

2012-  
2013

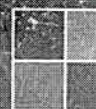
# MEMORANDUM OF UNDERSTANDING

Between Ministry of Railways  
and  
Dedicated Freight Corridor Corporation of  
India Limited

MOU 2012-13  
Approved by DFE/RF  
And 15/5/12

DEDICATED FREIGHT CORRIDOR  
CORPORATION OF INDIA LIMITED

2012-2013







डेडीकेटेड फ्रेट कोरीडोर कॉर्पोरेशन  
MOU (2012-2013)

## MISSION

*As the dedicated agency to make the vision into reality, DFCCIL's mission is*

- 1) To build a corridor with appropriate technology that enables Indian Railways to regain its market share of freight transport by creating additional capacity and guaranteeing efficient, reliable, safe and cheaper options for mobility to its customers.*
- 2) To support the Government's initiatives towards ecological sustainability by encouraging users to adopt Railways as the most environment friendly mode for their transport requirements.*

## OBJECTIVES

*The main objectives of DFCCIL are*

- 1. Project Delivery :** *To construct the dedicated freight corridor network to the highest quality standards, within the budgeted timelines and costs.*





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MOU (2012-2013)

## **2. Operation and Maintenance**

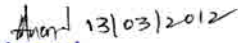
- i) *Additional line Capacity : To make additional line capacity available to IR for running freight trains and assure safe and reliable train operations.*
- ii) *Reduction in cost of operations : Achieve significant reduction in the cost of operations by adopting international best practices including long haul/heavy haul operations.*

## **COMMITMENTS/ASSISTANCE FROM THE GOVERNMENT**

- (i) *Timely release of adequate funds for execution of the projects.*
- (ii) *Continuance of full assistance by the Ministry of Railways in deputing Indian Railway Officials on tenure basis to work in DFCC.*

  
V.K. Gupta  
Secretary Railway Board  
Ministry of Railways

  
R.K. Gupta  
Managing Director  
DFCCIL

MoU 2012-13  
Approved by DPE/TF  
  
Signed

MOU Assessment Format for CPSE under Construction for the year (2012-2013)							
Evaluation Criteria	Unit	Weight (in %)	Excellent	V. Good	Good	Fair	Poor
<b>1 Project Related Parameters</b>							
1.1 Completion of land acquisition over Khurja-Kanpur Section (including revised alignment of 72 Km Tundla detour) - <b>(1320 Hectare)</b>	% (Ha)	2	90% (1188 Ha)	85% (1122 Ha)	80% (1056 Ha)	70% (924 Ha)	60% (792 Ha)
1.2 80% of total land acquisition of Mugalsarai-Kanpur Section (APL2)- <b>(1120 Ha)</b>	% (Ha)	2	90% (1008 Ha)	85% (952 Ha)	80% (896 Ha)	70% (784 Ha)	60% (672 Ha)
1.3 80% of total land acquisition of Khurja-Ludhiana Section (APL 3, including Khurja-Dadri Section) - <b>(642 Ha)</b>	% (Ha)	1	90% (578 Ha)	85% (546 Ha)	80% (514 Ha)	70% (449 Ha)	60% (385 Ha)
1.4 Total land acquisition over WDFC Phase-I (Package 1, 2 & 3) - <b>(3608 Ha)</b>	% (Ha)	2	100% (3608 Ha)	95% (3428 Ha)	90% (3247 Ha)	80% (2886 Ha)	70% (2526 Ha)
1.5 Land acquisition for Western DFC phase II- <b>(1801 Ha)</b>	% (Ha)	2	100% (1801 Ha)	90% (1621 Ha)	80% (1441 Ha)	70% (1261 Ha)	60% (1081 Ha)
1.6 Award of civil contract for package-1 WDFC – Phase-1		3	Issue of LOA (Letter of Acceptance)	Contract Negotiations	Completion of financial evaluation and NOC from JICA	Completion of technical evaluation	Pre bid conference & issue of clarifications & receipt of offers
1.7 Award of civil contract for package-2 WDFC – Phase-1		3	Issue of LOA (Letter of Acceptance)	Contract Negotiations	Completion of financial evaluation and NOC from JICA	Completion of technical evaluation	Pre bid conference & issue of clarifications & receipt of offers
1.8 Award of civil contract for Khurja-Kanpur Section (EDFC)		3	5% (Progress) (In terms of financial progress)	Signing of contract agreement	Award of contract	Evaluation of financial offer	NOC from World Bank on Technical evaluation
1.9 Pre-qualification for system contract for Khurja-Kanpur section for EDFC		2	Finalisation of PQ documents	Obtaining NOC from World Bank	Finalisation of PQ evaluation by DFCCIL	Evaluation of PQ application	Receipt of PQ application
1.10 Pre-qualification for Civil contract for WDFC Package 3A & 3B.		2	Issue of Bid Document	PQ Evaluation & NOC from JICA	Pre submission conference and receipt of others	Invitation of applications for pre qualification	Finalization of PQ document

