

**MUGHALSARAI-NEW BHAUPUR SECTION OF
EASTERN DEDICATED FREIGHT CORRIDOR**

**PREQUALIFICATION OF SIGNALLING AND TELECOMMUNICATIONWORKS
CONTRACT PACKAGES: 203**

RESPONSES TO PRE-SUBMISSIONQUERIES OF THE APPLICANTS

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
1.	Section I, ITA 25.3 and Section III, Clause 4.2(a) Prequalification of Applicants Section I, ITA 25.3	<p>1) Please refer to Clause ITA 25.3, Part a) Prequalification for one Contract, Option 2, Part (ii) According to the Eligibility Criteria in Section III, Pages 31-32, Clause 4.2 (a), minimum number of contracts required is 3, of minimum value US\$ 20 Million, and total value US\$ 80 Million. With regards to Clause ITA 25.3, N = 3, V = US\$ 20 Million. But total value of all contracts must be US\$ 80 Million, which is not equal to N x V.</p> <p>Kindly Clarify.</p> <p>2) Please refer to Clause ITA 25.3, Part b) Prequalification for multiple Contract The Contract Package - 203 is a single Contract. Hence, the section under "Part b) Prequalification for multiple Contract" is not applicable for</p>	<p>1) Clause 25.3 of Section I: [Instruction to Applicants] covers general provision based on which Section – III, [Qualification Criteria and Requirements] have been finalized for the subject contract and based on these criteria Employer shall evaluate the PQ Applications.</p> <p>Applicants are advised to peruse the requirements as given under Item 4.2 (a), Section III: [Qualification Criteria and Requirements].</p> <p>Provision(s) of PQ Document shall prevail.</p> <p>2) Since the PQ is for a single contract, the relevant part of ITA 25.3 applicable to multiple contacts shall obviously not be applicable.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
		<p>this Contract, and must be deleted vis-à-vis Prequalification Data Sheet.</p> <p>Kindly Confirm.</p>	
2.	<p>Section III</p> <p>Clause 4.2 (a)</p> <p>Specific Construction & Contract Management Experience</p> <p>Pg. 31-32</p>	<p>Please refer to Clause 4.2 (a), Specific Construction & Contract Management Experience, of Qualification Criteria & Requirements</p> <p>The criteria specifies the requirement of up to three similar contracts, each of value of US\$ 20 Million, and of total value of US\$ 80 Million.</p> <p>It is understood that integrated contracts in the rail sector, of value of at least US\$ 20 Million, which have S&T works included in the overall scope, can be used to satisfy this requirement.</p> <p>For example, for a contract of value X, it has component values of Civil Works as A, OHE Works as B and S&T Works as C. If the contract value X is greater than US\$ 20 Million, then this contract value X can be used to satisfy the above requirement, since it has S&T works of value C, similar to the scope of this project, included in its overall</p>	<p>The Section referred by the Applicant is not correct. However the response to the query is as follows:</p> <p>In case of one composite contract having various components such as Civil works, OHE and S&T, the value pertaining to S&T works as described in footnote 7 on page 31 of the PQ Document will be considered for evaluation.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
		<p>scope.</p> <p>In India, there are very few stand alone S&T contracts, with a scope similar to this project, which are of value of at least US\$ 20 Million.</p> <p>Kindly Confirm.</p>	
3.	<p>Section IV</p> <p>Form CCC & Form OC</p> <p>Pg. 46-47</p>	<p>Please refer to Form CCC & Form OC, required as attachments to Form FIN - 3.1.</p> <p>Kindly clarify which if the following interpretations of the above clause are relevant:</p> <p>(i) Form CCC is to be filled with details of construction projects currently being executed, and Form OC is to be filled with details of projects other than construction contracts, such as Manufacturing, Supply, Design etc.</p> <p>OR</p> <p>(ii) Form CCC is to be filled with details of projects currently being executed, and Form OC is to be filled with details of projects which have been awarded to the contractor but whose execution is yet to begin.</p>	<p>(i) In FORM-CCC, the Applicant is required to provide information in respect of (a) Construction Contracts already awarded to the Applicant but not started up to 28 days prior to the Application Submission Deadline; and (b) Construction Contracts started after date of drawing of latest balance sheet submitted by the Applicant.</p> <p>Please note that there is no requirement of submitting information, in FORM CCC, of Construction Contracts which have started before the date of drawing of latest balance sheet submitted by the Applicant.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
			<p>Please also refer to serial number 5 of Addendum No. 3 dated 13.06.2014 and Revised FORM-CCC attached with the Addendum.</p> <p>(ii) Requirement of FORM-OC has been abolished; hence there is no need to submit the FORM-OC. Please refer to serial number 6 of Addendum No. 3 dated 13.06.2014.</p>
4.	<p>FORM CCC</p> <p>(i) Copy of Joint Venture for each contract should be submitted.</p> <p>(ii) Copy of letter of award (LOA) for each contract should be submitted.</p>	<p>As both the information are confidential so we will not be able to provide documents regarding this. However we can provide self -attested information about JV and Customer. In our view that information will be sufficient for PQ submission Please confirm.</p>	<p>In case it is not possible to submit the copy of JV agreement and / or copy of Letter of Award, the Applicant can submit a certificate from the Client stating the member's share in the JV, Contract Amount and Date of Award of Work.</p>
5.	<p>Section I ITA 14.2 and Para 2</p> <p>For construction turnover or financial data required for each year - Exchange</p>	<p>Our Organization follows the Financial year from 1st April to 31st March the next year, in which case please advise on the exchange rates to be used for the Financial year 2013-14 where the financial values are as of 31st March 2014</p>	<p>Please refer to 2nd paragraph of ITA 14.2 in Section I [Instruction to Applicants], read with corresponding ITA in the Section II [Prequalification Data Sheet].</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted) was originally established.		
6.	<p>Section I : Instruction to Applicants Sub clause 25.- Evaluation of applicants Sub clause 25.2</p> <p>Applicants planning to subcontract more than 10% of total volume of work shall specify, in the Application Submission Form, the activity (ies) or parts of the works to be subcontracted along with complete details of the sub-contractors and their qualification and experience. The sub-contractors proposed shall be fully qualified for</p>	<p>Not very Clear ;</p> <p>Section II: PDS mentions that maximum percentage of sub-contracting permitted is 30 % of the total value of the contract.</p> <p>Will the credentials (qualification & experience) of sub-contractors be valid for up to 30 % of the total contract value?</p>	<p>Work proposed to be subcontracted to Specialised Subcontractor for Works stated in Qualification Criteria [Specific Construction & Contract Management Experience] No. 4.2 (b) (iii), (iv) & (v) and 4.3 [Design Experience], for which the experience of the Specialised Subcontractors is considered for evaluation pursuant to ITA 24.2, do not fall under the limit of 30%.</p> <p>The Applicant can propose Subcontractors pursuant to ITA 24.3, in addition to its proposed Specialised Subcontractors for works for a maximum value of 30% of the contract. Such subcontractors shall be evaluated in terms of ITA 25.2.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	<p>their work proposed, and meet the specified criteria in Section III, failing which such sub-contractors will not be permitted to participate. The qualification and experience of sub-contractors proposed by the Applicant under ITA 24.3 will not be considered for evaluation of the Applicant. The Applicant on its own (without taking into account the qualification and experience of the sub-contractor) should meet the prequalification criteria</p>		
7.	<p>Section I : Instruction to Applicants Sub clause 25.- Evaluation of applicants Sub clause 25.4</p> <p>Only the qualifications of</p>	<p>Request you that while computing the technical and financial capacity of the applicant, the technical and financial capacity of their associate should also be eligible.</p> <p>Definition of associate with respect to the applicant: is one who directly/indirectly controls, or are controlled by, or are under</p>	<p>Request not accepted.</p> <p>Provision(s) of PQ Document shall prevail.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	<p>the Applicant shall be considered. In particular, the qualifications of a parent or other affiliated company that is not party to the Applicant under a JV in accordance with ITA 4.2 (or participating as a sub-contractor as per ITA 25.2) shall not be considered.</p>	<p>common control.</p>	
8.	<p>Section III: Qualification Criteria and Requirements Item 3. Financial Situation and Performance Item 3.2 Average annual construction turnover</p> <p>Minimum average annual construction turnover of US\$ 55 (Fifty Five) million, calculated as total certified payments received for contracts in progress and / or completed within</p>	<p>One member : 40 % and Each member :20 %</p> <p>How is it possible in a JV of 5 members?</p>	<p>Provision of PQ Document shall prevail.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	<p>the last 5 (five) financial years, divided by five.</p> <p>All parties combined : Must meet requirement</p> <p>Each Member : Must meet 25% of requirement</p> <p>One member : Must meet 40 % of the requirement</p>		
9.	<p>Section I : Instruction to Applicants ITA 25.3</p>	<p>Evaluation criteria as per ITA 25.3 is unclear and not consistent with technical eligibility criteria as per section III, 4.2 (a).</p> <p>Kindly explain the application as per ITA 25.3 for present scope of works.</p> <p>We understand that actual value of contracts satisfying the minimum value criteria will be totalled to assess the minimum aggregate of 80 Million USD for similar works.</p> <p>Please confirm.</p>	<p>Please refer to response to query no. 1.</p>
10.	<p>General Query</p>	<p>In case the main JV partners themselves fulfilled the eligibility criteria, can they select and decide on the Sub contractors and consultants after</p>	<p>If the JV partners themselves fulfil the qualification criteria, subcontracting of works during execution of works</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
		the award of the contract.	will be permitted subject to subcontracting of works within the overall ceiling of 30% value of works pursuant to ITA 24.3 read with ITA 25.2.
11.	<p>4.1,4.4,25.4 with section III (page 8 & 18)</p> <p>Applicant" include all entities involved or intended to be involved with the proposed Works (including all partners and any of their affiliates that directly or indirectly control, or are controlled by or are under common control with the firm), specialized sub-contractors, consultants, manufacturers or suppliers (as mentioned in Form ELI-1.2 Applicant's Party Information Form), and the personnel</p>	<p>Kindly clarify whether the referred Articles read together would mean that an Indian company which is a subsidiary /affiliate of a firm which satisfies the eligibility criteria can be a part of a joint venture to Bid and use the credentials of parent company to qualify, as both are part of same joint venture. In such cases Section III which provides for the criteria to be met by each member can be met and qualified by its parent/affiliate /controlling company.</p>	<p>Any parent company and its subsidiary company can be members of the same JV. In such cases the credentials of the parent company shall be considered pursuant to ITA 25.4 as one member of the JV. Further, each member is required to fulfil the requirement(s) laid down in the PQ Document.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	of each, for any part of the contract including related services		
12.	4.2 read with Form ELI-1.1 In the form of a joint venture ("JV") under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent.	Kindly clarify whether the joint venture would have to be incorporated or an unincorporated joint venture. Kindly clarify is it possible to bid as a unincorporated consortium Kindly confirm whether the consortium/joint venture can be registered in any country or is it necessary to register in India?	(i) An unincorporated JV is also entitled for bidding. Association among firms that meets the requirements for JV Agreement defined in the PQ are acceptable. (ii)The Joint Venture can be registered in any eligible country.
13.	Section IV Form ELI 1.1 Copies of Beneficial ownership to be attached	Please confirm that beneficial ownership is referred to the immediate shareholders of the company and further beneficial ownership breakup need not be provided. Please also confirm that beneficial ownership, in case of joint stock company listed on the stock exchange market, shall refer to the immediate shareholders as resulting at the moments of the relevant declaration.	Beneficial ownership means the ownership of equity shares of the company. The Applicant should provide the list of equity shareholders holding more than 2% of the paid up share capital. The list of such shareholders should be certified by the Company Secretary or by the Director/Managing Director of the Company. The above would also apply in case of Joint Stock Company listed on a Stock Exchange.
14.	Section 1 ITA 4.4	Please confirm our understanding of ITA 4.4 that an	Please refer to ITA 25.4 of Section: I [Instruction to

