

DEDICATED FREIGHT CORPORATION OF INDIA LIMITED [DFCCIL]

(A Govt of India Enterprise)

Addendum no-5 dated 25-07-2020

EOI notification-2019/HQ/EN/PWC/HHRI/GC dated 22-06-2019 read with Amendment no-1 dated 17-07-2019 & TOR as uploaded on DFCCIL Website[Ref-serial no-3 of the Amendment no-1 dtd 17-07-2019 to EOI notification].

RFP no- 2019/HQ/EN/PWC/HHRI/GC dated 22-01-2020 read with Amendment no-1 dated 24-02-2020, Amendment no-2 dated 27-03-2020, Addendum no-3 dated 03-06-2020 and Addendum no-4 dated 29-06-2020.

Name of Assignment- Consultancy Services for setting up and operationalizing HHRI under the aegis of DFCCIL (here after referred to as ‘Consultancy Services’). [Ref-ITC sub Clause no-2.2 Data sheet-Part-E-Section-2 of the RFP (at Page no-27)]

---X---

Sn	Clause no	RFP Page no	Amendment
1	Para-3 of Part-B [Consultant’s experience]-Form-TECH-2 (For full Technical Proposal only)-Section-3. Technical Proposal-Standard Forms	47	<p>Replace Para-3 as under;</p> <p>Similar Assignment means Consultancy Services towards Technical Assistance / Project support in establishing Railway Heavy Haul or similar Research Institute, similar Transportation related Research Institute or establishment of other Research Institute.</p> <p>Components of assignments, to be reflected with / backed by Clear Documentary evidence, should be indicated as:</p> <ul style="list-style-type: none"> (i) Developing and Establishing Heavy Haul, Other Transportation related research program and Research programme of other Research Institute or; (ii) Capacity building including selection & Appointment of Research Professionals and Research Program in Rail Research, similar research related with other Transportation infrastructure and Other Research Institute or; (iii) Implementation of Technical Assistance associated with aforesaid Heavy Haul and other Transportation Research.
1.1	Para-1 of Part-B [Consultant’s experience]-Form-TECH-2 (For full Technical Proposal only)-Section-3. Technical Proposal-Standard Forms	37	<p>Replace the Para-1 with the following text;</p> <p>“1.List only previous similar assignments completed in the Last fifteen years”</p>
2	Sub Para 1.(c) –	59	<p>Replace Sub Para 1.(c) [without casting any impact on Note -1 & 2 , below Sub Clause no-1.c.(xi)-TOR-Section-7 [now, deemed to be located below the sub</p>

