Reply to Queries Raised by Prospective Bidders

Name of Assignment: Consultancy Services for Social & Environmental Safeguard Monitoring and Review (SESMR) for 5 Qtrs (April, 2021 to June, 2022) for EDFC-2 Project section from Bhaupur to DDU (393 Km) for DFCCIL (through Direct RFP) Direct RFP No.: HQ/SEMU/SESMRC/EDFC-2/2021 dated 26.02.2021 Replies to Queries raised during Pre Proposal Conference on 17.03.2021

| Sl.no | Reference of RFP | Clause in RFP | | Query | Reply to Query | |
|-------|--|--|--|--|--|---|
| Query | raised by: Kanta C | nawra, LE | A Associates Pvt. Ltd | | | |
| 1 | Clause 3.B , 3.C | | t Schedule: | | As per RFP payment will released in 30 | Since the project of EDFC-2 is funded by |
| | of Section -8 of Part-II. Pg 66 | Sl.no | Payment Schedule | Payment (%) | days after acceptance of report. Suggestion is that payment should be | World Bank, the reports are reviewed, commented upon by both DFCC and WB. |
| | | 1 | Submission and Acceptance of 1 st Quarterly Report | 20 | made after submission of reports. | Only after the comments are incorporated and accepted by WB & |
| | | 2 | | | | DFCCIL, the payments can be processed. This is a standard practice. Hence, existing provision will prevail. |
| | | "Payment shall be made in Indian rupees, no later than 30 days following submission by the consultant of invoices" | | | | |
| Query | raised by: Sanjay k | | | | | |
| 2 | Part B(i) of | Specific | experience of the Consultant (as a firm | n) relevant | Will having experience of one similar | Yes, it will as mentioned in the instant |
| | Clause 21.1. pg- 24 | to the A | ssignment: [10] | | assignment in seven years fetch 10 marks to a consultant | RFP |
| 3 | Sl.no 2 of Part- A : Mandatory criteria of clause 21.1, pg- 24 | Minimum annual financial turnover shall not be less than INR 10 Crore | | Can a lower limit be set for the annual financial turnover | The limit of 10 crores remains unchanged however firms can bid in JV to attain the limit of 10 crores financial turnover. | |
| 4 | Sl.no 2 of Part- A : Mandatory criteria of clause 21.1, pg- | Minimu INR 10 (| m annual financial turnover shall not b Crore | e less than | The provision of JV is not mentioned in this clause | The sentence "Minimum annual financial turnover shall not be less than INR 10 Crore "Minimum annual financial turnover of the individual firms / JV/ |

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|---|---|---|--|
| 24 | | | Consortium summed up shall not be less than INR 10 Crore. Given that in JV/Consortium no partner shall have an annual turnover less than 25% (2.5 crore) and the lead firm should have an annual turnover of minimum 40% (4 crore)". Please refer Addendum 01. |
| Data Sheet, | Estimated input of key experts' time input: 24 person | Are the key experts required to stay | Key experts do not require to be at site |
| Clause 14.1.2 | months | full time at site or it can be intermittent. | full time. Periodical visits will do. The nature of work does not require a site office to be set up. |
| raised by : Vivekar | nand Dasgupta, Bussiness Manager, Government & Social Adv | | |
| Data Sheet, Clause 17.7 and 17.9 | Bid submission date: 29 March 2021 | Deadline for Submission shall be extended | Given the requirement of SESMRC services for EDFC-2 to maintain continuity and time constraint the submission date cannot be extended. However, 29 th March, 2021 being "Holi" the date has been revised to 30 th March, 2021. Please refer Addendum -01 |
| · · · | | | 1 |
| Section 7 Terms of Reference Clause 4. Consultant Qualifications And Study Team (pg-59 - 61) | Social Safeguards Specialist (Quantity -1) and Environment Safeguards Specialist (Quantity -1) | expert with M.Phill/ Ph.D compared to that of Masters | Relevant RFP provisions will be adhered to evaluate the proposals |
| General | Not mentioned | If there is increase in scope of work or extension of work, then will there be a | Yes. Clause No. 17 on "Modifications or Variations" has been added in Section 8 |
| | RFP 24 Data Sheet, Clause 14.1.2 raised by: Vivekar Data Sheet, Clause 17.7 and 17.9 raised by: Dipani Section 7 Terms of Reference Clause 4. Consultant Qualifications And Study Team (pg-59 - 61) | RFP 24 24 Estimated input of key experts' time input: 24 person months Data Sheet, Clause 14.1.2 Estimated input of key experts' time input: 24 person months raised by : Vivekanand Dasgupta, Bussiness Manager, Government & Social Adw Data Sheet, Clause 17.7 and 17.9 Bid submission date: 29 March 2021 raised by: Dipankar, DGM/Environment, EGIS India Consulting Engineers Section 7 Terms of Reference Desirable Qualification M.Phil/ Ph.D. in relevant field for Social Safeguards Specialist (Quantity -1) and Environment Safeguards Specialist (Quantity -1) Consultant Qualifications And Study Team (pg-59 - 61) Section 7 | RFP Z4 Data Sheet, Clause 14.1.2 Estimated input of key experts' time input: 24 person months Are the key experts required to stay full time at site or it can be intermittent. Is any site office required to be set up. raised by : Vivekanand Dasgupta, Bussiness Manager, Government & Social Advisory, Clause 17.7 and 17.9 Bid submission date: 29 March 2021 Deadline for Submission shall be extended raised by: Dipankar, DGM/Environment, EGIS India Consulting Engineers of Reference Clause 4. Consultant Qualifications And Study Team (pg-59 - 61) Desirable Qualification M.Phil/ Ph.D. in relevant field for Safeguards Specialist (Quantity -1) and Environment Safeguards Specialist (Quantity -1) Will higher marks be allotted to an expert with M.Phill/ Ph.D compared to that of Masters General Not mentioned If there is increase in scope of work or |