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
		Indian affiliates a JV partner or subcontractor can produce credentials of its parent ,associate ,sister companies to fulfill the financial and technical requirements as mentioned in Section III.	Applicants]
15.	Section III 4.2 (b) iii to v Must meet requirement (requirement can be met through a specialized Sub contractor)	Please confirm our understanding that there are no criteria of minimum value for selection of specialized sub-contractors.	The proposed specialised Subcontractors are required to fulfil the minimum eligibility and qualification criteria stipulated in the PQ Document.
16.	Clause 7.2.6 In full recognition of these objectives and with full acceptance of the obligations the contractor shall execute the works taking into account all liabilities and risks that may be involved.	Please clarify what does liabilities and risks include.	These issues shall be dealt with in Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.
17.	Clause 7.2.4 In addition, the contractor shall undertake the rectification of defects and deficiencies for all permanent works	Please confirm role and responsibilities during DLP which contractor will have to perform	These issues shall be dealt with in Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	falling under the purview of the Signalling & Telecommunications works contractor during the Defect liability period extending to a period of 24 months after the date of commissioning.		document.
18.	General Query	Please confirm whether following tax and duties exemption will be provided during project execution :- Excise duties, Custom duties ,VAT/CST	The bidders may note that this DFCC project being funded by the World Bank, qualifies for exemption from payment of Customs Duty and Excise Duty on goods supplied / intended to be supplied to the project in terms of Government of India's Notification no. 84/97 – customs dated 11.11.1997 and Central Excise Notification no. 108/95-C E Dated 28.08.1995 (read with all subsequent amendments including amendment dated 01.03.2008) respectively. However, while quoting the bid price, bidders are advised to ascertain exemptions of custom & excise duty and / or availability of deemed export benefits for goods