Sn	Clause no	RFP Page no	Amendment
	Terms of Reference (TOR)-Section-7		<p>Clause 1.(c)], as inserted vide item no-1 & 2 of the addendum no-3 dated 03-06-2020] , as under;</p> <p>To elaborate, the object of the services is to provide Consultancy Services to the DFCCIL in establishing the said Heavy Haul Research Institute (HHRI) of Global standard and operationalizing the HHRI covering entire area of Heavy Haul operations and provide advisory support to HHRI in specific areas of heavy haul operations in terms of relevant RFP Provisions.</p>
2.1	Item no-3 of Addendum no-3 dated 03-06-2020 [GCC sub Clause 16.1(Modification or Variations)]	84	<p>In the first line of Item no-3 of Addendum no-3, the Text “ below sub Clause no-1.c.(xi)-Section-7-TOR” shall be read as under;</p> <p>“ below sub Clause no-1.c-TOR-Section-7”</p>
3	Sub Para-2.(d) [Relevant information regarding the assignment]-TOR-Section-7	60	<p>Replace the sub Clause 2.(d) as under;</p> <p>Consultant shall provide all the required inputs for the specific achievement of the ‘Key Objectives of the Assignment’ [Key Objective-1 & Key objective-2] as defined in this RFP.</p>
3.1	Sub Para-1.(b) [Key Objective-1]-TOR-Section-7	58	<p>In the first , second & third line of the Sub Para 1.(b), replace the text “as explicitly stipulated in this Section-7-TOR or implied therein and Tasks which are necessary (although not mentioned in this RFP/Contract)” with the following text;</p> <p>“which are necessary and required”</p>
3.2	Para-II [Description of the Tasks assigned to the Consultant]-TOR-Section-7	63-64	<p>In the third & fourth line of Para-II, replace the text “to carry out all the tasks explicitly or implicitly associated with” with the following text;</p> <p>“to carry out all the tasks which are necessary and required for”</p>
4	Sub Para-2.(d) [Relevant information regarding the assignment]-TOR-Section-7	60-62	<p>Delete the sub Para 2.(e) & next sub Para 2.(f) [cited as Para 2.(d) on Page no-62].</p>
5	Sub Para-8 [Task-H-Setting & operationalising the HHRI] of Para-II [Description of the Task assigned to the Consultant] -TOR-Section-7	67	<p>Replace sub Para -8 [Task-H-Setting & operationalising the HHRI] as under;</p> <ol style="list-style-type: none"> 1. Consultant agrees to assure the Client, by way of a “Consultant Certificate”, to the effect that subject to successful Consultant submissions against all the Key Deliverables (KDs) [as stipulated in 1st & 2nd column of “Payment schedule”-Anexure-1 to the TOR (Appendix-A, at Page 107-108 of the RFP)] prior to KD-D-10 i.e. KD-D-1 to KD-D-9.3, to the approval of Client, Consultant has submitted “Completion Report” against KD-D-10 to the approval of the Client. Consultant, hereby, conveys, in terms of the TOR Para –II, the complete setting up of Heavy Haul Research Institute (HHRI) within a period [mention Completion period from Commencement of Services (in terms

Sn	Clause no	RFP Page no	Amendment
			<p>of SCC sub Clause 13.1)] in complete satisfaction/compliance of “Key Objective-1 (in terms of TOR sub Para 1.(b)).</p> <p>2. Acting, in terms of GCC sub Clause 20.1, as faithful advisor to the client, Consultant agrees to provide the necessary advisory support to the Client, required for smooth and uninterrupted operation during the operation Phase of HHRI, for a period of three years after the date of the aforesaid “Consultant Certificate”, referred in Para-1, above, in complete satisfaction/compliance of “Key Objective-2 (in terms of TOR sub Para 1.(b)).</p>
5.1	Item no-2 of Addendum no-3 dated 03-06-2020 [Sub Clause no-1.c.(xi)-Section-7-TOR]	59	<p>In item no-2 of Addendum no-3 dated 03-06-2020, delete the sub Para (iv) containing the following text</p> <p style="text-align: center;">(iv) —“Sub Para 8 of Para II Task H [Setting up and operationalizing HHRI] Sub Task [a] & [b], of TOR Section 7 of the RFP [at Page no 67 of the RFP].”</p>
6	Item no-16 of Addendum No-3 dated 03-06-2020 [Serial no-1-Team Leader of Para-III[Qualification of experts]-TOR-Section-7	68-69	<p>In the column no-4 - Serial no-1-Team Leader of Para-III[Qualification of experts]-TOR-Section-7 , add after the Text “Qualification in Engineering” the following text;</p> <p>“ of any discipline”</p> <p>The item no-16 is replaced as under;</p> <p>In column no-5 [Experience], supplement the existing provision with the following;</p> <p>“ or Must have minimum 15 Years of experience in complete Project implementation of Railway infrastructure [preferably DB project involving Design, execution , testing and commissioning-Work experience, during implementation of such Railway Infrastructure DB Project as an expert /Professional of Consultant/Project Management Consultant i.e. Engineer would qualify] Project(s), preferably but not mandatorily funded by International Funding Agencies like World Bank, ADB & JICA.</p> <p>Or Must have minimum five years exposure to Railway Transportation or research related with Railway Transportation with minimum 10 years of experience in setting up & operationalising of Other Research Institutes or ‘Centres of excellence involving research’, entailing, inter alia staffing, establishing Research Agenda, quality improvement, improving industry-academia partnerships, collaboration with other Institutes of global repute.</p>
6.1	Serial no-8 [Contract Expert] of Para-III [Qualification of Key experts]	71	<p>In the 3rd column[Desired work profile], delete the following text shown in strike through;</p> <ul style="list-style-type: none"> • Experience at managing quality control and acceptance criteria • Experience with warranty procedures, imposing contract conditions with legal effect • Experience with procurement and commissioning procedures, fit for purpose testing <p>And supplement the remaining text with the following text;</p> <ul style="list-style-type: none"> • Experience of Managing Public procurement including PPPs or

