



-: Tender No:-

# EDFC\_CGM\_TDL\_TM\_2022

For the Work

Operation & Maintenance of 09-3x-Dynamic-Temping Machine including supply of spares for 36 month (3 years) extendable up to 60 months(5 years) for section New Bhaupur to New Khurja of Eastern Dedicated Freight Corridor.

# E-Tender Document

March-2021

-:Employer:-

Dedicated Freight Corridor Corporation of India Limited (A Government of India Enterprise) (Under Ministry of Railways)

Chief General Manage/ Tundla 3/20, 3rd Floor, KPS Tower, Mayur Complex, Nagla Padi, Agra-282002.

Corporate Office: 5th Floor, Supreme Court, Metro Station Building Complex, New Delhi-110001.



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#### PART-1 Chapter – I NOTICE INVITING E-TENDER

DATE: 15.03.2022

## Tender No: EDFC\_CGM\_TDL\_TM\_2022

#### Dear Sirs,

Operation & Maintenance of 09-3x-Dynamic-Temping Machine including supply of spares for 36 month (3 years) extendable up to 60 months (5 years) for section New Bhaupur to New Khurja of Eastern Dedicated Freight Corridor.

1.1.1 Chief General Manager/TUNDLA, Dedicated Freight Corridor Corporation of India Limited, 3/20, 3<sup>rd</sup> Floor, KPS Tower, Mayur Complex, Nagla Padi, Near Tulsi Cinema, NH-02, Agra -282002, India, invites single tender through E-tendering from Original Equipment Manufacturer (09-3X Dynamic Tamping Machine) on prescribed forms for execution of the following work:

Tender No.	EDFC_CGM_TDL_TM_2022		
Name of Work	Operation & Maintenance of 09-3x-Dynamic-Temping Machine including supply of spares for 36 month (3 years) extendable up to 60 months (5 years) for section New Bhaupur to New Khurja of Eastern Dedicated Freight Corridor.		
Employer	Chief General Manager/TDL, DFCCIL, 3/20, KPS Tower, Mayur Complex, 3 <sup>rd</sup> Floor, Near Tulsi cinema, NH-02, Nagla Padi, Agra-282002, U.P.		
Type of Tender	Single E-Tender		
Type of Contract	Single stage/ Single Tender		
Tender Value	₹ 159858156.25/-		
Period	36 Months (extendable up to 60 Months)		
Earnest Money Deposited (EMD)	₹ 9,49,300.0 (Nine Lacs Fourty Nine Thousand Three hundred only) To be deposit in DFCCIL's Account through online mode.		
Security Deposit	5% of contract value. As per GCC clause no. 16(1) & (2)		
Cost of Tender Document	Rs. 10,000/- + 18% GST		
Tender processing fee	As per term & conditions of e-tendering website.		
E-tendering website	www.ireps.gov.in For any help in connection with E-tendering & matter relating to Digital signature, please contact 'Help Desk' available on left Navigation Block of home page of the site https://www.ireps.gov.in.and phone No. 011-		



	23761525
Date of uploading of NIT & Bid documents (online publishing date)	17.03.2022
Date of document download/Sale (Online)	As per IREPS
Issue of Corrigendum, if any	On or before last three days from last date of submission
Date & Time of Submission of Tender	06.04.2022
Last date of submission of EMD, tender document cost	06.04.2022
Date & Time of Opening of Tender	06.04.2022 at 15:30 Hrs
Validity of Offer	120 days

1.1.2 Eligibility shall be assessed on applicants, fulfilling the technical capability and competence as well as for financial and organizational resources as specified in clause no. 1.3.13 (i) A & B of Instruction to tenders (Part - I, Chapter III).

- 1.1.3 Tender document can be downloaded from DFCCIL's website <u>www.dfccil.com</u>, <u>www.ireps.gov.in</u> & Central Procurement portal <u>https://eprocure.gov.in</u>. Tenderers are advised not to make any corrections, additions or alterations in the downloaded tender documents. In case, any corrections additions or alterations in the downloaded tender documents are made, such tender shall summarily be rejected.
- 1.1.4 DFCCIL may issue addendum(s) / corrigendum(s) to the tender documents. In such cases the addendum(s) / corrigendum(s) shall be issued and placed on DFCCIL's website: www.dfccil.com, www.ireps.gov.in at least three days in advance of date of opening of tender. The tenderer who have downloaded the tender documents from the website before issue of addendum(s)/ corrigendum(s) must visit the website and ensure that such addendum(s) / corrigendum (s) (if any) is also downloaded by them. Such addendum(s) / corrigendum (s) (if any) shall also be submitted along with the submission of tenders.

To participate in the E-Bid submission, it is mandatory for the bidders to have user registration on IREPS Portal and valid Class III DSC as required by IREPS. Help desk for E- Tendering,

- A. For any help in connection with E-tendering, please contact 'Help Desk' available on left Navigation Block of home page of the site https://www.ireps.gov.in.
- B. User manual for Contractors is available under Learning Centre tab on the left Navigation block of the home page of the site https://www.ireps.gov.in.The procedure of using Help Desk is also described in this user Manual. The bidders may contact at 011- 23761525 in case of any doubt.



- 1.1.5 Bidder shall submit Tender documents cost & Earnest Money Deposit (as mentioned in instructions to tenderer,) in DFCCIL Bank Account as mentioned below through net banking or payment gateway (Online Mode only) On or before schedule date & time of submission of bid. Tender documents cost should be uploaded / attached along with Bid/offer document.
  Name of Account: Dedicated Freight Corridor Corporation of India Ltd, KANPUR Name of Bank: -Union Bank of India, Kamla Nagar, Agra.
  Account Number: 302601010780193
  Type of account: Current Account.
  IFSC code: UBIN0561657
- 1.1.6 Tenders shall be opened electronically on dated 06.04.2022 at 15:30 hours Address of Office of the Chief General Manager/ TDL (for opening of tenders): Chief General Manager/ TDL, DFCCIL, 3/20, KPS Tower, Mayur Complex, 3rd Floor, Near Tulsi cinema, NH-02, Nagla Padi, Agra-282002, U.P. Bid received shall be opened on the date and time mentioned above in the tender notice. Bid of the bidders shall be opened through process of E-tendering.
- 1.1.7 Tender received without EMD and cost of tender documents from such bidders who are not registered under MSEs and not exempted as per term & conditions (in case of downloaded tenders) in the form as specified in the tender documents shall not be considered and shall be summarily rejected.
- 1.1.8 DFCCIL reserves right to cancel the tender before submission / opening of tender, postpone the tender submission / opening date and to accept / reject any or all tenders without assigning any reason thereof. DFCCIL's assessment of suitability as per eligibility criteria shall be final and binding.
- 1.1.9 Tenderer may note that they are liable to be disqualified at any time during tendering process in case any of the information furnished by them is not found to be true. Decision of DFCCIL in this regards shall be final and binding.
- 1.1.10 Information as required as per various Forms to tender document should be submitted by the tenderer without fail strictly as per formats.
- 1.1.11 The validity of offer shall be 120 days from the date of opening of the tender.
- 1.1.12 Transfer of the tender document purchased by intending tenderer to another tenderer is not admissible.
- 1.1.13 Tenderer must read all instructions regarding E-tendering process as mentions in INSTRUCTION TO TENDERERS.
- 1.1.14 Tenderers are advised to regular visit the E-Tender Portal (https://www.ireps.gov.in) for information regarding tender. Corrigendum, addendum (if any).

