (HHPDMCS) | A copy of award of contract. |
| 3.5 | Finalisation of Standards and technical specifications for PPP Project | A copy of report |
| 4. | Enterprise Specific Variables. | |
| 4.1 | Implementation of HR & Finance Modules | Users Acceptance Test Reports |
| 4.2 | Implementation of Project Modules of ERP | Users Acceptance Test Reports |
| 4.3 | Change Management Workshops | Execution Reports by System Administrator. |
| 4.4 | Implementation of GIS | Users Acceptance Test Reports |
| 4.5 | Finalization of Quality Manual for DFCC | A copy of the Manual |
| 4.6 | Finalization of Budget Manual | A copy of the Manual |



MoU 2013-14
Approved by DPE/TF
Sawarkar 13/05/2013
Signed 14