Sn	Clause no	RFP Page no	Amendment
			Managing procurement of Railway infrastructure Projects or Procurement of Funding Agencies funded DB contracts related with Railway or other Transportation Infrastructure.
7	Item no-16.1 of Addendum No-3 dated 03-06-2020 [Table under Para-III [Qualification of experts]-]-TOR-Section-7	72	Supplement the item no-16.1 of Addendum no-3 dated 03-06-2020, as under; Add another Note-3 & 4 below the Table under Para-III [Qualification of experts]-TOR-Section-7; 3. In the above Table under Para-III of TOR, Where ever, Consultant intends to deploy Experts who are nationals of Country(ies) other than India [Foreign Country(ies)] and contemporarily based therein and who, being based in such Foreign Nations, have pursued and secured educational qualification carrying titles like BSc / diploma etc. in relevant discipline in those Nations, shall be deemed to be at Par with “Graduate Level Qualification. 4. The Consultant various Experts shall be available , at all the time, to attend Client’s issues However, Consultant Experts, in case of Travel restrictions imposed by Govt. of India through Notification, shall attend meetings through Video conferencing using on line platform like Micro soft Teams or similar as per the request of the client.
8	TOR Para-III[Qualification of Key Experts]-Serial no-10 [Finance Expert] With item no-14 of Addendum no-3 dated 03-06-2020	72	Supplement the Item no-14 of Addendum no-3, as under ; Add the following below the text “Which may include but not limited to; BA-Economics, BA-Taxation & Finance, BBA-Financial Analysis & Services, BSC (Hons.)-Economics, BSC (Hons.)-Economics & Finance.”; “Candidates, possessing requisite experience in Finance with desired Work profile, and possessing Graduate level Engineering Qualification with MBA in Finance shall be deemed eligible.”
8.1	TOR Para-III[Qualification of Key Experts]-Serial no-2 [Organisational Policy / HR Expert]	69	In Column no-4 of serial no-2 [Organisational Policy / HR Expert] of TOR Para-III[Qualification of Key Experts], replace the Text “Post graduate qualification in Human Resource Management or related discipline” as under; “Post graduate qualification in Human Resource Management, Personnel Management or related discipline”
9	Form-TECH-1[Technical proposal submission Form]-Section-3-Technical proposal form & Form-FIN-1-Section-4-[Financial Proposal Standard Form]	34-35 47-48	Append a note below the Signature Block on Form-TECH-1 and below the signature block on Form-FIN-1 as under; Authorized Representative of the Lead member and Authorized Representatives of the constituent members of the of the Consultant JV/Consortium/Association shall preferably make use of “Wet signatures” while signing the Form-TECH-1 & Form-FIN-1 [as applicable], however aforesaid Authorized Representatives may, also, authenticate the aforesaid Forms by affixing their Digital Signatures, as defined under Sub section 2.1.(P) & in terms of section-3 of “Information Technology (Amendment) Act 2008” [herein after referred to as ITAA 2008].
10	Form-TECH-6(For FTP) Team	42	In Column no—4 of the Form-TECH-6, delete the Text “ [Home]” and “ [Field]”