Chief General Manager /TDL For & on behalf of DFCCIL



## PART-1 Chapter – II GENERAL DATA SHEET

TENDER NOTICE NO	EDFC_CGM_TDL_TM_2022 DATE:- 16.03.2022	
Name of the work	Operation & Maintenance of 09-3x-Dynamic-Temping Machine including supply of spares for 36 month (3 years) extendable up to 60 months (5 years) for section New Bhaupur to New Khurja of Eastern Dedicated Freight Corridor.	
(a) Tender Value	₹ 159858156.25/-	
(b) Completion Period	36 Months (extendable up to 60 Months)	
(c) Earnest Money	₹ 9,49,300.0 (Nine Lacs Fourty Nine Thousand Three hundred only) To be deposit in DFCCIL's Account through online mode.	
(d) Date and Time of Issue of Tender	As per IREPS	
(e) Last date and Time of submission of Tender	06.04.2022 upto15:00hrs	
(f)Date and Time of Opening of Tender	On 06.04.2022 at 15:30hrs	
(g) Validity of offer	120 days	
(h)Retention Money / Security Deposit	5 % of Contract Value	
(i)Performance Bank Guarantee	Performance Guarantee (PG) have to be submitted within 30(thirty) days from the date of issue of Letter Of Acceptance (LOA), amounting to 3% of the contract value in the form as give in clause 16.4 of GCC	



#### PART-1 Chapter – III Instructions To Tenderer

#### 1.3.1 Introduction

#### I. General

Dedicated Freight Corridor Corporation of India Ltd. (DFCCIL), a public sector under taking has been set up under the Indian Companies Act, 1956 for implementation of Dedicated Freight Corridor Project. Government of India is the sole shareholder of the DFCCIL.

Ministry of Railways (MOR), Government of India has planned to construct Dedicated Freight Corridor (DFC) covering about 3338 route Kilometres on Eastern and Western Corridors. The coverage of Eastern Corridor is from Ludhiana to Dankuni and Western Corridor is planned from Jawaharlal Nehru Port, Mumbai to Rewari / Tughlakabad / Dadri near Delhi. There will be a linkage between two corridors at Dadri.

#### II. Eastern Dedicated Freight Corridor

Eastern DFC Route will be approximately 1839 Km long from Dankuni to Ludhiana via Dankuni – Asansole – Dhanbad – Gaya – Sonnagar - Mughalsarai - Allahabad - Kanpur - Tundla - Aligarh - Khurja - Bulandshahr – Meerut – Saharanpur – Ambala - Ludhiana. Proposed alignment of DFC has been generally kept parallel to existing Indian Railway line except provision of detours at some stations where the existing yards /cities are congested.

Level Crossings (LC's) are generally unsafe locations and also a congestion points for road/rail's users. These LC's are operational bottlenecks for Railways /DFCCIL in terms of loss in punctuality and reduction in line capacity. Construction of ROB(s)/ RUB(s) is financially and operationally beneficial apart from the fact that it improves the safety of Rail / Road users.

The New Bhaupur-New Khurja section of Eastern Dedicated Freight Corridor has been dedicated to nation by the Honourable Prime Minister of Government of India on 29<sup>th</sup> Dec-2020. Commercial operation of Goods Trains has been started in the section The New Bhaupur-New Khurja section of Eastern Dedicated Freight Corridor. For smooth and safe running of Goods train regular maintenance of tracks including yards, Civil buildings and major & minor bridges are essentially required as per protocol and standards.

EDFC-1 is part of EDFC and start from New Bhaupur CH: 518.087 to New Khurja CH: 849.140 of DFCCIL . The section is approximately 350 km long and having 10 crossing/junction stations in between. The details of station is as under .In addition to crossing/junction stations there are 10 Nos IMDs/IMSDs near station buildings and many other service building in block sections.

S.No	Description	Type of Station	Location	Chainage
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New Bhaupur station		New Rhaunur	E10 007
•		New Bhaupur Yard	518.087
IMSD New Bhaupur	Junction station	Taru	517.837
New Kanchausi	Crossing	New Kanchausi	558.277
station	Station	yard	
IMSD New Kanchausi			559.187
New Achalda station	Crossing	New Achalda	584.887
IMSD New Achalda	Station	raiu –	585.037
New Ekdil station	Crossing Station	New Ekdil Yard	618.264
IMD New Ekdil	Station		618.871
New Bhadan station	Crossing	New Bhadan	664.421
IMSD New Bhadan	Station	raiu –	663.621
New Makhanpur station	Crossing	New	696.371
IMSD New Makhanpur	Station	Yard	698.011
New Tundla station	Junction station	New Tundla	703.807
IMSD New Tundla		raiu –	704.282
New Hathras station	Crossing Station	New Hathras	768.683
IMSD New Hathras	Station	raiu –	769.683
New Daudkhan station	Junction station	New Daudkhan	797.851
IMSD New Daudkhan		raiu –	798.451
New Khurja station	Junction station	New Khurja	849.140
IMD New Khurja		rard	850.270
	New Kanchausi station IMSD New Kanchausi New Achalda station IMSD New Achalda New Ekdil station IMD New Ekdil New Bhadan station IMSD New Bhadan New Makhanpur station IMSD New Makhanpur New Tundla station IMSD New Tundla New Hathras station IMSD New Hathras New Daudkhan station IMSD New Daudkhan New Khurja station	New Kanchausi stationCrossing StationIMSD New KanchausiCrossing StationNew Achalda stationCrossing StationIMSD New AchaldaCrossing StationIMSD New AchaldaCrossing StationNew Ekdil stationCrossing StationIMD New EkdilCrossing StationIMSD New Bhadan stationCrossing StationIMSD New BhadanCrossing StationIMSD New BhadanCrossing StationNew Tundla stationCrossing StationIMSD New TundlaJunction stationIMSD New TundlaCrossing StationNew Hathras stationCrossing StationIMSD New HathrasStationIMSD New HathrasLunction stationIMSD New Daudkhan stationJunction stationIMSD New DaudkhanJunction stationIMSD New DaudkhanLunction stationIMSD New DaudkhanLunction stationIMSD New DaudkhanLunction station	IMSD New BhaupurJunction stationNew Kanchausi stationCrossing StationNew Kanchausi yardIMSD New KanchausiCrossing StationNew Kanchausi yardIMSD New KanchausiCrossing StationNew Achalda YardIMSD New AchaldaCrossing StationNew Ekdil Yard YardIMD New EkdilCrossing StationNew Ekdil Yard YardIMD New EkdilCrossing StationNew Bhadan YardIMSD New BhadanCrossing StationNew Bhadan YardIMSD New BhadanCrossing StationNew Makhanpur YardIMSD New Makhanpur IMSD New Tundla stationJunction station 

#### III. General instructions (for on line tendering system)

Submission of Online Bids is mandatory for this Notice Inviting Tender E-Tendering is a new methodology for conducting Public Procurement in a transparent and secured manner. Suppliers/Vendors will be the biggest beneficiaries of this new system of procurement. An e-tendering portal of Dedicated Freight Corridor Corporation of India (DFCCIL) introduced for the process of e-tendering which can be accessed on www.ireps.gov.in. (refer in the BID DOCUMENTS)

Words in capital and not defined in this document shall have the same meaning as in "BID DOCUMENTS".

#### A) <u>Accessing/purchasing of bid documents:</u>

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It is mandatory for all the Bidders to have class-III digital signature certificate (in the name of person who will sign the Bid) from any of the licensed certifying agency ("CA") [Bidders can see the list of licensed CAs from the link www.cca.gov.in] to participate in e-tendering of DFCCIL.

The BID DOCUMENTS can be viewed /downloaded from the website www.dfccil.com, www.ireps.gov.in & Central Procurement portal https://eprocure.gov.in free of cost till one day prior to last date of submission of the Application upto 15.00 hrs.

Following may be noted-

- I. Bids can be submitted only during the validity of registration with the ireps.gov.in.
- II. The amendments to the BID DOCUMENTS, if any, will be posted on the website www.dfccil.com, www.ireps.gov.in & Central Procurement portal https://eprocure.gov.in.
- III. Registration with the ireps.gov.in should be valid at least upto the date of submission of bid.