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
			<p>required as inputs for completion of the Works under the World Bank Funded Projects. The bidders are also advised to ascertain the availability of the custom / excise exemptions for the goods supplied by their subcontractors used as input for the construction of Works.</p> <p>The issues pertaining to VAT and CST shall be dealt with in Bidding Document.</p>
19.	<p>Clause 11.1 Utilities However there is always a possibility of some of the unidentified utilities being detected by the Signalling & Telecommunications works contractor during the course of the work. These utilities will be relocated by the Signaling & Telecommunications works contractor as per the provisions of the Bid document.</p>	<p>Please confirm that unidentified utilities will be related to works of Signalling & Telecom only.</p>	<p>These issues shall be dealt with in Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
20.	Part-I Pre- Qualification Procedures Section I. Instruction to Applicants: Eligible Applicants Clause No 4.1:	We request you to kindly allow Indian subsidiary of foreign companies, if it is 100 % owned by parent company, to bid on the basis of credentials of parent company. We can provide parent company guarantee.	Reference provided by the Applicant is not explicit. Presuming reference to ITA 25.4, request not accepted. Provision(s) of PQ Document shall prevail.
21.	Part-I Pre- Qualification Procedures Section I. Instruction to Applicants: Eligible Applicants Clause No 4.2:	We request you to kindly consider making direct payments to each member of JV for their respective scope of work.	These issues shall be dealt with in Bidding Document.
22.	Part-I Pre- Qualification Procedures Section I. Instruction to Applicants: Subcontractor Clause No.24:	We request you to kindly allow change of specialized sub-contractor during execution period as per the qualification criteria.	Please refer to ITA 30.1 of Section-I of PQ Document which provides the conditions under which change of structure including change of specialised Subcontractor(s) would be allowed at the time of bidding. Further provision, if any will be dealt in the Bid document.
23.	Part-I Pre- Qualification Procedures Section III: Qualification Criteria and	We request you to kindly reduce the minimum value of each contract to 15 million US \$ and totalling to a value equal to or more than 60 million US \$.	Request not accepted. Provision(s) of PQ Document shall prevail.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	Requirements Experience Clause 4.		
24.	Part-I Pre- Qualification Procedures Section IV: Application Form CCC:	We request you to kindly remove the requirement of sharing copy of JV agreement and LOA due to confidentiality reasons.	Please refer to response to query no. 4.
25.	Part-II Works Requirements Section VII. Scope of Works: Clause No. 9.3:	We request you to kindly remove the requirement of RDSO approval and Cross-acceptance certificate to enable international players.	Request not accepted. Provision(s) of PQ Document shall prevail.
26.	Part-II Works Requirements Section VII. Scope of Works: Clause No. 9.4:	As per the clause, it is mentioned only for signalling items. Do this valid for Telecommunication equipment also?	Cross acceptance is applicable to signalling items only.
27.	Part-II Works Requirements Section VII. Scope of Works: Clause No. 7.3.3.2 (b):	Please clarify the scope of work of the contractor for MTR.	The detailed scope of work for MTR will be provided in the Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.
28.	Part-II Works Requirements Section VII. Scope	We would like to know the method of payment by the DFCC for the relocation work to be	These issues will be dealt with in Bidding Document. Further DFCCIL is

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	of Works: Clause No.11.1.1:	performed for utilities. Also, if during the relocation the utilities, it get damaged, then who will bear the liability?	planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.
29.	Section VII Pg. 69 Clause 7.3.3 Permanent Signalling and Telecommunication works	Kindly provide specific details of item which requires NOC.	These issues will be dealt with in Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.
30.	Section VII Pg. 69 Clause 7.3.3.1 (c) Permanent Signalling and Telecommunication works	Kindly confirm that DFCCIL shall fully facilitate required technical details for development of TMS/EI interface as required by the project.	These issues will be dealt with in Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.
31.	Section VII Pg. 70 Clause 7.3.3.2 (b) Permanent Signalling and Telecommunication works	1. What is the time frame for IR to complete GSM(R) work on its alignment to be also used by DFC? 2. In case of delays in commissioning of GSM(R) by IR, overall GSM(R) work on DFC line cannot function. Please confirm that DFC contractor will not be responsible for such a situation with no implications of	1. These issues will be dealt with in Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document. 2. These issues will be dealt with in Bidding Document. Further DFCCIL is

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
		any type.	planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.
32.	Section VII Pg. 74 Clause 10.1 Project implementation	Defect Notification Period is mentioned as "may be up to twenty four months". Can this period change or is it firm 24 months?	The Defect Notification period will be 24 months.
33.	Section VII Pg. 75 Clause 11.1 Utilities	As per this clause, there is a possibility of unidentified utilities detected by S& T works contractor. These are to be relocated by S&T contractor on payment. In this context kindly clarify that since these are un identified utilities, there is a strong possibility of utility getting damaged during the course of work. In what manner S&T contractor will be held responsible for the consequences of such a damage?	These issues will be dealt with in Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.
34.	Section I Pg. 18 Clause 25.4 Prequalification for Multiple Contracts	Request for accepting 100% owned subsidiary as a JV (Consortium) member. - This includes permitting the subsidiary to refer to the credentials of the parent company.	Please refer to response to query No.14
35.	Clause ITA 17.1	We request you to extend the PQ submission date by 8 weeks from	Please refer to ITA 17.1 and 19.1 of Section II:

