EOI-2 Clarifications dated 29-08-2022; on the Queries received, in c/w Pre EOI Application submission conference held on 18-08-2022 and in terms of Sub Para 2.3 of EOI Document, from Prospective Consultants

EOI Notification no- HQ/EN/WC/EDAM(EOI)/2/2022/10 dated-10-08-2022 [EOI-2]-Empanelment of eligible consultants / Firms for undertaking consultancy services for the Project Management Consultancy Service for Indian Railway (IR) Projects

Note-In connection with the above referred EOI, following is Client's clarification, tendered, in terms of EOI Document Para no-2.3, to the Prospective Consultant's Queries, conveyed with respect to Pre-Proposal conference held on 18-08-2022. The aforesaid DFCCIL's clarifications, as appended in a similarly titled (CoI no-8) Column of the below appended Table, are meant to be mere clarificatory, in nature & purpose and shall, thus, cast no impact (i.e. DFCCIL Clarifications shall not, per se, change any part of the EOI Document) on the EOI Document or the ensuing empanelment of the Consultants. No Part of aforesaid DFCCIL's clarifications can be reproduced by the Prospective Consultants /empanelled Consultant to drive home a point or can be cited as a basis for any request/claim related with the concerned EOI process.

				Consulta	ants' Query			
	il. Io	Reference Section/Clau se	EOI Page No.	Consultant Query With Justification	Existing Clause	Proposed amendment- Amended Clause	Date of Receiving	DFCCIL Clarification
1	1	Section 1 /	<b>3</b>	<b>4</b> We request you to consider	5 Consultant's firm must	6 Consultant's firm must	<b>7</b> 12.08.2022	8 The Proposed
		SI.No.3	10	and include Infrastructure projects such as Residential, Commercial & Industrial.	secure a minimum of 10 marks, in the evaluation (Ref Para 2.3 of Annexure-1). The eligible Works should be of Railway/Metro Projects / Highways projects. Details to be given in Form-4 (Appendix to Annexure-1).	secure a minimum of 10 marks, in the evaluation (Ref Para 2.3 of Annexure-1). The eligible Works should be of Railway/Metro Projects / Highways projects/ Infrastructure projects such as Residential, Commercial & Industrial. Details to be given in Form-4 (Appendix to Annexure-1).	12.00.2022	empanelment is for Indian Railway Projects. Therefore, no change is envisaged in the eligibility criteria

2	Coation 0 /	4.4	Me request you to establish	In andonta ha aligible for	la ardente be elimikle fer	40.00.0000	No obongo io
2	Section 2 /	11	We request you to consider	9	In order to be eligible for	12.08.2022	No change is
	Clause No.		and include Infrastructure	award of marks under this	award of marks under		envisaged in the
	2.3		projects such as	criterion, the Consultant	this criterion, the		eligibility criteria.
			Residential, Commercial &	shall submit, details of	Consultant shall submit,		
			Industrial.	Number of,	details of Number of,		
			And	Project Management	Project Management		
			We request to reduce the	Consultancy Contracts	Consultancy Contracts		
			minimum contract value of	(PMC) / General	(PMC) / General		
			INR 4.0 Crores	Consultancy Services (GC)	Consultancy Services		
				/Engineering Services	(GC) /Engineering		
				Consultant (ECS)/	Services		
				Independent Engineer (IE)/	Consultant (ECS)/		
				Authority Engineer (AE),	Independent Engineer		
				successfully Completed	(IE)/ Authority Engineer		
				/Successfully	(AE), successfully		
				ongoing Works, as on date	Completed /		
				of EOI Notification, during	Successfully		
				the last 7 years (from EOI	ongoing Works, as on		
				Notification Date) for Rail	date of EOI Notification,		
				/Metro/ Highway projects in	during the last 7 years		
				INDJA and outside India	(from EOI Notification		
				[Outside India experience is	Date) for Rail /Metro/		
				subject to Note no-2.1.(viii)	Highway projects/		
				& (ix) ( Sub Para title-	Infrastructure projects		
				'Invitation of EOIs'], of the	such as Residential,		
				minimum Contract value of	Commercial & Industrial		
				INR 5.0 crores out of which	in INDJA and outside		
				Minimum 02 nos. (two)	India [Outside India		
				works should have been	experience is subject to		
				successfully completed/	Note no-2.1.(viii) & (ix) (		
				successfully ongoing	Sub Para title-'Invitation		
				Works, as on date of this	of EOIs'] , of the		
				EOI Notification , for	minimum Contract value		
				Railway /Metro projects.	of INR 4.0 crores out of		
				The aforesaid details to be	which Minimum 02 nos.		
				given in the Form 4, given	(two) works should have		
				in the	been successfully		