# Help desk for E- Tendering

- A. For any help in connection with E-tendering, please contact 'Help Desk' available on left Navigation Block of home page of the site https://www.ireps.gov.in.
- B. User manual for Contractors is available under Learning Centre tab on the left Navigation block of the home page of the site https://www.ireps.gov.in. The procedure of using Help Desk is also described in this user Manual. The bidders may contact at 011-23761525 in case of any doubt.
- C. To participate in bidding, Bidders have to pay a sum of Rs. 10,000/- + GST @ 18% i.e. Rs. 11,800/- (Rs. Eleven Thousand & Eight Hundred Only) as a cost of the BID DOCUMENT (non-refundable) as mentioned in clause 1.3.4.3 of instructions to tenderer, Part I, Chapter III.

# B) Preparation & submission of applications:

Detailed BID DOCUMENTS may be downloaded from www.ireps.gov.in and the Bid may be submitted online following the instructions as per user manual on www.ireps.gov.in portal. A Vendor manual containing the detailed guidelines for e-tendering system is available on ireps.gov.in.

# Only Electronic Form (to be uploaded on the IREPS)

Submission of Financial & Technical bid in prescribed Format in ON LINE MODE ONLY. No other mode of submission accepted.

# C) Document should be uploaded on the IREPS side (On line mode only)

- I. Copy of PAN Card.
- II. Copy of GST Registration Certificate. In case the tenderer is yet to get GST registration Number, Upload the proof for applying GST Registration.



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- III. Integrity Pact as per Form No. 20.
- IV. Registration Certificate, if any, under Labour Law.
- V. Tenderers are required to upload affidavit as per Form No. 22 as stipulated in clause 1.3.13 (iii) regarding documents in support of his/their claim to fulfil the eligibility criteria in the tender document.
- VI. An undertaking from the person having PoA, that they agree and abide by the bid documents uploaded by DFCCIL and amendments uploaded, if any.
- VII. SUBMISSION OF FINANCIAL ELIGIBILITY CRITERIA CREDENTIALS In prescribed format mentioned in BID DOCUMENTS.
- VIII. SUBMISSION OF TECHNICAL ELIGIBILITY CRITERIA CREDENTIALS in prescribed format mentioned in BID DOCUMENTS
- IX. Copy of Memorandum and Articles of Association, if the Applicant is a body corporate, and if a partnership then a copy of its partnership deed;
- X. Bid document with financial offer and other relevant documents.
- XI. Contractor Shall submit the EMD as prescribe in tender document and upload the proof of same.
- XII. Contractor shall submit cost of BID DOCUMENTS of ₹ 11800/- (Rs. Eleven Thousand & Eight Hundred only), as mentioned in clause 1.3.4.3 of instructions to tenderer, Part I, Chapter III) through net banking or payment gateway in DFCCIL Bank Account as mentioned below (Online Mode only) On or before schedule date & time of submission of bid. The proof of submission Tender documents cost should be uploaded/attached along with Bid/offer document.

Name of Account: - Dedicated Freight Corridor Corporation of India Ltd, KANPUR Name of Bank: -Union Bank of India, Kamla Nagar, Agra. Account Number: - 302601010780193 Type of account: - Current Account. IFSC code: - UBIN05616

- XIII. The Bidder shall upload scanned copies of the documents on the ireps.gov.in on or before due date & time. No hard copy of the documents is required to be submitted.
- XIV. A copy of the tender papers duly signed in ink by the tenderer, on each and every page in token of his having studied the tender papers carefully shall be uploaded.

NOTE:-

- a) Please ensure that all uploaded documents should be digital signed.
- b) The name of the downloaded files should not be changed.
- c) While uploading the documents, it should be ensured that the file name should be the name of the document itself. The entire technical document through digital signature would first be uploaded in 'Document Library' and after that, attach entire tender document in the particular tender.

# D) Modification / Substitution/ Withdrawal of bids:



- (i) The Bidder may modify, substitute or withdraw its e- bid after submission. Prior to the Bid Due Date. No Bid shall be modified, substituted or withdrawn by the Applicant on or after the Bid Due Date.
- (ii) Any alteration/ modification in the Bid or additional information supplied subsequent to the Bid Due Date, unless the same has been expressly sought for by the Authority, shall be disregarded.
- (iii) For modification of e-bid, applicant has to detach its old bid from e-tendering portal and upload / resubmit digitally signed modified bid.
- (iv) For withdrawal of bid, applicant has to click on withdrawal icon at e-tendering portal and can withdraw its e-bid. (or as spe)
- (v) Before withdrawal of a bid, it may specifically be noted that after withdrawal of a bid for any reason, applicant cannot re-submit e-bid again.

# E) Opening and evaluation of bids:

- (i) Opening of Bids will be done through online process.
- (ii) For participating in the tender, the authorized signatory holding Power of Attorney shall be the Digital Signatory. In case the authorized signatory holding Power of Attorney and Digital Signatory are not the same, the bid shall be considered nonresponsive.
- (iii) The Authority shall open bid documents received in electronic form at 15:30 hours Indian Standard Time on the Bid due date i.e. in the presence of the Bidders who choose to attend. This Authority will subsequently examine and evaluate the Bids in accordance with the provisions set out in the BID DOCUMENTS.

# DISCLAIMER

The Bidder must read all the instructions in the BID DOCUMENTS and submit the same accordingly.

# F) Online E-Bidding Methodology:

Online E- Bid System – Financial bids & Technical bids shall be submitted by the bidder at the same time.

# G) Digital Certificates

For integrity of data and its authenticity/non-repudiation of electronic records and to be compliant with IT Act 2000, it is necessary for each user to have a Class Three Digital Certificate (DC), also referred to as Digital Signature Certificate (DSC), of Class-III issued by a Certifying Authority (CA) licensed by Controller of Certifying Authorities (CCA) [refer http://www.cca.gov.in].

# IV. Scope of Work

On behalf of President of India, Chief General Manager / Tundla, Dedicated Freight Corridor Corporation of India Limited, 3/20, 3rd Floor, KPS Tower, Mayur Complex, Nagla Padi Near Tulsi Cinema, NH-02, Agra -282002, India herein after referred to as 'DFCCIL' is inviting Single e-tender from OME having requisite experience and financial capacity for execution of the following work:



किटेड फ्रेंट कोरीडोर EDFC\_CGM\_TDL\_TM\_2022

Operation & Maintenance of 09-3x-Dynamic-Temping Machine including supply of spares for 36 month (3 years) extendable up to 60 months (5 years) for section New Bhaupur to New Khurja of Eastern Dedicated Freight Corridor.

# V. Scope of work is as per the requirements given in the bid document but not limited to:

- i. Operation of 09-3X-Dynamic Temping Machine in section between DFC Chainage. From KM 509 to 851 & other section of EDFC & WDFC also.
- ii. Supply of spares.
- iii. Maintenance of machine as per schedule & as required time to time.
- iv. Any other essential work for operation & maintenance of machine.
- VI. Cost of the work: The estimated cost of the tendered work is approximately Rs 15.99+ crore (Rupees Fifteen Crore and Ninety Nine lakh only).
- VII. The tenderer shall be governed by General Conditions of Contract (GCC), Instructions to Tenderers (ITT) and Special Conditions of Contract (SCC). Wherever, there is a conflict in any condition between GCC and Special Conditions of Contract mentioned in the tender documents, the condition mentioned in Special Conditions of Contract will prevail. However, Engineer's decision in this connection shall be final and binding.

#### VIII. Location

Operation and Maintenance Works are to be executed between New Khurja - New Bhaupur Section i/c its connection with IR at various location of Eastern Dedicated Freight Corridor. However, DFCCIL reserves right to change the site of work anywhere in adjacent/adjoining area of EDFC and WDFC of the work defined in Para 1.3.1(iii) above in the jurisdiction and the contractor shall be bound to execute the work without any extra cost.