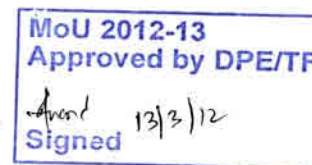


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And  
Signed 13/3/12

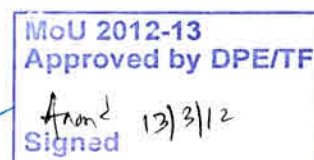




MOU Assessment Format for CPSE under Construction for the year (2012-2013)							
Evaluation Criteria	Unit	Weight (in %)	Excellent	V. Good	Good	Fair	Poor
1.11 Award of Civil Contract Packages for WDFC phase-2		2	Finalisation of PQ	Receipt of applications	Issue of clarifications	Pre submission conference	Finalization of PQ document
1.12 Award of Systems Contract for W-DFC Phase-1 (Package 4)		2	Clearance of Bid document by JICA	Approval of Bid Document by DFCCIL	Finalisation of bid document by GC	Receipt of PQ Application	Approval of PQ document by DFCCIL
1.13 Award of Systems Contract for W-DFC phase-1 (Package 5)		2	Clearance of Bid document by JICA	Approval of Bid Document by DFCCIL	Finalisation of bid document by GC	Receipt of PQ Application	Approval of PQ document by DFCCIL
1.14 Award for system contract for Khurja-Kanpur section for EDFC		2	Pre Bid Conference	Launching of Bid & Pre Bid conference	Clearance of Bid Document by World Bank	Approval of Bid Document by DFCC	Finalisation of Bid Document by GC
1.15 Prequalification for Civil Contract Mughalsarai-Kanpur Section (APL2)		2	Finalization of PQ Evaluation, NOC from World Bank and publication of PQ applicant list	Receipt of PQ application	Issue of PQ Document	Submission of PQ to World Bank and Receipt of NOC from World Bank	Finalisation of PQ document by DFCCIL
1.16 Award of Civil Contract for APL 2		3	Pre Bid Conference	NOC from World Bank and launching of Bid document	Submission of bid document to World Bank	Approval of Bid document by DFCCIL	Finalization of Bid document by GC
1.17 Pre-qualification for system contract for Mughalsarai-Kanpur Section (APL 2)		2	Receipt of PQ applications	Approval of PQ document by DFCCIL	Finalisation of PQ document by GC	Preparation of PQ document by GC	Preparation of Eligibility Criteria
1.18 Pre-qualification for Civil Contract for Khurja-Ludhiana section (APL 3)		2	Receipt of PQ applications	Approval of PQ document by DFCCIL	Finalisation of PQ document by GC	Preparation of PQ document by GC	Preparation of Eligibility Criteria
1.19 Completion of Civil work in Mughalsarai - Sonnagar Section 66km (Net of Chandauli detour)	%	3	90%	85%	80%	70%	60%