2 Castian 2	10	Ma manuach ta ann aid an	Appendix.	completed/ successfully ongoing Works, as on date of this EOI Notification, for Railway /Metro projects. The aforesaid details to be given in the Form 4, given in the Appendix.	42.00.2022	Lo Abia dina di na mbana
Section 2 / Documents Required Column SI.No. (i)		We request to consider experiences from Public Listed companies & Private companies (Like Adani, L&T, Reliance)	Self-Attested copies of completion certificates (also mentioning, in case of executing Agency being JV/ Consortium, the consultant Participation, in % terms) issued by original contract Awarding entities like Railways, NHAI or relevant Metro organization or any Central/State Government Department /PSU of India or Autonomous Body of central/State Government of India.	We request you to consider and include "Self-Attested copies of completion certificates (also mentioning, in case of executing Agency being JV/ Consortium, the consultant Participation, in % terms) issued by original contract Awarding entities like Railways, NHAI or relevant Metro organization or any Central/State Government Department/PSU of India or Autonomous Body of central/State Government of India or Public Listed companies & Private companies (Like Adani, L&T, Reliance)."		In this direction, please refer serial no-1 of Addendum no-2 dated 29-08-2022
Section 2 / Documents Required		We request to consider Form 26AS as payment receipt.	Payment released to the Contractor-	Payment released to the Contractor/ Form 26AS as payment receipt.	12.08.2022	No Change is envisaged

	Column SI. No. (ii)						
5	Section 2 / Clause No.2.4.2	17	We request to consider newly joined permanent on-roll employees in case new joiners/replacement	Post empanelment, any Change/replacement [with a suitable Professional having equivalent or better qualification and experience, as compared to the Professional being replaced) or of "Key Personnel on the Permanent Payroll of the Consultant" shall be subject to prior approval of the Indian Railways.	Post empanelment, any Change/replacement [with a suitable Professional having equivalent or better qualification and experience, as compared to the Professional being replaced) or of "Newly joined Key Personnel on the Permanent Payroll of the Consultant" shall be subject to prior approval of the Indian Railways.	12.08.2022	No Change is envisaged
6	Clause 1.2	1	We understand that the empanelment of eligible consultants is valid for 2 years if shortlisted and selected during the EOIstage. We would like to enquire if we get empaneled in this quarter with a particular JV partner, can we apply in the next quarter with another JV partner?  We wish to know that in such situation when the request for empanelment with a different JV partner is made by us, will our previous empanelment be over-ridden by the	This EOI is open ended, meaning thereby, that initially a list of empaneled Consultants shall be prepared on the basis of EOI applications received up to 06-05-2022. Further, Consultants' Applications will be admissible thereafter as well which will be evaluated, once every quarter and thus newly empaneled consultants would be added to the aforesaid approved list of empaneled Consultants.'		15.08.2022	Any Post Empanelment Change, not approved in advance by Indian Rly, in the Consultant Constitution Structure may result in annulment of the empanelment

			subsequent empanelment in the next quarter and the fresh empanelment will be considered?  Kindly clarify this very pertinent issue.			
7	Clause 2- Subclause 2.1	3	We are a Foreign Engineering Consulting firm based out of Republic of Korea (South Korea) and have ourselves registered under the Companies Act, 1956 in India by successfully acquiring a certificate for establishment of place of business in India in the year 2015. We understand that being a foreign consulting firm registered in India, in accordance with the provisions of Clause 2.1, we may form a JV with our 100% owned subsidiary Company registered in India under the Companies Act, 1956. We wish to ask that if we are eligible to also form a consortium with any other Indian Engineering Consulting firm so that there are three consulting	The Ministry of Railways (Railway Board) now invites eligible Consultancy firms including single entities, Companies, Partnership Firms/LLPs/JV s/Subsidiary Units of foreign Based Consultancy Firms or Foreign Based Consultancy Firmy this s, all registered in India ("Consultant") under the relevant Indian Laws such as the Indian Company's Act 1956 / The Companies Act 2013 or Limited Liability Partnership Act 2008 etc, to express their interest, in being empaneled for the aforesaid "Consultancy Services'.	15.08.2022	Please refer sub Para 2.1 (on Page -3 & 4 of the EOI-2 Document). There is no restriction as to the number of constituent Members of the JV as long as other provisions, as listed under aforesaid sub Clause 2.1 e.g. the minimum Participation percentage of 25 % [(sub Para 2.1.(i) ] are adequately met with /complied with.