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	Pg. 23 of 76	the date of issue of clarification	[Prequalification Data Sheet] as amended through Addendum(s).
36.	Clause 3.2 & 4 Pg. 31 of 76	We sincerely request you to allow Indian subsidiary co of foreign group to use financial/technical experience credentials of group company towards eligibility & qualifying criteria to avoid direct participation of foreign company, which otherwise results in Permanent establishment from tax perspective and ultimately increases price to end customer. Also legal binding with local Indian entity will also ensure long term and quicker support post contract. This is in line with the qualifying criteria that has been set by Railway Board for Train Protection & Warning system. The copy of such criteria extracted from one of the tender are enclosed herewith for your ready reference.	Please refer to response to query No.14
37.	Clause 9.4 Pg. 73 of 76	While we agree that RDSO cross approval for safety related signalling items would give comfort level to DFCCIL, However we strongly recommend that localization requirement of RDSO cross approval policy may be given an exception . As It will defeat the very purpose of the DFC to	Cross acceptance shall be as per the applicable norms of RDSO. These issues will be further dealt with in Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
		<p>allow induction of the new technology and bring fair competition in bidding for this green field projects. Transfer of technology and localization in India for very small quantum (for e.g. just 12 Nos stations of electronic interlocking in this tender) for any new company who does not have any presence in India will not justify the investment. Making this as mandatory requirement will result in participation by only existing company in India and will reduce competition. In case of any concern on long-term support/service, suitable clause (e.g. undertaking, Bank Guarantee, AMC contract etc.) can always be made as a part of tender condition. We sincerely request you to look in to above and make exception to localization requirement of RDSO cross approval policy.</p>	<p>issuance of bidding document.</p>
38.	<p>Clause 9.4 Pg. 73 of 76</p>	<p>It is generally seen that RDSO approval takes long indefinite time, hence We request you to define the time frame of RDSO cross approval process, from the date of submission of all relevant documents by bidder. This will have vital impact on project execution timing & cost.</p>	<p>These issues will be dealt with in Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.</p>
39.	<p>Clause 8(9&10)</p>	<p>Request you to indicate approximate no of routes for</p>	<p>These issues will be dealt with in the Bidding</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	Pg. 72 of 76	Junction stations & Way side crossing stations to be provided with EI under this tender scope.	Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.
40.	Part – 1: Pre-qualification Procedures Section I. Instructions to Applicants (ITA): Eligible Applicants Clause No. 4.2:	In case of a Joint Venture (JV) / Consortium, we request you to kindly consider making direct payments to each member bank accounts for their respective portion/scope of work, for better execution of work at later stage and avoiding post-award litigations etc.	Please refer to response to query no. 21.
41.	Part – 1: Pre-qualification Procedures Section I. Instructions to Applicants (ITA): Sub-contractors Clause No. 24:	We request you to kindly permit change of specialized sub-contractor at any stage of the contract to provide flexibility and competitiveness to bidders as long as proposed specialized sub-contractor meet the qualification criteria.	Please refer to response to query no. 22
42.	Part – 1: Pre-qualification Procedures Section II : Pre-qualification Data Sheets (PDS): D. Submission of Application ITA 24.3:	As stated in the documents maximum percentage of sub-contracting permitted in the work is limited to 30% of the total value of the contract. We request you to clarify whether 30% limit includes specialized sub-contractor as well as normal sub-contractor scope. If the specialized sub-contractor work	The maximum percentage (30%) in ITA 24.3 for subcontracting is in addition to subcontracting to specialised Subcontractor(s).