MOU Assessment Format for CPSE under Construction for the year (2012-2013)							
Evaluation Criteria	Unit	Weight (in %)	Excellent	V. Good	Good	Fair	Poor
1.20 Award of Track work contract for Mugalsarai-Sonnagar section 66km (Net of Chanduali detour)		3	20% progress as per Contract	Award of Contract	Pre-bid conference and receipt of Tech & financial offer	Launching of Bid Document for track work	Publication of PQ result
1.21 Award of system contract for Mugalsarai-Sonnagar section 66km (Net of Chanduali detour)		2	20% progress as per Contract	Award of Contract	Pre-bid conference and receipt of Tech & financial offer	Launching of Bid Document for track work	Publication of PQ result
1.22 Cumulative 75% completion of Construction of 54 major and important bridges on Vaitarna-Bharuch Section.	%	2	100%	90%	85%	80%	75%
1.23 Land Acquisition (44 Km) (Revised Alignment on Sonnagar-Mugalsarai Section)	Km	1	100% (20F)	100% (20E)	100% (20A)	100% (FLS)	Start of FLS
<b>Sub Total ( 1.1 )</b>		<b>50</b>					
<b>2. Dynamic Parameters</b>							
2.1 Action Plan of MMLP at Mumbai, Ahmedabad, Rewari, Kanpur.	T/Date	5	31.12.2012	15.01.2013	31.01.2013	15.02.2013	28.02.2013
<b>2.2 Corporate Social Responsibility</b>							
2.2.1 Skill Development by providing vocational / technical training to youth.	No. of youth	2	20	16	12	8	4
2.2.2 Amount to be spent on CSR till 31.03.2013	Amount in Rs. (Lacs)	1	18	16	14	12	10
<b>2.3 Sustainable Development</b>							
2.3.1 Completion of EIA/SIA/RRP study for Meerut, Hapur detour and Khurja-Dadri section for Khurja-Ludhiana section.	T/Date	2	30.06.2012	31.07.2012	31.08.2012	30.09.2012	31.10.2012
2.3.2 Completion of EIA/SIA(IEE) for Electric Loco Maintenance Depot Rewari	T/Date	2	30.06.2012	30.08.2012	30.09.2012	31.10.2012	30.11.2012
2.3.3 Wildlife Clearance from Ministry of Environment and Forest for Sanjay Gandhi National Park	Steps involved in obtaining clearance	1	Obtaining final clearance	Clearance by National Board for Wild Life	Clearance by State Board for Wild Life	Submission of proposal for approval to State Board for Wild Life.	Clearance by Government of Maharashtra


MOU Assessment Format for CPSE under Construction for the year (2012-2013)							
Evaluation Criteria	Unit	Weight (in %)	Excellent	V. Good	Good	Fair	Poor
<b>2.4 Human Resource Management</b>							
2.4.1 Recruitment/ Absorption of employees.	Nos.	2	200	150	100	50	25
2.4.2 Finalisation of HR Policies/Rules.	T/Date	2	30.11.2012	31.12.2012	31.01.2013	28.02.2013	31.03.2013
2.4.3 Organizing training/seminars for Officers and employees.	Man days	1	100	90	80	70	60
<b>2.5 Corporate Governance</b>							
2.5.1 Submission of data by CPSE to DPE for PE Survey	T/ Date	1	15.09.2012	01.10.2012	15.10.2012	31.10.2012	After 31.10.2012
2.5.2 Compliance with DPE guidelines relating to Corporate Governance.	Annual Score	4	85%and above	75%-84%	60%-74%	50%-59%	Below 50%
<b>2.6 Research Development</b>							
2.6.1 Study of crew Management on DFC System.		2	31.12.2012	15.01.2013	31.01.2013	15.02.2013	28.02.2013
2.6.2 Pilot Demonstration of one of the Traction Innovation System. (Estimated Cost is Rs. 10 Lacs)	T/Date	2	31.01.2013	14.02.2013	28.02.2013	15.03.2013	31.03.2013
2.6.3 Amount to be spent till 31.03.2013.		1	10	8	6	4	2
<b>2.7 Others - The New DPE guidelines</b>							
2.7.1 Reservation for SC /ST		0.4					
2.7.2 Implementation of 2007 pay revision.		0.4					
2.7.3 Posting of Deputationists.		0.4					
2.7.4 Switching over from CDA to IDA pattern of pay scale		0.4					
2.7.5 Submission of Report on compliance of DPE guidelines	T/Date	0.4	30 <sup>th</sup> June following the preceding financial year	31 <sup>st</sup> August the preceding financial year	30 <sup>th</sup> September the preceding financial year	31 <sup>st</sup> October the preceding financial year	After 31 <sup>st</sup> October following the preceding financial year
<b>Sub Total (2.1+2.2+2.3+2.4+2.5+2.6+2.7)</b>		<b>30</b>					