#### 1.3.2 (a) Tender Bid

The Tender Bid shall be submitted **through online only on website** <u>www.ireps.gov.in</u> as under:-

Eligibility/Qualifying element of the Tender Bid along with other documents mentioned in para 1.3.2 (b), here in after called "TECHNICAL & Financial BID "The detailed procedure for tender opening and processing is given in Para 1.3.5.

#### 1.3.2 (b) Form of Tender

The Tender documents shall be in Single **packets** viz:- "containing Technical & financial bid. Detailed credentials as per the requirement of eligibility criteria and all tender papers including Summary of Prices and Schedule of Prices are to be submitted in "TECHNICAL & FINANCIAL BID".

Summary of Prices and Schedule of Prices with percentage above /below / at duly filled in are to be submitted in Form No. 3 & 4 "BID DOCUMENT".

#### Tenders not uploaded in the proper Forms are liable to be rejected.



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# (i) Documents to be enclosed with the TECHNICAL & Financial BID

S No	Description	Documents	
. 1	Offer letter complete.	Form No.1	
2	Tenderer's credentials in accordance With para1.3.13 (i) & (ii) of Preamble	Form No. 2A,2B & 2C	
	and General Instructions to Tenderers.	20	
3	Earnest money/Bid security declaration Para 1.3.8 and Cost of Tender Do downloaded tenders in accordance Instructions to Tenderers in an envelope	ocument in case of with Para1.3.4.3 of	
4	Written confirmation authorizing the signatory of the tender to commit the tenderer and other documents as per format as applicable, in accordance with para1.3.6 of Preamble and General Instructions to Tenderers.		
5	A copy of the tender papers duly s tenderer, On each and every page in studied the tender papers ca attached/uploaded with the tender.	token of his having	
6	Summary of Prices, Schedule of Prices & Total Prices.	Form No 3 & 4.	

## **1.3.3 Tender Document**

This tender document consists of following Four parts:

PART / CHAPTER	PERTICULAR		
	PART-1		
CHAPTER-I	Notice Inviting E-Tender		
CHAPTER-II	General Data Sheet		
CHAPTER-III	Instruction To Tenderer		
CHAPTER-IV	General Condition of Contract		
CHAPTER-V	Special Condition of Contract		
	PART-2		
CHAPTER-VI	General Instructions for Safety		
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CHAPTER-VIII	Tender Forms/ Annexures		

# **1.3.4** Sale and Submission of Tender Document

**1.3.4.1** Bidder must note that this being E-tender, bids received only through online mode on E-tendering portal https://www.ireps.gov.in shall only be considered as an offer.



## **1.3.4.2** Clause applicable for tender documents downloaded from Internet

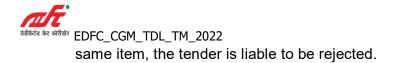
Tender is free to download tender documents at their own cost, for the purpose of perusal as well as for using the same as tender document for submitting their offer. Master copy of the tender document will be available in the office of Chief General Manager / Tundla, 3/20, 3rd Floor, KPS Tower ,Mayur Complex, Nagla Padi Near Tulsi Cinema, NH-02,Agra -282002 India. After award of the work, an agreement will be drawn up. The agreement shall be prepared based on the master copy available in the office of Chief General Manager / Tundla, Dedicated Freight Corridor Corporation of India Limited, 3/20, 3rd Floor, KPS Tower, Mayur Complex, Nagla Padi Near Tulsi Cinema, NH-02,Agra -282002 India and not based on the tender documents submitted by the Tenderer. In case of any discrepancy between the tender documents downloaded from the internet and the master copy, later shall prevail and will be binding on the Tenderers. No claim on this account shall be entertained.

## 1.3.4.3 Cost of Tender documents downloaded from internet

Tender documents are available on Dedicated Freight Corridor Corporation of India Limited website i.e. www.dfccil.com & www.ireps.gov.in and the same can be downloaded and used as tender documents for submitting the offer. The cost of the tender document as indicated above in NIT. The cost of the tender document will have to be deposited by the tenderer in DFCCIL Bank Account as mentioned below through net banking or payment gateway (online mode only) On or before schedule date & time of submission of bid. The proof of submission of Tender documents cost should be uploaded/attached along with Bid/offer document. In case, tender is not accompanied with the cost of the tender document as detailed above, tender will be summarily rejected.

Name of Account :- Dedicated Freight Corridor Corporation of India Ltd, KANPUR Name of Bank :-Union Bank of India, kamla Nagr, Agra. Account Number :- 302601010780193 Type of account:- Current Account. IFSC code:- UBIN0561657

- 1.3.4.4 Complete tender documents must be submitted online duly completed in all respect upto 15.00 Hrs on 06.04.2022 Bid/Offer will be opened at 15.30 Hrs on the same day and read out in the presence of such tenderer as is/are present. In case the intended date for opening of tenders is declared a holiday, the tenders will be opened on the next working day at the same time. Any modified date and time for submission of tenders shall be uploaded on DFCCIL website "www.dfccil.gov.in & www.iresps.gov.in "The detail procedure of tender opening will be as per para1.3.5.
- 1.3.4.5 Deleted.
- **1.3.4.6** The rates should be quoted carefully in figures as well as in words. If there is variation between rates quoted in figures and in words, the rate quoted in 'words' shall be taken as correct. If more than one or improper rates are tendered for the



- **1.3.4.7** Each page of the tender papers is to be signed by the tenderer or such person/s on his/their behalf that is/are legally authorized to sign for him / them.
- **1.3.4.8 Care in Submission of Tenders** (Railway Board letter no. 2017/CE-I/CT/4/GST dated 23.06.2017)
  - (a) (i) Before submitting a tender, the tenderer will be deemed to have satisfied himself by actual inspection of the site and locality of the works, that all conditions liable to be encountered during the execution of the works are taken into account with that the rates he enters in the tender forms are adequate and all inclusive to accord with the provisions in clause-37 of the Standard Conditions of Contract for the completion of works to the entire satisfaction of the Engineer.
    - (ii) Tenders will examine the various provisions of the Central Goods and Services Tax Act, 2017(CGST)/Integrated Goods and Services Tax Act, 2017(IGST)/Union Territory Goods and Services Tax Act, 2017(UTGST)/respective state's State Goods and Services Tax Act (SGST) also, as notified by Central/State Govt & as amended from time to time and applicable taxes before bidding. Tenders will ensure that full benefit of Input Tax (ITC) likely to be availed by them is duly considered while quoting rates.
    - (iii) The successful tenderer who is liable to be registered under CGST/IGST/UTGST/SGST Act shall submit GSTIN along with other details required under CGST/IGST/UTGST/SGST Act to railway immediately after the award of contract, without which no payment shall be released to the contractor. The contractor shall be responsible for deposition of applicable GST to the concerned authority.
    - (iv) In case, the successful tenderer is not liable to be registered under CGST/IGST/UTGST/SGST Act, the railway/DFCCIL shall deduct the applicable GST from his/their bills under reverse charge mechanism (RCM) and deposit the same to the concerned authority.
  - b. When work is tendered for by a firm or company, the tender shall be signed by the individual legally authorized to enter into commitments on their behalf.
  - c. The DFCCIL will not be bound by any power of attorney granted by the tenderer or by changes in the composition of the firm made subsequent to the execution of the contract. It may, however, recognize such power of attorney and changes after obtaining proper legal advice, the cost of which will be chargeable to the Contractor.
- **1.3.4.9** Tenders containing erasures and/or alteration of the tender documents are liable to be rejected. Any correction made by Tenderer in his/their entries must be attested by him/them.
- **1.3.4.10** The bid submitted / received after the time and date fixed for receipt of Bids as set out in the documents are liable to be rejected.
- **1.3.4.11** Conditional tenders are liable to be rejected straightway. DFCCIL reserves the right to reject such tenders summarily without assigning any reasons whatsoever. In



case tenderer/s still decides to have conditional offer, all such conditions are required to be listed separately and shall be supplemented by the details of exact financial implications, if applicable. DFCCIL will not take cognizance of any other conditions / variations from the tender stipulations mentioned at any other place in the tender documents.