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
		is included in the 30% limit, we request you to kindly increase it to at least 50% because of the nature of the scope of work.	
43.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and Requirements: 4. Experience Clause 4.2(a):	We request you to kindly consider contracts between 1st January 2001 and application submission deadline. We also request you to kindly reduce the minimum value of each contract to 15 (Fifteen) million US \$ (INR 91.5 Crs) and totaling to a value equal to or more than 60 (Sixty) million US \$ (INR 366 Crs).	Request not accepted. Provision(s) of PQ Document shall prevail.
44.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and Requirements: 4. Experience Clause 4.2(b):	We request you to kindly consider contracts between 1st January 1994 and application submission deadline. We also request you to kindly remove requirement of 36 continuous months. As well as we would also like to get clarification whether these 36 continuous months should have all key activities combined or a single key activity i.e. each key activity can have its own 36 continuous months.	Request for change of date from 1 st January 2006 to 1st January 1994 is not accepted. Provisions of Bidding Document shall prevail. Each key activity can have its own 36 continuous months within the overall period from 1 st January 2006 and application submission deadline.
45.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and	We request you to kindly change the language from “Construction of Signalling works of Automatic Block Signalling / ETCS including track vacancy detection...” to “Construction of Signalling works of Automatic	Please refer to serial number 3 of Addendum No. 3 dated 13.06.2014.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	Requirements: 4. Experience Clause 4.2(b) (ii):	Block Signalling / Automated Train Protection (ATP) including track vacancy detection..." to keep it generic rather than a technology specific, as well the limitation of 10 stations & 9 block section also to be removed.	
46.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and Requirements: 4. Experience Clause 4.2(b) (iv):	We request you kindly reduce the requirement of minimum number of nodes from 60 (Sixty) to 30 (Thirty).	Request not accepted. Provision(s) of PQ Document shall prevail.
47.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and Requirements: 4. Experience Clause 4.2(b) (v):	We request you to kindly change the language from "Construction of Telecom works of Mobile Train Radio System based on GSM – R " to "Communication works for Signalling or Telecom application based on GSM – R "	Request not accepted. Provision(s) of PQ Document shall prevail.
48.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and Requirements: 4. Design	We request you to kindly change the eligibility requirement from "Design of Signalling System for Stations and Automatic Signalling in Block Sections with Electronic Interlocking, Track vacancy Detection, Signals and Point Machines for at least 4 (four) Stations and 4 (four) Block Sections", to	Request not accepted. Provision(s) of PQ Document shall prevail.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	Experience	“Design of Signalling System for Stations and Automatic Signalling in Block Sections with Electronic Interlocking/Panel Interlocking, Track vacancy Detection, Signals and Point Machines for at least 2 (two) Stations and 2 (two) Block Sections	
49.	Part – 1: Pre-qualification Procedures Section IV. Application Forms: Form CCC; Notes 1:	We request you to kindly remove the requirement of sharing a copy of Joint Venture (JV) agreement due to confidentiality reasons.	Please refer to response to query no. 4.
50.	Part – 1: Pre-qualification Procedures Section IV. Application Forms: Form CCC; Notes 2:	We request you to kindly remove the requirement of a copy of Letter of Award (LoA) & JV agreement due to confidentiality commitments.	Please refer to response to query no. 4.
51.	Part – 2: Works Requirements Section VII. Scope of Works: 7.3.3.1. Signalling System (C) :	Regarding interfacing of TMS with TMS/EI equipment of adjacent sections of EDFC, we request you to ensure that supplier of TMS/EI of adjacent sections will modify / design their interfaces as per TMS supplier's requirement.	Details of TMS interfacing shall be provided in the Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
			document.
52.	<p>Part – 2: Works Requirements</p> <p>Section VII. Scope of Works:</p> <p>9. Technical Specifications and approved vendors / suppliers:</p>	<p>We request you to kindly change this requirement to acceptance of equipment as long as it meet RDSO / Employer's specifications and is certified by RDSO approved international Independent Safety Assessor (ISA). Request you to kindly remove the requirement of RDSO Approval / Cross-Acceptance to enable international players to participate without additional and unnecessary expense and indigenization for the purpose of this project.</p>	<p>Request not accepted.</p> <p>Provision(s) of PQ Document shall prevail.</p>
53.	<p>[Section IV: Application Forms] > [Form CCC] Pg. 46 of 76</p> <p>Future Contract Commitment means, the Contract which are awarded but the work execution is yet to start.</p> <p>Notes:</p> <p>1. Copy of Joint Venture (JV) agreement for each contract should be submitted.</p> <p>2. Copy of Letter</p>	<p>The Copy of JV agreement and Letter of Award of each contract are generally perceived as confidential documents. Request you to either waive-off or relax this requirement.</p>	<p>Please refer to response to query no. 4.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	of Award (LoA) for each contract should be submitted.		
54.	<p>[Section IV: Application Forms] > [Form OC] Pg. 47 of 76</p> <p>Other Commitments Other Than Construction Contracts (For Future Works/Projects/Activities To Be Undertaken)</p>	<p>The information that is being asked in this form is not very clear.</p> <p>Are you asking for other opportunities that are being pursued (at bid stage) by the organization currently?</p> <p>Request you to provide an elaborate clarification please.</p>	Please refer to response to query no. 3.
55.	<p>[Section Vii: Scope of Works] > [7.3.3.1 Signalling System] Pg. 69 of 76</p> <p>c) Train Management System (TMS) for monitoring and supervision of train traffic from a centralized location (OCC). The TMS shall be upgradable to Centralized Traffic Control</p>	<p>Please elaborate the additional functionality that should be made available in the Centralized Traffic Control (CTC) system?</p> <p>Also, is the OCC being referred to in the clause 7.3.3.1 [c], included in the current scope of works?</p>	<p>These issues will be dealt with in the Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.</p> <p>The OCC referred in clause 7.3.3.1 [c] is not in the Scope of this Contract.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	without replacement of any Hardware.		
56.	<p>[Section Vii: Scope of Works] > [7.3.3.1 Signalling System] Pg. 69 of 76</p> <p>The TMS shall be designed to interface with TMS/EI equipment of adjacent sections of EDFC.</p>	<p>It may be a possibility that TMS of different vendors may be installed across various sections of the Eastern Corridor.</p> <p>It is only natural to expect tremendous interface challenges (as vendors may have to open-up their protocol information).</p> <p>Please elaborate on the information exchange requirements of the TMS to be installed at the proposed section with the TMS/EI equipment of adjacent EDFC section(s).</p>	<p>These issues will be dealt with in the Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding document.</p>
57.	<p>[Section VII: Scope of Works] > [10. Project Implementation] Pg. 73 of 76</p> <p>10.1 The Construction Period shall be around 3 (three) years (including Design Period) from the date of award of the contract(s). The</p>	<p>Please confirm that the Defect Notification Period is two (2) years after commissioning and Operational acceptance of complete system.</p>	<p>Please refer to response to query no. 32.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	<p>Signalling & Telecommunication Contractor shall be required to plan the various components of work in such a sequence that the complete work is commissioned in 3 (three) years from the date of commencement of contract. This will be followed by the Defect Notification Period, which maybe up to twenty four months, after commissioning and operational acceptance of complete section.</p>		
58.	<p>[Section II: Prequalification Data Sheet] > [D. Submission of Applications] > [ITA 17.1]</p> <p>Pg. 23 of 76</p> <p>The deadline for application submission is:</p> <p>Date: 29.04.2014 Time: 15.00 hrs.</p>	<p>Considering the volume of information that is being asked for in this Pre-Qualification document, we need more time to ensure we submit a complete bid.</p> <p>Request you to kindly extend the application submission date by at least six (6) weeks from the current submission date.</p>	Please refer to response to query no. 35.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
59.	[Section Vii: Scope of Works] > [10. Project Implementation] Pg. 73 of 76	Request you to ensure phased handing-over of commissioned sections (versus integrated testing and commissioning of entire section).	Request not accepted. Provision(s) of PQ Document shall prevail.
60.	Section I. Instructions to Applicants, Eligible Applicants Clause no 4.2 Pg. 8 of 76 An Applicant may be a firm that is a private entity, a government-owned entity—subject to ITA 4.9 - or a combination of such entities in the form of a joint venture (“JV”) under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent.	Please clarify the nature of "JV" whether it is unincorporated JV if not please clarify.	Please refer to response to query no.12.
61.	Section I. Instructions to Applicants, Eligible Applicants Clause no 4.3	Please clarify the difference between Sub-Contractor & Specialised Sub-contractor as mentioned in PQ meeting. Hope same rule is applicable for Specialised Sub-contractor also	Specialised Subcontractor as well as Subcontractor can participate in more than one Application.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	<p>Pg. 8 of 76</p> <p>However, a firm may participate as a sub-contractor in more than one bid, but only in that capacity.</p>	<p>if not please clarify.</p>	
62.	<p>Section III. Qualification Criteria and Requirements. Clause Number 4.2 (b) (ii), Specific Construction & Contract Management experience.</p> <p>Pg. 31 of 76</p> <p>(ii) Construction of Signalling Works of Automatic Block Signalling/ETCS including Track Vacancy Detection, Power Supply Arrangement and Track Side Signals for a minimum of 9 (nine) block sections spanning minimum 10 (ten) stations on any Main Line Railway/Metro</p>	<p>We propose to delete following point.</p> <p>(ii) Construction of Signalling Works of Automatic Block Signalling/ETCS including Track Vacancy Detection, Power Supply Arrangement and Track Side Signals for a minimum of 9 (nine) block sections spanning minimum 10 (ten) stations on any Main Line Railway/ Suburban Rail Transit System.</p>	<p>Please refer to serial no. 3 of Addendum No. 3 dated 13.06.2014.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	Railway/ Suburban Rail Transit System.		
63.	<p>Form CCC, Section IV. Application Forms Pg. 46 of 76</p> <p>Future Contract Commitment means, the Contract which are awarded but the work execution is yet to start.</p> <p>Notes:</p> <ol style="list-style-type: none"> 1. Copy of Joint Venture (JV) agreement for each contract should be submitted. 2. Copy of Letter of Award (LoA) for each contract should be submitted. 3. The figures in columns 5 should be for the share of JV partner. 4. In support of the fund based line of credit, letter of the bank should be submitted. 	<p>We propose to delete following point.</p> <p>Future Contract Commitment means, the Contract which are awarded but the work execution is yet to start.</p> <p>Notes:</p> <ol style="list-style-type: none"> 1. Copy of Joint Venture (JV) agreement for each contract should be submitted. 2. Copy of Letter of Award (LoA) for each contract should be submitted. 3. The figures in columns 5 should be for the share of JV partner. 4. In support of the fund based line of credit, letter of the bank should be submitted. 	Please refer to response to query no. 4.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
64.	Clause 4.2 (b) Pg. 32 of 76	The total activity completed by each member should be within the same 36 months period or the Qualifying activity of the JV member can be in different 2 continuous periods. We request different 2 continuous periods of 36 months should be considered for the JV partners.	Different continuous periods of 36 months can be considered for any key activity by different members of JV.
65.	Clause 4.2 (b) I, ii & 4.3 Pg. 33 & 34 of 76	If a bidder has the requisite rate of production as defined in PQ document, for the work experience of Design and construction of Signalling system for continuous 10 to 12 stations with Electronic Interlocking at stations, Automatic block signalling in Block Sections, Track Vacancy Detection, Power Supply arrangement and Track Side Signals in a single work. Will this single work will be considered as complying with the QQ against 4.2 (b) I,ii & 4.3	A single work can qualify to fulfil the requirement for both 4.2 (b) and 4.3 provided it meets the requirement specified under these paragraphs.
66.	Clause 7.3.3.2 (B) Pg. 70 of 76	MTR is proposed on the detour of DFCC alignment which should integrate with the MTR of the IR. There are only few OEM for MTR. As tech specification of the existing MTR of Rlys are not given in the PQ and suppose we join hands with a JV partner/ specialized subcontractor for the PQ at this stage and subsequently find that there are interoperability issues	(i) & (ii) Request not accepted. Provision(s) of PQ Document shall prevail.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
		<p>about the Handsets, Cab Radios and may be about his System as a whole viz a viz the existing one.</p> <p>We therefore request DFCCIL for</p> <p>i. Not to insist for the sub-contractor at this stage and should be left open for the successful bidder to select the suitable JV partner/ specialized subcontractor at the later stage .</p> <p>OR</p> <p>ii. It is our suggestion that this is being a small percentage work which can be got executed by DFCCIL through NCR, the owner of the existing MTR which in our view will be the best techno commercial option for the DFCCIL.</p>	
67.	<p>Clause 7.3.3.1 (b) Pg. 69 of 76</p>	<p>Track vacancy detection in the block section is proposed to be achieved through DAC whereas in para 7.3.1 continuous track detection has been mentioned. Does the continuous track detection here imply for track vacancy detection?</p>	<p>Continuous track detection and Track vacancy detection have been used in the same connotation. Continuous track detection is sought to be implemented through provision(s) of DAC.</p>
68.	<p>Part – 1: Pre-qualification Procedures Section I Instructions to Applicants (ITA):</p>	<p>We request you to kindly extend the application submission deadline to 29th June 2014 to enable us to get the required information from our parent and affiliate companies and work with possible partners and</p>	<p>Please refer to response to query no. 35.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	D. Submission of Applications ITA 17.1:	specialty sub-contractors.	
69.	Part – 1: Pre-qualification Procedures Section I Instructions to Applicants (ITA): Eligible Applicants Clause No. 4.1:	Kindly allow Applicant(s) to bid on the basis of their credentials, including those of their group affiliates. This will allow the customer to obtain and be satisfied with full qualification credentials and at the same time, allow applicant (s) to collaborate & leverage their global experience for execution of the contract in an efficient manner, in compliance with Indian tax and regulatory norms.	Please refer to response to query no.7.
70.	Part – 1: Pre-qualification Procedures Section I Instructions to Applicants (ITA): Eligible Applicants Clause No. 4.2:	Our understanding of “Joint Venture (JV)” referred in clause No. 4.2 is that it is an Incorporated Joint Venture Company or consortium or Partnership. Please confirm.	Please refer to response to query no. 12.
71.	Part – 1: Pre-qualification Procedures Section I Instructions to Applicants (ITA): Eligible Applicants Clause No. 4.2:	In case of a Joint Venture (JV) / Consortium, we request you to kindly consider making direct payment to each member for their respective scope of work.	Please refer to response to query no. 21.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
72.	Part – 1: Pre-qualification Procedures Section I Instructions to Applicants (ITA): Eligible Applicants Clause No. 4.2:	Please modify clause 4.2 to read as: “An Applicant may be a firm that is a private entity, a government-owned entity—subject to ITA 4.9 - or a combination of such entities in the form of a joint venture (“JV”) under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a JV, all members shall be jointly and severally liable for the execution of the Contract in accordance with the Contract terms. In case of a JV or Consortium, the experience of affiliates of applicants shall be eligible to be considered for evaluation and qualification. The JV shall nominate an authorized representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the prequalification process, bidding (in the event the JV submits a bid) and during contract execution (in the event the JV is awarded the Contract).Unless specified in the PDS, there is no limit on the number of members in a JV.”	Request not accepted. Provision(s) of PQ Document shall prevail.
73.	Part – 1: Pre-qualification Procedures	Please modify clause 4.2 to read as: “A firm and any of its affiliates (that directly or indirectly control, are controlled	Request not accepted. Provision(s) of PQ Document shall prevail.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	Section I Instructions to Applicants (ITA): Eligible Applicants Clause No. 4.4:	by or are under common control with that firm) may submit its application for prequalification either individually, as joint venture or as a sub-contractor among them for the same contract. In such a case, the affiliates experience shall be eligible to be considered for evaluation and qualification. However, if prequalified only one prequalified applicant will be allowed to bid for the same contract. All bids submitted in violation of this procedure will be rejected.	
74.	Part – 1: Pre-qualification Procedures Section I Instructions to Applicants (ITA): Sub-contractors Clause No. 24:	We request you to kindly allow change of specialized sub-contractor at any stage of the contract to provide flexibility and competitiveness to bidders as long as proposed specialized sub-contractor meet the qualification criteria.	Please refer to ITA 30.1 of Section I of PQ Document. Also refer to response to query no. 22.
75.	Part – 1: Pre-qualification Procedures Section I Instructions to Applicants (ITA): Evaluation of Applications No.	We request you to kindly modify the clause to read as “Qualifications of a Parent or other affiliated company of the Applicant shall be considered for the evaluation.” If required a parent company guarantee undertaking from affiliate, direct parent or ultimate parent company can be asked for.	Request not accepted. Provision(s) of PQ Document shall prevail.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	25.4:		
76.	Part – 1: Pre-qualification Procedures Section II : Pre-qualification Data Sheets (PDS) D. Submission of Application ITA 24.3:	Maximum percentage of sub-contracting permitted is limited to 30% of the total value of the contract. We request you to clarify if 30% limit includes specialized sub-contractor as well as normal sub-contractor scope. If the specialized sub-contractor work is included in the 30% limit, we request you to kindly increase it to a minimum of 50% because of the nature of the scope of work.	Please refer to response to query no. 42.
77.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and Requirements 2. Litigation History (2.4)	We request you to kindly consider revising the language to clarify that the court / award relates to a contractual non-performance relating to a commercial transactions of at least \$5,000,000/- or more. The intent of the proposed revision is to ensure that companies that are engaged in multi-business segments such as commercial lending are afforded a fair opportunity of qualifying for the tender and are not viewed unfavourably because of contractual disputes with borrowers;	Request not accepted. Provision(s) of PQ Document shall prevail.
78.	Part – 1: Pre-qualification Procedures Section III : Qualification	Please add a sentence “The financial credentials of applicant’s affiliate, direct parent or ultimate parent company shall be considered for the purpose of	Request not accepted. Provision(s) of PQ Document shall prevail.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	Criteria and Requirements 3. Financial Capabilities 3.1 (i), 3.1(ii), 3.1(iii) and 3.2:	evaluation of applicant's financial capabilities." in Clause 3.1(i), 3.1(ii), 3.1(iii) and 3.2 of financial capabilities. If required a parent company guarantee undertaking from affiliate, direct parent or ultimate parent company can be asked for.	
79.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and Requirements 4. Experience Clause 4.2(a):	We request you to kindly consider contracts between 1st January 2001 and application submission deadline. We also request you to kindly reduce the minimum value of each contract to 15 (Fifteen) million US \$ (INR 91.5 Cr) and totalling to a value equal to or more than 60 (Sixty) million US \$ (INR 366 Crs).	Request not accepted. Provision(s) of PQ Document shall prevail.
80.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and Requirements 4. Experience Clause 4.2(b):	We request you to kindly consider contracts between 1st January 2001 and application submission deadline. We also request you to kindly remove requirement of 36 continuous months.	Request not accepted. Provision(s) of PQ Document shall prevail.
81.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and	We request you to kindly change the language from "Construction of Signalling Works at Stations with Electronic Interlocking, Track Vacancy Detection, Power Supply Arrangement, Track Side	Request not accepted. Provision(s) of PQ document shall prevail.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	Requirements 4. Experience Clause 4.2(b) (i):	Signals and Point Machinesto Construction of Signalling Works at Stations with Electronic Interlocking, Track Vacancy Detection, Automated Train Protection (ATP), Power Supply Arrangement, Track Side Signals and Point Machines” to make it broader but still relevant for the project for greater participation.	
82.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and Requirements 4. Experience Clause 4.2(b) (ii):	We request you to kindly change the language from “Construction of Signalling works of Automatic Block Signalling / ETCS including track vacancy detection...” to “Construction of Signalling works of Automatic Block Signalling / Automated Train Protection (ATP) including track vacancy detection...” to keep it generic rather than a technology specific.	Request not accepted. Provision(s) of PQ document shall prevail.
83.	Part – 1: Pre-qualification Procedures Section III : Qualification Criteria and Requirements 4. Experience Clause 4.2(b) (iv):	We request you kindly reduce the requirement of minimum number of nodes from 60 (Sixty) to 40 (Forty).	Request not accepted. Provision(s) of PQ document shall prevail.
84.	Part – 1: Pre-	We request you to kindly change	Request not accepted.