MoU 2012-13  
Approved by DPE/TF  
Anand  
Signed 13/3/12





MOU Assessment Format for CPSE under Construction for the year (2012-2013)							
Evaluation Criteria	Unit	Weight (in %)	Excellent	V. Good	Good	Fair	Poor
<b>3.0 Sector Specific Variables</b>							
3.1 Traffic study projections for freight traffic for East-West & North-South Corridors.	T/Date	5	31.12.2012	15.01.2013	31.01.2013	15.02.2013	28.02.2013
3.2 Preliminary Engineering & Technical survey for future corridor (6000 km approx)- Complete field Survey for 3600 kms.	T/Date	5	15.01.2013	15.02.2013	28.02.2013	15.03.2013	31.03.2013
<b>4. Enterprise Specific Variables</b>							
4.1 Training of field Staff from different field offices by suitable Orientation Programmes on Land Acquisition Management and various aspects of Relief and Rehabilitation Policy.	No. of staff	5	50	40	30	20	10
4.2 Submission of Project Plan for deployment of Enterprise wide IT System at DFCCIL.	T/Date	1	30.04.2012	15.05.2012	31.05.2012	15.06.2012	30.06.2012
4.3 Submission of solution design & software requirement specifications.	T/Date	3	01.10.2012	16.10.2012	01.11.2012	16.11.2012	01.12.2012
4.4 Preparation of Data Centre	T/Date	1	01.12.2012	16.12.2012	01.01.2013	16.01.2013	01.02.2013
<b>Total ( 1+2+3+4)</b>		<b>100</b>					

MoU 2012-13  
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Anand  
Signed 13/3/12

Kumar

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ic Target will be "Good" for financial year 2012-2013.

re XI, XII, XIII as prescribed under the DPE guidelines are not applicable as the Company is under 'Construction' and is not operating and generating any profits.

er the Projects relating to CSR, Sustainable Development and Research and Development have already been indicated in the MoU (2012-2013) as agreed by the Task

The Evaluation report for MoU (2012-13) will be submitted in the prescribed format to the extent applicable.

cumentary evidence for Project related parameters would be certification by the Concerned Functional/ Departmental Head. The Means of verification/Documentary  
ces for the non financial items would be as detailed below.

Evaluation Criteria	Documentary Evidence
<b>amic Parameters</b>	
Action Plan of MMLP at Mumbai, Ahmedabad, Rewari, Kanpur.	A letter from the concerned functional head regarding the action plan of MMLP.
porate Social Responsibility	
Skill Development by providing vocational / technical training to youth.	Submission of CSR review report by the concerned functional head in the prescribed format to the extent applicable.
Amount to be spent on CSR till 31.03.2013.	
<b>stainable Development</b>	
Completion of EIA/SIA/RRP study for Meerut, Hapur detour and Khurja-Dadri section for Khurja-Ludhiana	Submission of Copy of EIA study draft report & SIA/RAP study draft report by the concerned functional head.
Completion of EIA/SIA(IEE) for Electric Loco Maintenance Depot Rewari	Submission of Copy of EIA study draft report & SIA/RAP study draft report by the concerned functional head.
Wildlife Clearance from Ministry of Environment and Forest for Sanjay Gandhi National Park.	Submission of copies of the clearance obtained from the concerned Authority/Copy of the proposal .
<b>uman Resource Management</b>	
Recruitment/ Absorption of employees.	A letter from the HR department detailing the no of recruitment/absorption of employees.
Finalisation of HR Policies/Rules.	A letter of the HR detailing the notification of policies.
Organizing training/seminars for Officers and employees.	A letter from the HR department detailing the list of nominations along with mandays.
<b>porate Governance</b>	
Submission of data by CPSE to DPE for PE Survey	A copy of the letter for data submission to DPE.
Compliance with DPE guidelines relating to Corporate Governance.	A copy of the Compliance Report in the prescribed format.