- **1.3.4.12** The bidder shall submit only one bid in the capacity of an individual or sole proprietor, partnership firm or company. Violation of this condition is liable to disqualify the tender in which bidder has participated.
- **1.3.4.13** Withdrawal of Tender: No tender can be withdrawn after submission and during tender validity period

# **1.3.5** Opening of Tender:

- (a) Tender will be opened at 15.30 hrs. 06.04.2022, in the office of Chief General Manage/ Tundla, **Dedicated Freight Corridor Corporation of India Limited**, 3/20, 3rd Floor, KPS Tower, Mayur Complex, Nagla Padi, Near Tulsi Cinema, NH-02, Agra -282002 India in the presence of the tenderer or their representatives as may be present at the prescribed date and time.
- (b) After the opening of "**Bid** / **Offer**" of the tenderer, the bid shall be scrutinized and analysed. If found necessary by the Employer, the tenderer shall be asked to furnish clarifications and the Employer may also hold discussions with the tenderer after giving due notice. The names of the tenderers whose bid are considered complete and meet eligibility criteria shall be short listed.

#### 1.3.6 Constitution of the Firm:-

- **1.3.6.1** Deleted.
- **1.3.6.2** Deleted.
- 1.3.6.3 Deleted
- 1.3.6.4 Deleted
- **1.3.6.5** Deleted

#### 1.3.7 Validity of Tender:-

Tenderer shall keep his offer open for a minimum period of 120 days from the date of opening of the tender or as mentioned in the Tender Notice.

#### 1.3.8 Earnest Money:-

Bidder shall submit the Earnest Money Deposit amounting Rs. 9,49,300.0 in DFCCIL account through online mode. Also read in connection with para 1.1.7 of



#### 1.3.9 Execution of Contract Agreement:-

The Tenderer whose tender is accepted shall be required to appear in person at the office of **Chief General Manage/ Tundla, Dedicated Freight Corridor Corporation of India Limited** 3/20, 3rd Floor, KPS Tower, Mayur Complex, Nagla Padi, Near Tulsi Cinema, NH-02, Agra -282002 India or if a firm or corporation, a duly authorized representative shall so appear and execute the contract agreement within 30 days after notice that the contract has been awarded to him. Failure to do so shall constitute a breach of the agreement affected by the acceptance of the tender in which case the full value of the earnest money accompanying the tender shall stand forfeited without prejudice to any other rights or remedies.

In the event of any tenderer whose tender is accepted refuses to execute the contract agreement as here in before provided, DFCCIL may determine that such tenderer has abandoned the contract and there upon his tender and acceptance thereof shall be treated as cancelled and DFCCIL shall be entitled to forfeit the full amount of the Earnest Money (if any) and Blacklist the firm for further future tendering work for 2 years.

#### **1.3.10** Security Deposit on Acceptance of Tender:

The security deposit / rate of recovery / mode of recovery on acceptance of tender shall be as per the Para 16. (1) to 16.(3) of General Conditions of Contract (GCC).

#### 1.3.11 Tenderer's Address

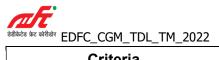
The tenderer should state in the tender his postal address legibly and clearly. Any communication sent in time, to the tenderer by post at his said address shall be deemed to have reached the tenderer duly and in time. Important documents should be sent by registered post.

#### 1.3.12 Right of DFCCIL to Deal with Tenders

- (a) The DFCCIL reserves the right of not to invite tenders for any of DFCCIL work or works or to invite open or limited tenders and when tenders are called to accept a tender in whole or in part or to reject any tender or all tenders without assigning reasons for any such action.
- (b) The authority for the acceptance of the tender will rest with the DFCCIL. It shall not be obligatory on the said authority to accept the lowest tender or any other tender and no tenderer(s) shall demand any explanation for the cause of rejection of his/their tender nor the DFCCIL undertake to assign reasons for declining to consider or reject any particular tender or tenders.

#### 1.3.13(i) Eligibility Criteria

#### (A): Technical Eligibility Criteria



Criteria	Compliance Requirement		Documents
Requirement	Single Entity	Joint venture	Submission Requirements
The tenderer must have successfully completed any of the following during last 07 (seven) years, ending last day of month previous to the one in which tender is invited: Three similar works each costing not less than the amount equal to 30% of advertised value of the tender, Or	Must meet requirement	NA	The tenderer shall submit the completion certificates / certified completion certificates from the client(s) and or Photostat of original certificates of client. All documents either original or photocopy should be attested by Notary.
Two similar works each costing not less than the amount equal to 40% of advertised value of the tender, Or			
One similar work each costing not less than the amount equal to 60% of advertised value of the tender. <b>Note:</b>			

#### Note:

- 1. In case the tenderer/s is a partnership firm, the work experience shall being the name of partnership firm only.
- 2. Similar work:- Operation & maintenance of 09-3X Dynamic Tamping Machine including supply of Machine.

#### (B): Financial Eligibility Criteria

Criteria	Compliance	Requirement	Documents
Requirement	Single Entity	Joint Venture	Submission Requirements



	Must meet Requirement	NA	The tenderers shall submit Certificates to this effect which may be an attested Certificate from the concerned department / client or Audited Balance Sheet duly certified by the Chartered Accountant/Certificate from Chartered Accountant duly supported by Audited Balance Sheet.
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#### Note:

1. In case the tenderer/s is a partnership firm, the turnover etc. shall be in the name of partnership firm only.

#### 1.3.13 (ii) Credentials of Tenderer

The tenderer shall provide satisfactory evidence in support of their technical and financial eligibility, which are acceptable to DFCCIL, as follows:

- (a) For Technical eligibility criteria, the details will be submitted in Form No. 2A along with supporting documents.
- (b) For Financial eligibility criteria, the details will be submitted in Form No. 2B along with supporting documents.
- (c) The tenderer shall submit the completion certificates/certified completion certificates from the client(s) or Photostat of original certificates of client. All documents either original or photocopy should be attested by Notary. These certificates should indicate the details of works carried out and successful commissioning of similar type of work executed by the tenderer. Completion certificate from Govt. organisation/PSUs/Public Limited Company will be accepted. The certificate from Private individual/Private Company for whom such works are executed shall not be accepted. In case, the work is executed for Public Limited Company, copy of work order, bill of Quantity, TDS certificate payments received and copy of final/last bill paid by client shall be submitted.

The following will be applicable for evaluating the eligibility:

- (i) Similar nature of work physically completed within the qualifying period (as mentioned above) shall only be considered in evaluating the eligibility.
- (ii) The total value of similar nature of work completed during the qualifying period and not the payment received within qualifying period alone, shall be considered. In case, the final bill of similar nature of work has not been passed and final measurements have not been recorded, the paid amount including



statutory deductions is to be considered. If final measurements have been recorded and work has been completed with negative variation, then also the paid amount including statutory deductions is to be considered.

However, if final measurements have been recorded and work has been completed with positive variation but variation has not been sanctioned, original contract agreement value or last sanctioned contract agreement value whichever is lower, shall be considered for judging eligibility.