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	<p>qualification Procedures</p> <p>Section III : Qualification Criteria and Requirements</p> <p>4. Experience Clause 4.2(b) (v):</p>	<p>the language from “Construction of Telecom works of Mobile Train Radio System based on GSM – R “ to “Communication works for Signalling or Telecom application based on GSM – R”</p>	<p>Provision(s) of PQ document shall prevail.</p>
85.	<p>Part – 1: Pre-qualification Procedures</p> <p>Section IV Application Forms: Form FIN 3.1, 2.</p> <p>Sources of Finance:</p>	<p>We request you to kindly give an exemption to provide information required in this form if an applicant is able to reasonably demonstrate availability of working capital with applicant or its ultimate parent company from its audited financial statements.</p>	<p>Request not accepted. Provision(s) of PQ document shall prevail.</p>
86.	<p>Part – 1: Pre-qualification Procedures</p> <p>Section IV Application Forms: Form FIN 3.1, Form CCC:</p>	<p>Please clarify that information to be given in Form CCC is only for Signalling and Train Control contracts. Companies / Entities with multiple business lines or divisions will not be in a position to provide information on all the work contracts executed across business for confidentiality reasons.</p>	<p>Please refer to response to query no. 3 and 4.</p>
87.	<p>Part – 1: Pre-qualification Procedures</p>	<p>We request you to kindly remove the requirement of sharing a copy of Joint Venture (JV) agreement due to confidentiality reasons.</p>	<p>Please refer to response to query no. 4.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	Section IV Application Forms: Form CCC; Notes 1:		
88.	Part – 1: Pre-qualification Procedures Section IV Application Forms: Form CCC; Notes 2:	We request you to kindly remove the requirement of a copy of Letter of Award (LoA) due to confidentiality commitments that we maintain with our global customers.	Please refer to response to query no. 4.
89.	Part – 1: Pre-qualification Procedures Section IV Application Forms: Form FIN 3.1, Form OC:	We request you to kindly give an exemption to provide information required in this form if an applicant is able to reasonably demonstrate availability of working capital with applicant or its ultimate parent company from its audited financial statements.	Please refer to response no. 3(ii) to query no. 3.
90.	Part – 2: Works Requirements Section VII . Scope of Works 7.3.3.1. Signalling System (C)	Regarding interfacing of TMS with TMS/EI equipment of adjacent sections of EDFC, we request you to ensure that supplier of TMS/EI of adjacent sections will modify / design their interfaces as per TMS supplier's requirement.	These issues will be dealt with in the Bidding Document. Further DFCCIL is planning to conduct a workshop with pre-qualified applicants to discuss any critical concerns and issues prior to issuance of bidding