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| 9 | Section 7. TOR | • | If there is any additional land | At the current stage of EDFC-2 project's |
| | of Part-I pg 55 | 3. Details of Task | acquisition , then will it be done by | execution, no further acquisition of land |
| | to 62 | | DFCC or the consultant | is anticipated. However, even if there is |
| | | | | any additional acquisition, scope of |
| | | | | consultant will be limited to that |
| | | | | mentioned in Section -7 of RFP. |
| Query | raised by : Arun, E | nvironment Specialist, Ecorys India Private Limited | | |
| 10 | | | Monsoon if approaching and work is | The consultant will monitor the |
| | | | supposed to be started in April. How, | implementation of environment and |
| | | | will the consultant start its work. | social safeguard activities at site as |
| | | | | during monsoon as per the pace of |
| | | | | project works as DFCCIL works are |
| | | | | continued during monsoon. |

Replies to queries received from the prospective bidders through Emails prior to Pre-Proposal Conference are as under:

| Sl.no | Reference of | Clause in RFP | Query | Reply to Query | | | | | |
|-------|--|---|--|---------------------------|--|--|--|--|--|
| | RFP | | | | | | | | |
| Query | uery received from : Vivekanand Dasgupta, Bussiness Manager, Government & Social Advisory, Mott MacDonald Private Limited, Vivekanand.Dasgupta@mottmac | | | | | | | | |
| 1 | ITC sub clause | 3.2.1 Without limitation on the generality of the foregoing, and | We request a clarification that Mott MacDonald | ITC sub clause 3(a) of | | | | | |
| | 3(a) of clause | unless stated otherwise in the Data Sheet , the Consultant shall | is also a consortium partner of the PMC of the | clause no.3 shall prevail | | | | | |
| | no.3 of Section | not be hired under the circumstances set forth | same project. So, it is requested to clarify | which is at par with para | | | | | |
| | 2 of Part-I on | below: | whether this constitutes conflict of interest | 1.9 of ' Guidelines- | | | | | |
| | Conflict of | a. Conflicting activities | | Selection and | | | | | |
| | Interest; pg no | (i) Conflict between consulting activities and procurement of | | Employment of | | | | | |
| | 5&6 | goods, works or non-consulting services: a firm that has been | | Consultants under IBRD | | | | | |
| | | engaged by the Client to provide goods, works, or non- | | Loans and IDA Credits & | | | | | |
| | | consulting services for a project, or any of its Affiliates, shall be | | Grants by World Bank | | | | | |
| | | disqualified from providing consulting services resulting from or | | Borrowers" dated | | | | | |
| | | directly related to | | January 2011, revised o | | | | | |