			firms in the samearting			
			firms in the consortium			
			namely, we, our 100%			
			owned subsidiary and the			
			other Indian consultancy			
			firm. Or, we are eligible to			
			form a consortium with			
			any other Indian			
			Engineering Consulting			
			firm so that there are just			
			two consulting firms in the			
			consortium namely, we			
			and the other Indian			
			consultancy firm to meet			
			the EOI application			
			criterion.			
			Kindly clarify this issue.			
8	Clause 2	3	We understand that it is	The experience of a JV,	15.08.2022	Mentioning the Intra JV
	sub	&	required to submit the	submitting EOI application		Percentage Participation
	clause	4	self-attested copies of the	for the empanelment, shall		is <b>a mandatory</b>
	2.1(vi)		completion certificates	be the aggregate/ sum of		requirement.
			issued by the original	the respective Individual		
			contract awarding entities	experiences of the		In Case, Client Certificate
			mentioning the	Constituent Firms of that		does not mention the
			Consultant's participation	JV, after being accorded the		same, the Consultants are
			in %age in case of project	weightage, equivalent to the		requested to refer other
			undertaken as	respective Percentage		Authenticated
			JV/Consortium. In this	participation of the said		Document like LOA or
			regard, it is submitted that	Constituent Consultancy		Payment Certificate
			mention the Clients have	Firms in the referred		which clearly provide the
			their own formats for	JV(Applicant Consultant).		(work specific) Intra JV
			issuance of Completion			Percentage Participation.
			Certificates and it may not			
			always be possible to			The Consultant are, also,
			have the mention of			required to fill in the
			respective %ages of the			'Intra JV Percentage
			JV/Consortium partners.			Participation' in Col-5 of
			Hence, it may not be			

	1	1		Г	T		1 7 4/ 7 22 2
			possible to have the				the Form-4 (at Page 22 of
			required specific %age				36)
			<u>participation</u> in the				
			completion certificates,				
			particularly for the				
			projects completed				
			Five/Seven years back				
			for which the certificates				
			have already been issued				
			based on the set pattern				
			by the respective				
			Authority. In such				
			Completion Certificates, it				
			may be difficult to				
			explicitly showcase the				
			percentage (%) of the				
			share of a JV/Consortium				
			partner.				
			_Kindly Clarify this issue.				
9	Clause 2.3	5	We understood the	The Pre-EOI Application		15.08.2022	The VC link was
	sub		direction of the Client for	conference shall be held on			uploaded on the
	clause		the VC link. However, we	the 17% August 2022 or			DFCCIL Website.
	2.3.1		also request to share the	such date, as subsequently			
			VC link to	conveyed through a			Pre EOI Submission
			isancorporation.india.bran	separate notification. Pre-			conference was held
			ch@gmail.com in case if	EOI Conference shall be			on 18-08-2022
			we faces any difficulty in	held through Virtual mode			
			finding the VC link .	(Video Conference)			
				through a link which shall			
				be notified on the websites			
				https://dfccil.com/Home/Ac			
				-			
				https://indianrailways.gov.i			
				n/railwayboard/ > tenders >			
				railway board tenders -> all			
				active tenders			