- (iii) As proof of sufficient financial capacity and organizational resources, contractor should have received total payments against satisfactory execution of all completed /on-going works of all types (not confined to only similar works) during the last three financial years and in the current financial year (up to the date of submission of the tender) of a value not less than 150% of advertised tender value.
- (iv) Tenderer shall submit a statement of contractual payments received during last three financial years and current financial year on the prescribed Performa as per Form No. 2B. The details shall be based on the form 16-A issued by the employer i.e. the certificate of deduction of tax at source as per Income Tax Act 1961. The photocopies of Form 16-A shall be enclosed duly attested by Notary Public with seal and Notarial Stamp thereon or a certificate from auditor or audited balance sheet certified by Chartered Accountant clearly indicating the contractual amount received duly attested by Notary Public with seal and Notarial Stamp thereon.
- (v) The tenderer shall be considered disqualified/in-eligible if:
  - (a) The Tenderer or any of its partners and/or subcontractors included in the tender has been banned for business with Ministry of Railways/DFCCIL along with any of its attached and subordinate offices through an order issued by Ministry of Railways as per list available on Web site (http://www.indianrailways.gov.in/railwayboard) of Railway Board pertaining to banning of Business, with the banning being valid as on the date of submission the Tender.
  - (b) The Tenderer or any of its partners has suffered bankruptcy / insolvency or it is in the process of winding-up or there is a case of insolvency pending before any Court on the deadline of submission of application.
- (vi) For the purposes of conversion of foreign currency to Indian rupees (INR) Bank Currency (BC) selling exchange rates as published by State Bank of India on the date 28 days prior to date of submission of tender shall be used. For few of the currencies where BC selling rates are not published by SBI or reserve bank of India, the exchange rate may be obtained from website-<u>http://www.oanda.com/currency/historical-rates</u> or http://www.xe.com.
- (vii)For the purpose of evaluation of proposals ,all values given in INR in eligible qualification criteria and the values provided by the applicants in the proposal in



the currencies other than INR shall be converted into one i.e. INR as per exchange rate mentioned in para (vi) above.

### **1.3.13 (iii)** System of Verification of Tenderer's Credential:

- For the works tenders, it has been decided to adopt the affidavit-based system of credential verification. The tenderer shall submit along with the tender document, documents in support of his/their claim to fulfill the eligibility criteria as mentioned in the tender document. Each page of the copy of documents/certificates in support of certificates submitted by the tenderer, shall be self-attested/ digitally signed by the tenderer or authorized representative of the tendering firm. Self – attestation shall include signature, stamp and date (on each page).
- 2. The tenderers shall submit a notarized affidavit on a non-judicial stamp paper stating that they are not liable to be disqualified and all their statements/documents submitted along with bid are true and factual. Standard format of the affidavit to be submitted by the bidder is enclosed as Form-22. Non submission of an affidavit by the bidder shall result in summary rejection of his/their bid. And it shall be mandatorily incumbent upon the tenderer to identify, state and submit the supporting documents duly self-attested by which they/he is qualifying the Qualifying Criteria mentioned in the Tender Document. It will not be obligatory on the part of Tender Committee to scrutinize beyond the submitted document of tenderer as far as his qualification for the tender is concerned.
- 3. The DFCCIL reserves the right to verify all statements, information and documents submitted by the bidder in his tender offer, and the bidder shall, when so required by the DFCCIL, make available all such information, evidence and documents as may be necessary for such verification. Any such verification or lack of such verification, by the railway shall not relieve the bidder of its obligations or liabilities hereunder nor will it affect any right of the railway thereunder.
- 4. In case of any wrong information submitted by tenderer, the contract shall be terminated, Earnest Money Deposit (EMD) if any, Performance (PG) and Security Deposit (SD) of contract forfeited and agency barred for doing business on entire DFCCIL for 5(five) years.

#### 1.3.14 Period of Completion

The entire work is required to be completed in all respects within 36 months (extendable up to 60 moths) from the date of issue of the acceptance letter. Time is the essence of contract. The contractor shall be required to maintain steady and regular progress to the satisfaction of the Engineer to ensure that the work will be completed in all respects within the stipulated time.

#### 1.3.15 Deleted

1.3.16 If the Tenderer/s deliberately gives any wrong information about credentials /



documents in his/their tenders and thereby create(s) circumstances for acceptance of his/their tender, DFCCIL reserves the right to reject such tender at any stage, besides, shall suspend business with such tenderer. The EMD of such tenderers shall also be forfeited.

## 1.3.17 Deleted

## 1.3.18 Quantum of work and materials:

The indicative schedule of quantities of various items of works is included in Form -3 & 4 of the tender documents.

#### 1.3.19 Employer not bound to accept any tender:

The employer shall not be bound to accept the lowest or any tender or to assign any reason for non-acceptance or rejection of a tender. The employer reserves the right to accept any tender in respect of the whole or any portion of the work specified in the tender papers or to reduce the work or to accept any tender for less than the tendered quantities without assigning any reason whatsoever.

#### **1.3.20** Schedule of Prices

The Schedule-1 of the tender document lists out the Schedule of Prices for various items. Based on these, the total tender value has also been worked out.

#### **1.3.21** Performance Guarantee: Refer relevant clause of GCC.

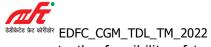
**1.3.22** The tenderer shall furnish information for making payment through ECS/ NEFT / RTGS (Tender Form No. 8 placed at Part IV of the tender documents).

#### 1.3.23 Negotiation:

If DFCCIL decide to negotiate with a view to bring down the rates, the tenderer called for negotiations should furnish the following form of declaration before commencement of negotiations?

#### 1.3.24 Site Inspection:

Tenderer are requested to inspect the site and carry out careful examination to satisfy them as to the nature of work involved and facilities available at the site. They should note carefully all the existing structures and those under construction through other agencies. They should also study the suitability of utilizing the different equipments and the machinery that they intend to use for the execution of the work. The tenderers should also select suitable sites for the purpose of locating their store yard, laboratory, staff quarters etc., and satisfy themselves with regard



to the feasibility of transporting the girders, etc. from the yard to the final site of placement etc.

# **1.3.25** No form C & D shall be issued to the contractor for this work.

# **1.3.26** Preliminary examination of bids

- i) The DFCCIL shall examine the bids to determine whether they are complete, whether any computational errors have been made, whether the documents have been properly signed and whether the bids are generally in order.
- ii) Arithmetical errors shall be rectified on the following basis if found. If there is a discrepancy between the unit price and the total price, which is obtained by multiplying the unit price and quantity, or between subtotals and the total price, the unit or subtotal price shall prevail, and the total price shall be corrected.
- c) The rates should be quoted carefully in figures as well as in words. If there is variation between rates quoted in figures and in words, the rate quoted in 'words' shall be taken as correct. If more than one or improper rates are tendered for the same item, the tender is liable to be rejected.
- iii) Prior to the detailed evaluation, DFCCIL shall determine whether each bid is of acceptable quality, is generally complete and is substantially responsive to the bidding documents. For purposes of this determination, a substantially responsive bid is one that conforms to all the terms, conditions and specifications of the bidding documents without material deviations, objections, conditionality or reservation. A material deviation, objections, conditionality or reservation is one: a. That affects in any substantial way the scope, quality or Performance of the contract.
- iv) That limits in any substantial way, inconsistent with the bidding documents, the DFCCIL's rights or the successful Bidder's obligations under the contracts; or
- v) Whose rectification would unfairly affect the competitive position of other Bidders who are presenting substantially responsive bids.
- vi) If a bid is not substantially responsive, it shall be rejected by the DFCCIL.
- vii) In case of tenders containing any conditions or deviations or reservations about contents of tender document. DFCCIL can summarily reject such tender.

# **1.3.27** Evaluation and comparison of tenders

In case of open tenders, bids, which are determined as substantially responsive, shall be evaluated based on criteria as given in Eligibility Criteria" and as given in Notice Inviting E-Tender. The tenderer must submit all necessary authentic data with necessary supporting certificates of the various items of evaluation criteria failing which his tender is liable to be rejected.



#### 1.3.28 Canvassing

No tenderer is permitted to canvass to DFCCIL on any matter relating to this tender. Any tenderer found doing so may be disqualified and his bid may be rejected.

#### **1.3.29** Award of Contract

- a) DFCCIL shall notify the successful tenderer in writing by a Registered Letter/Courier/Speed Post or through bearer that his tender has been accepted.
- b) Letter of Acceptance after it is signed by the Contractor in token of his acceptance shall constitute a legal and binding contract between DFCCIL and the contractor till such time the contract agreement is signed.