| Sl.no | Reference of RFP | Clause in RFP | Query | Reply to Query |
|-------|---------------------|--|-------|-------------------------|
| | | those goods, works, or non-consulting services. | | July, 2014. |
| | | Conversely, a firm hired to provide consulting services for the | | |
| | | preparation or implementation of a project, or any of its | | Mott MacDonald being a |
| | | Affiliates, shall be disqualified from subsequently providing | | consortium partner of |
| | | goods or works or non consulting services resulting from or | | PMC for EDFC-2 cannot |
| | | directly related to the consulting services for such preparation | | be hired for providing |
| | | or implementation. | | third party services of |
| | | b. Conflicting assignments | | SESMRC. |
| | | (ii) Conflict among consulting assignments: a Consultant | | |
| | | (including its Experts and Sub-consultants) or any of its Affiliates | | |
| | | shall not be hired for any assignment that, by its nature, may be | | |
| | | in conflict with another assignment of the Consultant for the | | |
| | | same or for another Client. | | |
| | | c. Conflicting | | |
| | | relationships | | |
| | | (iii) Relationship with the Client's staff: a Consultant (including | | |
| | | its Experts and Sub-consultants) that has a close business or | | |
| | | family relationship with a professional | | |
| | | staff of the Borrower (or of the Client, or of | | |
| | | implementing agency, or of a recipient of a part of the Bank's | | |
| | | financing)who are directly or indirectly involved in any part of | | |
| | | (i) the preparation of the Terms of Reference for the | | |
| | | assignment, (ii) the selection process | | |
| | | for the Contract, or (iii) the supervision of the Contract, may not | | |
| | | be awarded a Contract, unless the conflict stemming from this | | |
| | | relationship has been resolved in a | | |
| | | manner acceptable to the Bank throughout the selection | | |
| | | process and the execution of the Contract. | | |

| Sl.no | Reference of RFP | Clause i | n RFP | | Query | / | | Reply to Query |
|-------|-------------------------|------------------|---|----------------|-------|--|----------------|---------------------------|
| 2 | Item no. 6 of | Payment Schedule | | | Since | the acceptance process of the | report is | Existing provision of RFP |
| | Section -7on Payment | Sl.no | Payment Schedule | Payment (%) | | nd the control of the consultant a yment at the mobilisation stage, v | | shall prevail unchanged |
| | Schedule; pg - 62 | 1 | Submission and Acceptance of 1 st Quarterly Report | 20 | | hamper the cash flow of the pr ould like to request to consider fo | | |
| | | 2 | Submission and Acceptance of 2 nd Quarterly Report | 15 | | e payment schedule as suggest 1 (page overleaf) | below in | |
| | | 3 | Submission and Acceptance of 3 rd Quarterly Report | 15 | | | | |
| | | 4 | Submission and Acceptance of 4 th Quarterly Report | 15 | Sl.no | Payment Schedule | Payment (%) | |
| | | 5 | Submission and Acceptance of 5 th Quarterly Report | 15 | 1 | Mobilisation Advance | 15 | |
| | | 6 | Balance amount shall be released after satisfactory completion of | 20 | 2 | Submission and Acceptance of 1 st Quarterly Report | 15 | |
| | | | work but not later than 2 months after expiry of 15 months contract | | 3 | Submission and Acceptance of 2 nd Quarterly Report | 15 | |
| | | | period and extension of work , if any | | 4 | Submission and Acceptance of 3 rd Quarterly Report | 15 | |
| | | | | | 5 | Submission and Acceptance of 4 th Quarterly Report | 15 | |
| | | | | | 6 | Submission and Acceptance of 5 th Quarterly Report | 15 | |
| | | | | | 7 | Balance amount shall be released after satisfactory | 10 | |
| | | | | | | completion of work but not later than 2 months after expiry of 15 | | |
| | | | | | | months contract period and extension of work , if any | | |