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
			document.
91.	Part – 2: Works Requirements Section VII . Scope of Works 9. Technical Specifications and approved vendors / suppliers	We request you to kindly change this requirement to acceptance of equipment as long as it meet RDSO / Employer's specifications and is certified by RDSO approved international Independent Safety Assessor (ISA). Request you to kindly remove the requirement of RDSO Approval / Cross-Acceptance to enable international players to participate without additional and unnecessary expense and indigenization for the purpose of this project.	Request not accepted. Provision(s) of PQ document shall prevail.
92.	Vol. I; Section I; Pg. 8; Clause 4.2 Definition of "JV"	Please confirm whether the "JV" here includes unincorporated JV/Consortium/Associates.	Please refer to response to query at S. No. 70.
93.	Vol. I; Section I; Pg. 9; Clause 4.6 Conflict of interest	Please provide us with specific names of consultant who shall be considered to have a conflict of interest.	AECOM Asia Company Ltd. Hong Kong is providing 'Engineering Consultancy Services' to the Employer, which inter alia includes Preparation of PQ and Bidding Documents and evaluations of the received PQ Applications and Stage 1 & 2 Bids for the Mughalsarai-New Bhaupur (EDFC-2) and Dadri-Khurja-Ludhiana (EDFC-3) Sections of Dedicated Freight Corridor. The appointment of Project Management Consultant for the supervision of the above

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
			sections is under process and shall be intimated later.
94.	Vol. I; Section I; Pg. 11; Clause 8.1 Deadline of amendment	As the Applicants need to secure the reasonable time to consider the addendum and clarification from the Employer, please confirm by when the Employer issue the addendum and clarification.	Please refer to ITA 8.3.
95.	Vol. I; Section I; Pg. 15; Clause 24.3 Maximum percentage of subcontracting permitted	It is mentioned in PDS that "Maximum percentage of subcontracting permitted is 30% of the total value of the contract." 1)Please confirm whether works to be subcontracted to specialized subcontractors are not applicable for this 30% limitation. 2)Please also confirm whether there is not such a limitation percentage of subcontracting when it comes to specialized subcontractors. 3)Is it possible to relax maximum percentage of subcontracting so that Applicants have enough options to consider enhancing competitiveness of proposal?	1) and 2): Please refer to response to query at S. No. 6. 3) Request not accepted. Provision(s) of the PQ Document shall prevail.
96.	Vol. I; Section I; Pg. 15; Clause 25.2 Change of specialized	1)Are applicants able to change the specialized subcontractors from the one specified in PQ stage into others when applicants	1) Please refer to ITA 30.1 of Section-I of PQ Document which provides the conditions under which