10	Annexure-1 Sectio n 2 Clause 2.1.2	11	We have ISO certificate for European Quality Assurance which is valid till 13th November, 2024. We understand that the same will be considered to get full marks as per the EOI criterion.	Availability of valid ISO Certificate	15.08.2022	The requirement, in terms of Sub section 2.1.2 is for a Valid ISO Certification
11	Annexure-1 Sectio n 2 Clause 2.3	11 & 1 2	The work experience clause mentions that the said works of PMC /GC/ECS/IE/AE successfully Completed /successful ongoing Works, as on date of EOI Notification, during the last 7 years (from EOI Notification Date) for Rail / Metro/ Highway projects in INDIA and outside India, will be considered.  However, in the detailed marking criteria it is contradictory as follows:  Self-Attested Copies of completion certificates (also mentioning, in case of executing Agency being & above or; JV/Consortium, the Consultant Participation, in % terms) issued by the original Contract Awarding crores and	In order to be eligible for award of marks under this criterion, the Consultant shall submit, details of Number of, Project Management Consultancy Contracts (PMC) / General Consultancy Services (GC) /Engineering Services Consultant (ECS)/ Independent Engineer (IE)/ Authority Engineer (AE), successfully Completed / Successfully Ongoing Works, as on date of EOI Notification, during the last 7 years (from EOI Notification Date) for Rail / Metro/ Highway projects in INDIA and outside India [Outside India experience is subject to Note no-2.1.(viii) & (ix) ( Sub Para title-'Invitation of EOIs'], of the minimum Contract value of INR 5.0 crores out of which Minimum 02 nos. (two) works should have been successfully	15.08.2022	Please refer sub section 2.3 .(ii) of Annexure-1 (at Page no-12 & 13 of EOI-2 Document) for requirements stipulated for ongoing Works.

organ Centi Gove Depa or A	ays, NHAI or ongoing Worl ant Metro of this EOI No ization or any ral/State rnment rtment/PSU of India utonomous Body of	successfully ks, as on date otification , for ro projects.	
Gove	ral/State rnment of India.  y clarify in respect the On-going		
projection company the	cts for which the pletion certificates not be issued by original Contract ding Authority.		

12	Annexure-1	17	1.	- We being a Foreign	3.	Weightage / Marks for	15.08.2022	Please refer Note 1.(i)
	Sectio			Company incorporated		the above Experts will		of sub section 2.4.2
	n 2			in South Korea with		be given only if he/she		(at Page-17 of EOI
	Clause			duly registered place		is employed (on		Document) of
	2.4.2			of conducting business		permanent roll having		Annexure-1, the
				in India, may propose		EPF A/c) with the		Document , required
				the Experts by		Applicant Firms for		along with duly filled in
				proposing Indian or		more than 01 Year from		Form-8 is as under;
				Expatriate CV's. We		the date of EOI.		·
				wish to submit that	4.	Latest, as issued [&		latest, as issued and
				there is no provision of		duly certified by the		certified copy of the
				EPF in Korea for		Consultant, as True		Salary slip of each of
				showing the proof of		copy'] Salary slips of		the said professionals
				employment for getting		each of the said		with details like
				the weightage/marks.		professionals with		Description of the
						details like Description		Employer, Employee
				Kindly clarify this		of the Employer,		No., Year of
				vital issue.		Employee No., Year of		Employment, PF
						Employment, PF		Account No. (PF
			2.	In the same clause, a		Account No. (PF		Account No. is not, a
				sub-clause depicts		Account No. is not, a		mandatory
				that PF Account No. is		mandatory		requirement)
				not mandatory so we		requirement)		mentioned therein and
				can mention Form 26-		mentioned therein and		the associative details
				AS. Being Korean		the associative details		of salary, clearly
				experts, our tax		of salary, clearly		mentioning therein.
				clearance certificates		mentioning therein.		
				are as per the rules of		And/or; Form-16. And		And/or;
				National Tax service of		/or Form 26-AS of the		
				Korea. We would like		professional		Form-16.
				to mention that NHAI		•		
				has confirmed our tax				And /or
				clearance certificates				Form 26-AS of the
				from Korea and				professional
				awarded 3 projects to				
				us based on such tax				It is clear that
				clearance certificates.				submission of Pay Slips