| Sl.no | Reference of RFP | Clause i | n RFP | | Query | Reply to Query |
|-------|-------------------------|------------------|--|------------------|---|--|
| 3 | Item no. 6 of | Payment Schedule | | | Over and above the point mentioned in | Existing provision of RFP |
| | Section -7on Payment | | Payment Schedule | Payment (%) | Sr.No.2, we would request to provide interest free mobilisation advance in the payment | shall prevail unchanged |
| | Schedule; pg - 62 | 1 | Submission and Acceptance of 1 st Quarterly Report | 20 | schedule since this is an extensive field- based assignment which will incur | |
| | | 2 | Submission and Acceptance of 2 nd Quarterly Report | 15 | substantial sum of expenses upfront | |
| | | 3 | Submission and Acceptance of 3 rd Quarterly Report | 15 | | |
| | | 4 | Submission and Acceptance of 4 th Quarterly Report | 15 | | |
| | | 5 | Submission and Acceptance of 5 th Quarterly Report | 15 | | |
| | | 6 | Balance amount shall be released after satisfactory completion of work but not later than 2 months after expiry of 15 months contract period and extension of work , if any | 20 | | |
| Query | received from : La | lita Pant J | oshi, Associate Director, Arcadis India I | Private Limited, | email- abhishek.ghosh@arcadis.com | |
| 1 | General | Not me | ntioned | | All World Bank and Funding agency projects have a clause on Liability. Therefore, we request you to introduce a clause on liability of the consultant and also cap the liability of the consultant to the value of the contract. In the current tender document, there is no clause on the liability of the consultant. Please note, no clause on liability is equivalent to unlimited liability | An additional clause on "Liability of the Consultant" is added to Section -8 of Part-II that be read as: Please refer Addendum- 01 |

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|-------|--|--|--|--|
| 2 | Part B of clause 21.1 of ITC data sheet. Pg-24 | Evaluation Criteria: (i) Specific experience of the Consultant (as a firm) relevant to the Assignment: The firm should have undertaken at least one similar assignment, pertaining to monitoring/ review/supervision of Social and Environmental Safeguard during implementation of linear projects like Railways/ Highways/ pipelines (oil, gas, chemical) overhead tension electrical lines etc. funded by multilateral agencies in last seven years | Request you to also include preparation & implementation of RAP for linear projects like Railways/ Highways/ pipelines (oil, gas, chemical) overhead tension electrical lines etc. funded by multilateral agencies in last seven years | RAP implementation shall be considered as a part of Social Safeguard implementation. Rest of the clause remains unchanged. |
| 3 | Sample Form on page 47 and Model form I on page 48 of RFP document | Consultant's representations regarding costs & charges | Kindly Clarify whether the sample form and Model form is required to be submitted with financial proposal | Yes, it is to be submitted along with financial proposal |
| 4 | Clause 14 on Termination pg.68 | The Client may terminate this Contract with at least ten (10) working days prior written notice to the Consultant: If the Consultant, in the judgment of the Client or the Bank, has engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices (as defined in the prevailing Bank's sanctions procedures) in competing for or in performing the Contract | Request you to consider making Clause 14 (c) applicable for both the parties. Consultant does not have an equal right to terminate the contract over onerous or unjust situations such as non-payment. Also, The Consultant shall be entitled to term inate this Agreement with immediate effect by serving a written notice to Client in the event that the Client is in material breach of its obligations under this Agreement and has failed to remedy such breach or breaches within thirty (30) days of receipt of a written notice from the other party specifying the breach and requiring its remedy | Sub-Clauses on "Termination by Consultant", Cessation of Rights & obligations" ,"Cessation of Services" & "Payment upon Termination" have been added to clause-14 Section -8 of Part -2. Please refer Addendum- 01 |
| 5 | Section 7. Terms of | Inception Report to be submitted within two weeks of commencement of the project, out lining the detailed approach | Kindly include a 15% payment milestone for submission of inception report. | Existing provisions of RFP remains unchanged |