#### **1.3.30** Understanding and Amendments of Tender Documents:

- a) The bidder must own all responsibilities and bear all cost for obtaining all the information including risks, contingencies & other circumstances in execution of the work. It shall also carefully read and understand all its obligations & liabilities given in tender documents.
- b) The bidder is advised to visit and examine the site where the work is to be executed and its surroundings or other areas as deemed fit by the bidder and should obtain at his own cost all information that may be necessary for preparing the bid and execution of the contract. The cost of visiting the site and collecting relevant data shall be at the bidder's own expenses. It is a condition of the tender that the tenderer is deemed to have visited the site and satisfied himself with all the conditions prevailing including any difficulties for executing the work.
- c) At any time prior to the deadline for submission of bids, DFCCIL may for any reason whether at its own initiative or in response to any request by any prospective bidder amend the bidding documents by issuing Corrigendum, which shall be part of the Tender documents.
- d) DFCCIL may at its discretion extend the deadline for submission of the bids at any time before the time of submission of the bids.

#### 1.3.31Provision for medium and small enterprises(MSE):

As mandated by Railway Board Letter No. 2010/RS (G)/363/1 dated 05.07.2012 (RBS No. 4/2012), in compliance to public procurement policy, following provisions are included for Medium & Small Enterprises (MSE) in the tender document:

- 1. Tender sets shall be provided free of cost to MSEs registered with the listed agencies for the item tendered.
- 2. MSEs registered with the listed agencies for the item tendered will be exempted from payment of Earnest Money.



- 3. (I) MSEs who are interested in availing themselves of these benefits will enclose with their offer the proof of their being MSE registered with any of the agencies mentioned in the notification of Ministry of MSME indicated below:
  - (i) District industries Centres
  - (ii) Khadi and Village Industries Commission
  - (iii) Khadi and Village Industries Board
  - (iv) Coir Board
  - (v) National Small Industries Corporation
  - (vi) Directorate of Handicraft and Handloom
  - (vii) Any other body specified by Ministry of MSME.
  - (II) The MSEs must also indicate the terminal validity date of their registration.

Falling (I) & (II) above, such offers will not be liable for consideration of benefits detailed in MSE notification of Government of India dated 23.03.2012.

- 4. Definition of MSEs owned by SC/ST is as give below:
  - (i) In case of proprietary MSE, proprietors (s) shall be SC/ST.

(ii) In case of partnership MSE, the SC/ST partners shall be holding at least 51% shares in the unit.

(iii) In case of Private Limited Companies at least 51% share shall be held by SC/ST promoters.

- 5. All bidders registered under Micro, Small and Medium Enterprises (MSMEs) shall have to satisfy the eligibility criteria at par with other bidders. There shall not be any relaxation in eligibility criteria/tender process or other tender requirements and L-1 price.
- 6. The above facilities shall not be applicable for the items for which they are not registered.
- 7. The tenderer (s) shall submit copy of current and valid MSMEs registration certificate inclusive of all the pages showing the category of entrepreneur whether the registered firm is owned by General or SC/ST entrepreneurs, monetary limit of their registration for the items tendered to avail the benefits under the policy. The MSMEs shall also submit a copy of "Entrepreneur's Memorandum (Part-II)" of the concerned district centre where the unit is established.



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8. Registration of Udyog Aadhar Memorandum (UAM): All Micro, Small and Medium Enterprises (MSMEs) bidders are required to declare UAM Number on CPPP /www.ireps.gov.in failing which such bidders will not be able to enjoy the benefits as per Public Procurement Policy for tenders invited electronically through CPPP /www.ireps.gov.in.

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# PART - I CHAPTER – IV

## **GENERAL CONDITIONS OF CONTRACT**

## DEFINITIONS AND INTERPRETATION

**1.** (1) **Definitions:** In these Standard General Conditions of Contract, the following terms shall have the meaning assigned hereunder except where the context otherwise requires:

- (a) "Railway" shall mean the President of the Republic of India or the Administrative Officers of the DFCCIL or of the Successor DFCCIL authorized to deal with any matters which these presents are concerned on his behalf.
- (b) "General Manager of Railway " shall mean the officer -in-charge of the General Superintendence and Control of the Railway and shall mean and include their successors, of the successor Railway;
- (c) "Chief Engineer" shall mean the officer -in-charge of the Engineering Department of Railway and shall also include Chief Engineer (Construction), Chief Signal and Telecommunication Engineer, Chief Signal and Telecommunication Engineer (Construction), Chief Electrical Engineer, Chief Electrical Engineer (Construction) and shall also include CGM/GM of DFCCIL.
- (d) "Divisional Railway Manager" shall mean the Officer in-charge of a Division of the Railway and shall also mean any officer nominated by Managing Director / DFCCIL and shall mean and include their successors of the successor Railway.
- (e) "Engineer" and Employer's Engineer shall mean the Chief Project Manager/ General Manager / Chief General Manager of DFCCIL / PMC appointed by DFCCIL.
- (f) "Engineer's Representative" shall mean the Assistant Engineer, Assistant Signal and Telecommunication Engineer and Assistant Electrical Engineer, APM / PM / Dy.CPM / Add. CPM of DFCCIL in direct charge of the work and shall include any Sr. Sec. / Sec / Jr. Engineer / Executive / Sr. Executive, APM/PM / Dy CPM of DFCCIL of Civil Engineering / Signal & Telecommunication Engineering / Electrical Engineering Department appointed by the Railway / DFCCIL and shall mean and include the Engineer's Representative of the successor Railway / DFCCIL.



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- (g) "Contractor" shall mean the person / Firm / Company / JV whether incorporated or not who enters into the contract with the DFCCIL and shall include their executors, administrators, and successors and permitted assigns.
- (h) "Contract" shall mean and include the Agreement of Work Order, the accepted schedule of rates of the Schedule or Rates of DFCCIL modified by the tender percentage for items of work quantified, or not quantified, General Conditions of Contract, Special Conditions of Contracts, if any, Drawings, Specifications, Additional / Special Specifications, if any and tender forms, if any, and all other documents included as part of contract.
- (i) "Works" shall mean the works to be executed in accordance with the contract.
- (j) "Specifications" shall mean the Specifications for materials and works referred / mentioned in tender documents.
- (k) "Schedule of rates of Railway" shall mean the schedule of rates issued under the authority of the Chief Engineer from time to time and shall also include Rates specified in tender document.
- (I) "Drawing" shall mean the maps, drawings, plans and tracings or prints there of annexed to the contract and shall include any modifications of such drawings and further drawings as may be issued by the Engineer from time to time.
- (m) "Constructional Plan" shall mean all appliances or things of whatsoever nature required for the execution, completion or maintenance of the works or temporary works (as hereinafter defined) but does not include materials or other things intended to form or forming part of the permanent work.
- (n) "Temporary Works" shall mean all temporary works of every kind required for the execution completion and/or maintenance of the works.
- (o) "Site" shall mean the lands and other places on, under, in or through which the works are to be carried out and any other lands or places provided by the Railway for the purpose of the contract.



- (p) "Period of Maintenance" shall mean the defect liability period from the date of completion of the works as certified by the Engineer.
- (q) "Contractor's authorized engineer" shall mean a graduate engineer having more than 3 years experience in the relevant field of construction work involved in the contract, duly approved by Dy. Chief Engineer/Chief General Manager/General Manager /CGM.

1. (2) Singular and Plural: Words importing the singular number shall also include the plural and vice versa where the context requires.

**1.(3) Headings and Marginal Headings:** The headings and marginal headings in these Standard General Conditions are solely for the purpose of facilitating reference and shall not be deemed to be part thereof or be taken into consideration in the interpretation or construction thereof the contract.