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
	subcontractors.	<p>submit bid proposal?</p> <p>2) If above answer is "yes", we think it is natural and reasonable for Applicants to be allowed to add specialized subcontractors after PQ stage in case Applicants pass PQ stage without specialized subcontractors. This will enable Applicants to propose more competitive proposal.</p>	<p>change of structure including change of specialised Subcontractor(s) would be allowed at the time of bidding.</p> <p>2) In case Applicant has qualified on its own strength without the specialised Subcontractor(s) at PQ stage, the Applicant is still allowed to bring its specialised Subcontractor(s) for the key activities identified in the PQ Document for specialised Subcontractor(s) at the time of bidding, pursuant to ITA 30.1 of Section-I.</p>
97.	<p>Vol. I; Section I; Pg. 19; Clause 30.1</p> <p>Any change in the structure or formation of an Applicant after being prequalified</p>	<p>It is mentioned that "Any such change should be submitted to the Employer not later than fourteen (14) days after the date of the Invitation for Bids." Is it possible to revise a deadline of submission for change of structure or formation of applicants, such as "several days before the bid closing date" so that Applicants can have much time to consider enhancing of its proposal?</p>	<p>Request not accepted. Provision(s) of PQ Document shall prevail.</p>
98.	<p>Vol. I; Section I; Pg. 8; Clause 4.4</p> <p>Definition of "affiliates"</p>	<p>We understand that "applicants" here include its affiliates that directly or indirectly control, or are controlled by or are under common control with the firm. Please specify what is the</p>	<p>Please consult your legal entity.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
		definition of "direct control" and "indirect control".	
99.	Vol. I; Section I; Pg. 15; Clause 23.1 Preference of domestic bidders	It is mentioned that "a margin of preference for domestic bidders shall not apply in the bidding process resulting from this prequalification" Can we understand that there is no preference for Employer to domestic Applicants and/or Applicants that subcontract to domestic contractors?	There is no preference for domestic bidders or domestic Subcontractors.
100.	Vol. I; Section IV; Pg. 35; Clause: Application Submission Form Specification of subcontractors	We understand that Applicants are required to specify specialized subcontractors through Application Submission Form. Please confirm whether subcontractors other than specialized subcontractors are not required to be specified in the form.	Please refer to ITA 25.2 in Section I of the PQ Document.
101.	FORM OC Section IV	<p>i) It is not feasible to provide all the content required in form OC. Request to rephrase the requirement given in Form OC</p> <p>ii) Total of Col 7 & Col 8 is used in calculation in Form FIN 3.1 for (Row H). Col 7 of form OC is Value of "working capital required" and col 8 is "Source of fund". Please clarify our understanding how we can add Value with source.</p>	(i) & (ii) Please refer to response no. 3 (ii) to query no. 3.
102.	Clause 3.2	In further pursuance of this	The cost of inspection/audit

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response
(1)	(2)	(3)	(4)
		<p>policy, Applicants shall permit and shall cause its agents to permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.</p> <p>Please confirm if these inspections will be at the cost of the Employer.</p>	<p>by the Bank/Bank appointed auditors will be borne by the Bank. However, the Applicant/Bidder/Contractor will be responsible for providing all assistance required during inspection/audit at his own cost.</p>
103.	<p>FORM FIN 3.1</p> <p>FORM OC</p> <p>FORM CCC</p> <p>Section IV Application forms</p> <p>(i) FORM Fin 3.1 is required for Financial Situation and Performance.</p> <p>(ii) Form CCC is required for Current Works Contracts to be implemented.</p> <p>(iii) Form OC is required for other commitments</p>	<p>The details required in FORM OC, CCC, Fin3.1 are quite extensive including some confidential data, which will not be possible to share.</p> <p>On our analysis and experience of previous Pre- Qualification documents issued by DFC namely (i) System works contract package –CP 104 & (ii) Contract package -201 & 202 , it is clear that FORMS CCC & OC were never part of PQ documents and FIN 3.1 never required this much information. We do not see any rationale in seeking such information.</p> <p>So we request you to align Pre- Qualification forms for Contract package 203 in line with above said past PQ documents and</p>	<p>Please refer to response to query no. 3.</p>

S.N.	Reference to PQ Document	Clarification Sought by the Applicants	DFCC's Response				
(1)	(2)	(3)	(4)				
	other than construction contracts.	therefore remove this requirement of providing OC & CCC.					
104.	ITA 4.2	<p>Clause Description</p> <p>An Applicant may be a firm that is a private entity, a government-owned entity—subject to ITA 4.9 - or a combination of such entities in the form of a joint venture (“JV”) under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a JV, all members shall be jointly and severally liable for the execution of the Contract in accordance with the Contract terms.</p> <p>Query</p> <p>We understand, that An Applicant may be a single entity or any combination of entities in the form of joint venture or association (JVA)/Consortium with the formal intent, as evidenced by a letter of intent, to enter into an agreement or under an existing agreement.</p> <p>Kindly Confirm that applicant may be a Joint Venture Association or Consortium as well.</p>	Please refer to response to query no. 12.				
105.	Form EXP- 4.2(b)	<p>Clause Description</p> <table border="1" data-bbox="564 1951 954 2020"> <tr> <td data-bbox="564 1951 746 1989"></td> <td data-bbox="746 1951 954 1989">Information</td> </tr> <tr> <td data-bbox="564 1989 746 2020">Description</td> <td data-bbox="746 1989 954 2020"></td> </tr> </table>		Information	Description		In the left hand column of 2 nd table (page 54) of Form 4.2(b), all the activities
	Information						
Description							

S.N.	Reference to PQ Document	Clarification Sought by the Applicants		DFCC's Response
(1)	(2)	(3)		(4)
		of the key activities in accordance with Sub-Factor 4.2(b) of Section III:		listed in Qualification Criteria No. 4.2(b) stated on page no. 32 to 34 of PQ Document are to be inserted and the corresponding information (Total executed quantities of those key activities) is to be filled in the right hand column.
			<i>[insert response to inquiry indicated in left column]</i>	
		<p>As mentioned in the format, "<i>[insert response to inquiry indicated in left column]</i>", no inquiry has been given in the left column corresponding to the same.</p> <p>Kindly clarify the inquiry with respect to which the "Information" column has to be filled.</p>		