| Sl.no | Reference of RFP | Clause in RFP | Query | Reply to Query |
|-------|--|--|---|---|
| | Reference: Clause 5 Deliverables and Timelines and Clause 6 : Payment Schedule , pg- 61 &62 | and methodology, schedule of monitoring surveys and field activities | | |
| 6 | Clause 21.1 of Section -2 of Part-I. | Relevant documents like CA certificate for last 3 financial years shall be submitted. Minimum annual financial turnover shall not be less than INR 10 Crore | Kindly accept audited balance sheets and profit & loss statement which are verified & audited by authorised CA. | Audited balance sheets and profit and loss statement verified & audited by Authorized CA shall be acceptable |
| 7 | Section 7 Terms of Reference Clause 4. CONSULTANT QUALIFICATION S AND STUDY TEAM (pg-59 - 61) | Desirable Qualification M.Phil/ Ph.D. in relevant field for Social Safeguards Specialist (Quantity -1) and Environment Safeguards Specialist (Quantity -1) | Request you to relax the desirable qualification to Masters in relevant field as M.Phil/Ph.D. is a bit difficult for Social Safeguards Specialist (Quantity -1) and Environment Safeguards Specialist with minimum 5 years of experience. | Minimum qualifications already provide a relaxation of Masters Degree. Hence existing provisions remains unchanged. |
| Query | received from: Dr. | MS Prakash, Managing Director, Ecorys India Private Limited, Anl | kit.Tyagi@ecorys.com | |
| 1 | Data Sheet, Clause 14.1.2 | Estimated input of key experts' time input: 24 person months | Given the scope of the assignment, an extensive time input of key experts is envisaged. Accordingly, it is suggested that the key experts' time input be increased to at least 35 person months | Requirement of more than 24 person months is not envisaged for this task. Existing provisions of RFP remains unchanged |

| Sl.no | Reference of RFP | Clause | in RFP | | Query | , | | Reply to Query |
|-------|--|----------------------|--|----------------|--------------------------|--|---|---|
| 2 | Item no. 6 of Section -7on | S.n Payment Schedule | | Payment (%) | | | | Existing provisions of RFP remains unchanged |
| | Payment Schedule; pg - | 1 | Submission and Acceptance of 1 st Quarterly Report | 20 | S.n | Payment Schedule | Payme nt (%) | |
| | 62 | 2 | Submission and Acceptance of 2 nd Quarterly Report | 15 | 1 | Submission and Acceptance of 1 st Quarterly Report | 30 | |
| | | 3 | Submission and Acceptance of 3 rd Quarterly Report | 15 | 2 | Submission and Acceptance of 2 nd Quarterly Report | | |
| | | 4 | Submission and Acceptance of 4 th Quarterly Report | 15 | 3 | Submission and Acceptance of 3 rd Quarterly Report | 15 | |
| | | 5 | Submission and Acceptance of 5 th Quarterly Report | 15 | 4 | Submission and Acceptance of 4 th Quarterly Report | 15 | |
| | | 6 | Balance amount shall be released after satisfactory completion of | 20 | 5 | Submission and Acceptance of 5 th Quarterly Report | 15 | |
| | | | work but not later than 2 months after expiry of 15 months contract period and extension of work , if any | | 6 | Balance amount shall be released after satisfactory completion of work but not later than 2 months after expiry of 15 months | | |
| | | | | | | contract period and extension of work , if any | | |
| 3 | Data Sheet, Clause 17.7 and 17.9 | Bid sub | mission date: 29 March 2021 | | the so reque submi | epare a responsive bid commer cale and magnitude of the assi st that the client to exter ssion date to at least 2 w nse to pre bid queries are uploa | gnment, we nd the bic weeks after | e SESMRC services for I EDFC-2 to maintain |

| Sl.no | Reference of RFP | Clause in RFP | Query | Reply to Query |
|-------|--|---|--|--|
| Query | received from: Visi | ion EIS Consulting Pvt. Ltd., Email- corporate@visioneis.org | | |
| 1 | Part B (i) of Clause 21.1 | The firm should have undertaken at least one similar assignment, pertaining to monitoring/ review/supervision of Social and Environmental Safeguard during implementation of linear projects like Railways/ Highways/ pipelines (oil, gas, chemical) overhead tension electrical lines etc. funded by multilateral agencies in last seven years | assignments which have transmission/ pipeline components. Please confirm. | Yes, Linear projects will also include water supply, drainage, wastewater assignments which have transmission/ pipeline components etc. Rest of the provision in instant clause will be applicable without any change. |
| | Data Sheet, Clause 17.7 and 17.9 | Bid submission date: 29 March 2021 | In order to prepare a technically responsive and competitive proposal, we request you to kindly extension the submission date by 7-10 days. | Given the requirement of SESMRC services for EDFC-2 to maintain continuity and time constraint the submission date cannot be extended. However, 29 th March, 2021 being "Holi" the date has been revised to 30 th March, 2021. Please refer Addendum -01 |