Sn	Clause no	RFP Page no	Amendment
	composition, assignment, and Key Experts' inputs-Section-3[Technical Proposal -Standard Forms]		<p>In the column titled "Total time input (in Months)", delete the sub Columns titled " Home" and "Field"</p> <p>Delete the Note no-3 beginning with the text " "Home" means work..." and ending with text " ..Outside the expert's country of residence".</p>
11	Serial no-3 [Business Process Expert] -[Table under Para-III [Qualification of experts]-]-TOR-Section-7	69	<p>In the 4th column titled " Qualification", supplement the Text contained therein , with the following text;</p> <p>" or Graduate level Qualification in any discipline with Post Graduate Qualification in management / business administration or Chartered Accountancy [CA]"</p>
12	Item no-4 [related with Part-III- Qualification of experts-TOR- Section-7] of the Addendum no-3 dated 03-06-2020	68-72	<p>Replace the contents of item no-4 of Addendum no-3 dated 03-06-2020 as under;</p> <p>Add a new note below the Table listed under Para-III [at Page no-72], as under;</p> <p>Note-1 It would be desirable for the Key Experts, listed at serial no-3, 8 & 10 of Column no-2, to have Post Graduate Qualification in management / business administration over & above minimum qualification , appended in column no-4.</p>
13	Item no-2 [related with insertion of a Note -2 below Sub Clause 1.(c)- Section-7-TOR] of the Addendum no-3 dated 03-06-2020	59	<p>Supplement the item no-2 of Addendum no-3 dated 03-06-2020 as under;</p> <p>"Supplement the Note no-2 as under;</p> <p>(i) In case of Consultant taking on a Specialist Sub Consultant , accredited with/ officially recognized for, Services such as product [e.g. equipment, Infrastructure & Software, as applicable on ground of relevance] conformity assessment, Supplier Quality Management, System assurance management, safety and vendor audits & control, and equipment inspection and certification, The Client agrees that responsibility for regular monitoring to ensure successful implementation of contracts and Validation certification for the;</p> <p>Task-D [Assessment and subsequent Procurement of the required Equipment and Infrastructure for efficient setting up & operationalization of HHRI , Sub Task-(v) & (vi) of Task-D under Sub Para-4 of Para-II of TOR-Section-7], Task-F [Maintenance Management Information System (MMIS)-Sub Task -[g] & [h] of Task-F under Sub Para -6 of Para-II of TOR] & Task-G [Support in setting up HHRI Data centre-Sub Task -[g] & [h] of Task-G under sub Para-7 of Para-II];</p> <p>Shall, primarily, lie with such a Specialist Sub Consultant with nothing contained , herein, relieving the Consultant from their Obligations under the contract i.e. submission of Key Deliverables , to the approval of the Client, as contained in column no-1 & 2 of Table titled "Payment schedule" [Annexure-1 to Terms of Reference (TOR-Appendix-A), at Page no-107-108]"</p>