			Kindly clarify if the tax clearance certificates as accepted by NHAI, may be considered as the sufficient proof for the above?			is, also, admissible as supporting Document.  Further the Consultant is requested to, please, refer Note-1 (Page 17 of 36) below Table (Key Personnel on Permanent Roll of the Consultant), appended under Sub Section 2.4.2. Which states to the effect as under;
						The Requirement is for professionals/Personn el who are on the permanent roll of the (registered in India for at least five Years from the date of EOI) Consultant, at least for a period of 01 Year from the date of EOI.
13	Annexure-1 Sectio n 2 Clause 2.4.2	17	We understand that at the tendering stage, the experts whose CVs have been submitted and evaluated at the empanelment stage, would be allowed to be replaced with equivalent or better qualification CVs, since the timing of the tenders is not known at present and same	Regarding the permanent roll for more than 01 year	15.08.20	The Consultant contention regarding replacement of Consultant professionals is not correct.  As regards the reference to external resourced Professional (not on the Pay roll of

			experts may not be available for reasons such as being engaged on other assignments at that time or leaving organization and similar reasons.  We request to allow up to 50% Key Personnel as external resource who are not on permanent pay role but have shared their consent as the experts work on project-to-project basis				the Consultant), no Change is envisaged.  In this direction, the Consultant is advised to peruse the EOI document and any subsequently issued Addenda.
14	2 Invitation for EOIs 2.1( vi)	page no 4 of 36	In general tendering of NHAI/MoRTH/BRO/Railway etc. the experience of firms after forming a JV, will not be further reduced as per their Percentage participation(e.g. 55:45) in the Tender. Hence it is requested to amend the last para of clause as:  If, the aforesaid two Consultancy Firms, 'X' & 'Y', both registered in India, subsequently, form a JV, named, say, as X-Y JV [with 55:45; percentage Participation], to submit their [X -Y JV] Application for the empanelment, the respective experience,	submitting EOI application for the empanelment, shall be the aggregate/sum of the respective Individual experiences of the Constituent Firms of that JV, after being accorded the weightage, equivalent to the respective Percentage participation of the said Constituent Consultancy Firms in the referred JV(Applicant Consultant).  To cite an example- if two Consultancy Firms, 'X' & 'Y',	The experience of a JV, submitting EOI application for the empanelment, shall be the aggregate/sum of the respective Individual experiences of the Constituent Firms of that JV, after being accorded the weightage, equivalent to the respective Percentage participation of the said Constituent Consultancy Firms in the referred JV(Applicant Consultant).	19.08.2022	No Change is envisaged in the EOI Documents' Contents

subsequently ,form a JV, named, say, as X-Y JV [with 55:45; percentage Participation], to submit their [X -Y JV] Application for the empanelment , the respective experience, considered for the X-Y JV shall be as under; = 0.55 (0.4 x E) + 0.45 (0.3 x F)  Subsequently ,form a JV, named, say, as X-Y JV [with 55:45; percentage Participation], to submit their weighted accreditation; (a) Experience of X= 0.4 x E  (b) Experience of Y=0.3 x F;  If, the aforesaid two Consultancy Firms, 'X' & 'Y', both registered in India, subsequently	considered for the X-Y JV shall be as under; = (0.4 x E) + (0.3 x F)	'E', executed earlier by a JV A-X [with 60:40 percentage Participation] and in another Consultancy Work of value-' F', executed earlier by a JV B-Y [with 70:30 percentage Participation], the respective /individual Experience of Consultancy Finns 'X' & 'Y' shall be treated, as under, for subsequent weighted accreditation; (a) Experience of X= 0.4 x E (b) Experience of Y=0.3 x F; If, the aforesaid two Consultancy Firms, 'X' & 'Y', both registered in India,	another Consultancy Work of value-' <b>F</b> ', executed earlier by a JV B- <b>Y</b> [with 70: <b>30</b> percentage Participation], the respective /individual Experience of	
,form a JV ,named, say, as X-Y JV [with 55:45; percentage Participation], to submit		named, say, as X-Y JV [with 55:45; percentage Participation], to submit their [X -Y JV] Application for the empanelment , the respective experience, considered for the X-Y JV shall be as under; =0.55 (0.4 x E) + 0.45 (0.3 x	'Y' shall be treated, as under, for subsequent weighted accreditation; (a) Experience of X= 0.4 x E (b) Experience of Y=0.3 x F;  If, the aforesaid two Consultancy Firms, 'X' & 'Y', both registered in India, subsequently, form a JV, named, say, as X-Y JV [with 55:45; percentage	