*[Handwritten signature]*

MoU 2012-13  
Approved by DPE/TF  
Anand  
Signed 13/3/12

*[Handwritten signature]*

<b>2.6 Research Development</b>	
2.6.1 Study of crew Management on DFC System.	A copy of the study of Crew Management will be submitted.
2.6.2 Pilot Demonstration of one of the Traction Innovation System. (Estimated Cost is Rs. 10 Lacs)	Submission of detailed report of Pilot Demonstration of one of the Traction Innovation System.
2.6.3 Amount to be spent till 31.03.2013.	
<b>2.7 Others - The New DPE guidelines</b>	
2.7.1 Reservation for SC /ST	A Self declaration and certification by DFCCIL
2.7.2 Implementation of 2007 pay revision.	An Evaluation Report In the prescribed Performa –I
2.7.3 Posting of Deputationists.	A Self declaration by DFCCIL.
2.7.4 Switching over from CDA to IDA pattern of pay scale	An Evaluation report in the prescribed Performa –II.
2.7.5 Submission of Report on compliance of DPE guidelines	A Copy of the letter submitting the report on compliance of DPE Guidelines to the Ministry.
<b>3.0 Sector Specific Variables</b>	
3.1 Traffic study projections for freight traffic for East-West & North-South Corridors.	A copy of the Study Projections for freight traffic for East-West & North-South by the concerned functional head.
3.2 Preliminary Engineering & Technical survey for future corridor (6000 km approx) - Complete field Survey for 3600 kms.	A certification with respect to completion of field survey for 3600 Kms from the concerned functional head.
<b>4. Enterprise Specific Variables</b>	
4.1 Training of field Staff from different field offices by suitable Orientation Programmes on Land Acquisition Management and various aspects of Relief and Rehabilitation Policy.	A letter from the concerned functional head detailing the training programme of field staff from different field offices.
4.2 Submission of Project Plan for deployment of Enterprise wide IT System at DFCCIL.	A Copy of Project plan will be provided.
4.3 Submission of solution design & software requirement specifications.	A Software Design Report could be submitted.
4.4 Preparation of Data Centre.	Certification of progress of data centre by the concerned functional head.

  
 15/3/2012  
 V.K. Gupta  
 Secretary Railway Board  
 Ministry of Railways

MoU 2012-13  
 Approved by DPE/TF  
 Signed 13/3/12

  
 15/3  
 R.K. Gupta  
 Managing Director  
 DFCCIL





डेडीकेटेड फ्रेट कोरीडोर कॉर्पोरेशन ऑफ इण्डिया लि.  
(भारत सरकार का उपक्रम)

*Dedicated Freight Corridor Corporation of India Ltd.*  
(A Government of India Enterprise)

SELF DECLARATION/CERTIFICATION BY CPSE

It is hereby certified that the targets and actual achievements in respect of financial parameters have been worked out as per MoU Guidelines by adopting the norms and definitions laid down in MoU Guidelines for the year 2012-13. In case, any deviation is found at the time of appraisal of performance, DPE is free to evaluate as per audited accounts as per MoU Guidelines. CPSE has no right of claim in this regard.

  
Authorized Signatory

**C.M. JINDAL**  
Group General Manager / Finance  
Dedicated Freight Corridor Corporation of India Ltd.  
(A Govt of India (Ministry of Railways) Enterprise)