Sn	Clause no	RFP Page no	Amendment																																							
14	ITC sub Clause 21.1(For FTP)[Evaluation of Technical Proposal] Data Sheet	29-30	<p>The ITC Sub Para 21.1 Data Sheet shall be replaced as under;</p> <p>“Criteria, sub Criteria and point system for the Evaluation of the Full Technical proposal</p> <p>(i) Specific experience of the Consultant relevant to the Assignment- 10 points</p> <p>(ii) Adequacy and Quality of the Proposed methodology and work plan in response to Terms of reference(TOR)- 40</p> <p>a) Technical Approach and methodology-25</p> <p>b) Work plan-10</p> <p>c) Organization and staffing-5</p> <p>(iii) Key Experts Qualification¹ and Competence¹ for the assignment- 50</p> <table border="1" data-bbox="853 779 1257 1133"> <thead> <tr> <th>s.n.</th> <th>Professional</th> <th>Marks assigned</th> </tr> <tr> <th>(1)</th> <th>(2)</th> <th>(3)</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Team Leader</td> <td>07</td> </tr> <tr> <td>2</td> <td>Organizational policy / HR Expert</td> <td>05</td> </tr> <tr> <td>3</td> <td>Business Process Expert</td> <td>05</td> </tr> <tr> <td>4</td> <td>Heavy Haul Rolling Stock Expert</td> <td>05</td> </tr> <tr> <td>5</td> <td>Heavy Haul Infrastructure expert</td> <td>05</td> </tr> <tr> <td>6</td> <td>Rail Research Expert</td> <td>05</td> </tr> <tr> <td>7</td> <td>Heavy Haul Software Specialist</td> <td>05</td> </tr> <tr> <td>8</td> <td>Contract Expert</td> <td>05</td> </tr> <tr> <td>9</td> <td>IT Expert</td> <td>03</td> </tr> <tr> <td>10</td> <td>Finance expert</td> <td>05</td> </tr> <tr> <td colspan="2">Total Marks</td> <td>50</td> </tr> </tbody> </table> <p>The number of points to be assigned to each of the above positions shall be determined considering the following three Sub criteria and relevant percentage weights</p> <ol style="list-style-type: none"> 1) General Qualifications (General Education, Training and experience) – 20% 2) Adequacy for the assignment (relevant education, training, experience in the sector, similar assignments)-70% 3) Relevant experience in the Region (working level fluency in local language(s), knowledge of local culture and administrative system & Government organization etc. – 10% <p>Total points for the above three Criteria – 100</p> <p>Minimum Technical Score to Pass -80%</p> <p>Note-1-Qualification and experience of Experts stipulated under Para-III of Section-7:TOR”</p>	s.n.	Professional	Marks assigned	(1)	(2)	(3)	1	Team Leader	07	2	Organizational policy / HR Expert	05	3	Business Process Expert	05	4	Heavy Haul Rolling Stock Expert	05	5	Heavy Haul Infrastructure expert	05	6	Rail Research Expert	05	7	Heavy Haul Software Specialist	05	8	Contract Expert	05	9	IT Expert	03	10	Finance expert	05	Total Marks		50
s.n.	Professional	Marks assigned																																								
(1)	(2)	(3)																																								
1	Team Leader	07																																								
2	Organizational policy / HR Expert	05																																								
3	Business Process Expert	05																																								
4	Heavy Haul Rolling Stock Expert	05																																								
5	Heavy Haul Infrastructure expert	05																																								
6	Rail Research Expert	05																																								
7	Heavy Haul Software Specialist	05																																								
8	Contract Expert	05																																								
9	IT Expert	03																																								
10	Finance expert	05																																								
Total Marks		50																																								
15	Task-C [Provide support in identification and appointment of CEO, Theme Heads and other relevant Key Research Professionals for efficient setting	64	<p>In the title of the Task-C, Replace the Text “Provide support in identification and appointment of CEO, Theme Heads and other relevant Key Research Professionals for efficient setting up & operationalization of HHRI” as under;</p> <p>“Provide support in identification and appointment of CEO & Theme Heads and recommendation regarding qualification, experience, necessary notices for selection of the other relevant Key Research Professionals for efficient setting up & operationalization of HHRI”.</p>																																							

Sn	Clause no	RFP Page no	Amendment
	up & operationalization of HHRI] of Para-II [Description of the Tasks assigned to the Consultant]		<p>In the sub Para-(b) of the Task-C, Replace the Text “The Consultant shall support DFCCIL, in identification and all aspects of selection and appointment of requisite HHRI Professionals e.g. CEO, various Theme Heads and relevant Key Research Professionals for efficient setting up and operationalizing of HHRI.”, as under;</p> <p>“The Consultant shall support DFCCIL, in identification and all aspects of selection and appointment of requisite HHRI Professionals as above, for efficient setting up and operationalizing of HHRI” .</p>

--X--

End of Addendum no-5