					Application for the empanelment , the respective experience,		
					considered for the X-Y  JV shall be as under;		
					$= (0.4 \times E) + (0.3 \times F)$		
15	2.1.1	Page	As outside India		•	19.08.2022	The Establishment of the
	Year of	no 11		1	their EOI Application,		entity, registered in India,
	Establishmen	of 36	are considered therefore it		reflect the Year of		shall be related to
	t		is requested to consider	•	establishment in		establishment
			the establishment year in	Maximum Marks (MM) -10	India/outside India.		/registration in India.
			their respective country for				
			marking criteria. As many	SI. Years of Establishment Marks	Whereas the		
			Subsidiary firms are	****	consultant's Firms shall		
			registered 5 to 6 years	No.	have been established		
			back.		for a minimum period of		
				1 >= 5 years <= 10 years 5	5 Years from the EOI		
					Notification date but		
				2 >= 10 years <= 15 years 7	consultancy firms are		
				2 10 yours 15 yours	mandatory to registered		
				3 More than 15 years 10	in India having Valid		
				3 More than 15 years 10	PAN Number and GST		
					Registration		

16	2.3 Work Experience (Max Marks 30)	Page no 12 of 36	Kindly Clarify the marking criteria if a Firm is having experience of 4 projects of Rs 5 crores and 6 projects of Rs 8 crores.	Marking Criteria (Maximum Marks 40; Minimum Marks-20 Marks)  03-05 Projects of R. 5 crores & above Or 02-04 Projects of R. 8 crores and above Or 06-10 Projects of R. 5 crores and above Or 04-07 Projects of R. 8 crores and above Above 10 Projects of R. 5 crores and above Or Above 07 Projects of R. 8 crores and above Or	Kindly Clarify the marking criteria if a Firm is having experience of 4 projects of Rs 5 crores and 6 projects of Rs 8 crores.	19.08.2022	In terms of Section 2.3 (at Page 12-13 of 36, EOI-2 Document), It is conveyed that;  The EOI Document is amply clear which provides for an entity having experience of 04 Projects of INR 5.0 crs ,to be awarded 10 Marks.  For an entity having experience of 06 Projects of INR 8.0 crs ,to be awarded 20 Marks.  An Entity completing both 04 Projects of 05 Crs and 06 Projects of INR 8.0 Crs may be awarded 20 Marks, only.
17	Form 4 S.no 6	Page no 34 of 36	As many clients does not mention Intra JV participation percentage in their certificate therefore you are requested to consider the Self undertaking for declaration of Intra JV participation percentage.	conveyed and so agreed by the Parties (EOJ Applicant &	It is unambiguously, conveyed and so agreed by the Parties (EOJ Applicant & DFCCIL) that in case of a Work being executed by a JV, the intra JV Participation Percentage must be mentioned (by the EOI Applicant) for that work. In case of an executed Work, claimed (by the	19.08.2022	Please see reply to Query no-8, above.

				Client/DFCCIL is not able to ascertain, from the Form-4 and submitted documentation, the intra JV participation percentage, then, in such cases, the Client , during their Evaluation, would ignore the concerned Work (claimed as work experience) and would not accredit the related experience to the Claiming entity (EOI Applicant).	EOI Applicant) as experience in the above Form-4; If the Client/DFCCIL is not able to ascertain, from the Form-4 and submitted documentation, the intra JV participation percentage, then, in such cases, the Consultant should give a Self undertaking for declaration of Intra JV participation percentage.		
18	Annexure-1 to EOI Document; Evaluation Criteria; Section-1: Minimum Eligibility Criteria	Page 9 of 36	Rail Vikas Nigam Limited / Board Road Organization (BRO) / NHAI / NHIDCL / MoRT&H etc. all Government undertaking organizations are allowed to Consultant's for participation in bidding without establish capping in India. Many International Consultants are recently registered in India, as wholly owned subsidiary.	Establishment and Certification of Firm: The Consultant's Firm shall be established in India for a Minimum of 5 Years from the Notification date.	We request you to please revisit this clause and reduce it to 3 years.	20.08.2022	No Change is envisaged.