# GENERAL OBLIGATIONS

2. (1) Execution Co-Relation and Intent of Contract Documents: The contract documents shall be signed in triplicate by the Railway and the Contractor. The contract documents are complementary and what is called for by anyone shall be as binding as if called for by all, the intention of the documents is to include all labour and materials, equipments and transportation necessary for proper execution of work. Materials or works not covered by or properly inferable from any heading or class of the specifications shall not be supplied by the Railway to the Contractors unless distinctly specified in the contract documents. Materials or works described in words which so applied have a well-known technical or trade meaning, shall be held to refer to such recognized standards.

**2.(2)** If a work is transferred from the jurisdiction of one Railway to another Railway or to a Project authority or vice versa while contract is in subsistence, the contract shall be binding on the Contractor and the Successor Railway/Project in the same manner & take effect in all respects as if the Contractor and the Successor Railway/Project were parties thereto from the inception and the corresponding officer or the Competent Authority in the Successor Railway/Project will exercise the same powers and enjoy the same authority as conferred to thePredecessor Railway/Project under the original contract/agreement entered into.

2. (3) If for administrative or other reasons the contract is transferred to the Successor Railway, the contract shall, notwithstanding any things contained herein contrary there to, be binding on the Contractor and the Successor Railway in the same manner and take effect in all respects as if the Contractor and the Successor Railway had been parties thereto from the date of this contract.

**3.** (1) Law Governing the Contract: The contract shall be governed by the law for the time being in force in the Republic of India.

4. **3.(2)** Compliance to Regulations and Bye-Laws: The Contractor shall conform to the provision of any statute relating to the works and regulations and byelaws of any local authority and of any water and lighting companies or undertakings, with whose system the work is proposed to be connected and shall before making any variation from the drawings or the specifications that may be necessitated by so confirming give to the



Engineer notice specifying the variation proposed to be made and the reason for making the variation and shallnot carry out such variation until he has received instructions from the Engineer in respect thereof. The Contractor shall be bound to give all notices required by statute, regulations or bye-laws as aforesaid and to pay all fees and taxes payable to any authority in respect thereof.

5. **Communications to be in Writing:** All notices, communications, reference and complaints made by the Railway or the Engineer or the Engineer's Representative or the Contractor inter-se concerning the works shall be in writing or e-mail on registered e-mail IDs and no notice, communication, reference or complaint not in writing or through e-mail, shall be recognized.

6. Service of Notices on Contractors: The Contractor shall furnish to the Engineer the name, designation and address of his authorized agent and all complaints, notices, communications and references shall be deemed to have been duly given to the Contractor, if delivered to the Contractor or his authorized agent or left at or posted to the address so given and shall be deemed to have been so given in the case of posting on day on which they would have reached such address in the ordinary course of post or on the day on which they were so delivered or left. In the case of contract by partners, any change in the constitution of the firm shall be forthwith notified by the Contractor to the Engineer.

7. Occupation and Use of Land: No land belonging to or in the possession of the Railway shall be occupied by the Contractor without the permission of the Railway. The Contractor shall not use, or allow to be used the site for any purposes other than that of executing the works. Whenever non-railway bodies/persons are permitted to use railway premises with competent authority's approval, conservancy charges as applicable from time to time may be levied.

8. Assignment or Subletting of Contract: The Contractor shall not assign or sublet the contract or any part thereof or allow any person to become interested therein in any manner whatsoever without the special permission in writing of the Chief Engineer, save as provided below. Any breach of this condition shall entitle the Railway to rescind the contract under Clause 62 of these Conditions and also render the Contractor liable for payment to the Railway in respect of any loss or damage arising or ensuing from such cancellation; provided always that execution of the details of the work by petty Contractor under the direct and personal supervision of the Contractor or his agent shall not be deemed to be subletting under this clause.

In case Contractor intends to subcontract part of work, he shall submit a proposal in writing seeking permission of Chief Engineer for the same. While submitting the proposal to railway, Contractor shall ensure the following:

(a) (i) Total value of work to be assigned to sub-contractor(s) shall not be more than 50% of total contract value.

(ii) The subcontractor shall have successfully completed at least one work similar to work proposed for subcontract, costing not less than 35% value of work to be subletted, in last 5 years through a works contract directly given to him by a Govt. Department; or by a Public listed company having average annual turnover of Rs 500 crore and above in last 3 financial years excluding the current financial year, listed on National Stock Exchange or Bombay Stock Exchange, registered at least



5 years back from the date of submission of proposal by Contractor to Railway and work experience certificate issued by a person authorised by the Public Listed Company to issue such certificates.

In case contractor submits subcontractor's work experience certificate issued by public listed company, the contractor shall also submit along with work experience certificate, the relevant copy of work order, bill of quantities, bill wise details of payment received duly certified by Chartered Accountant, TDS certificates for all payments received and copy of final/last bill paid by company in support of above work experience certificate.

- (iii) There is no banning of business with the sub-contractor in force over IR.
- (b) The Contractor shall provide to the Engineer a copy of the agreement to be entered into by Contractor with subcontractor. No subcontractor shall be permitted without a formal agreement between Contractor and subcontractor. This agreement shall clearly define the scope of work to be carried out by subcontractor and the terms of paymentin clear & unambiguous manner.
- (c) On receipt of approval from Chief Engineer, Contractor shall enter into a formal agreement legally enforceable in Court of Law with subcontractor and submit a copyof the same to the Engineer.
- (d) The Contractor shall intimate to the Engineer not less than 7 days in advance, the intended date of commencement of subcontractor's work.
- (e) Once having entered into above arrangement, Contractor shall discontinue such arrangement, if he intends to do so at his own or on the instructions of Railway, with prior intimation to Chief Engineer.
- (f) The Contractor shall indemnify railway against any claim of subcontractor.
- (g) The Contractor shall endeavour to resolve all matters and payments amicably and speedily with the subcontractor.
- (h) In addition to issuance of work experience certificate to Contractor, the Engineer, when, based on documents, is satisfied that subcontracted work has been carried out by subcontractor, shall issue work experience certificate to the subcontractor also for the portion of work subcontracted and successfully completed by the sub-contractor.
- (i) The responsibility of successful completion of work by subcontractor shall lie with Contractor. Subcontracting will in no way relieve the Contractor to execute the work as per terms of the Contract.
- (j) Further, in case Engineer is of the view that subcontractor's performance is not satisfactory, he may instruct the Contractor to remove the subcontractor from the work Page - 31 - of 170



and Contractor has to comply with the above instructions with due promptness. Contractor shall intimate the actual date of discontinuation of subcontract to Engineer. No claim of Contractor whatsoever on this account shall be entertained by the Railway and this shall be deemed as 'excepted matter' (matter not arbitrable).

(k) The permitted subcontracting of work by the Contractor shall not establish any contractual relationship between the sub-contractor and the Railway and shall not relieve the Contractor of any responsibility under the Contract.

9. Assistance by Railway for the Stores to be obtained by the Contractor: Owing to difficulty in obtaining certain materials (including Tools & Plant) in the market, the Railway may have agreed without any liability therefore to endeavour to obtain or assist the Contractor in obtaining the required quantities of such materials as may be specified in the Tender. In the event of delay or failure in obtaining the required quantities of the aforesaid material, the Contractor shall not be deemed absolved of his own responsibility and shall keep in touch with the day to day position regarding their availability and accordingly adjust progress of works including employment of labour and the Railway shall not in any way be liable for the supply of materials or for the non-supply thereof for any reasons whatsoever nor for any loss or damage arising in consequence of such delay or non-supply.

# 10. Deleted.

11. **Carriage of Materials:** No forwarding orders shall be issued by the Railway for the conveyance of Contractor's materials, tools and plant by train which may be required for use in the works and the Contractor shall pay full freight charges at public tariff rates therefor.

12. Use of Ballast Trains: The Railway may agree to allow the Contractor use of the ballast or material trains under such conditions as shall be specially prescribed, provided that the Contractor shall pay for the use thereof charges calculated at public tariff rates on the marked carrying capacity of each vehicle subject to specified minimum charge per day or part of day and provided further that the Contractor shall indemnify the Railway against any claims or damages arising out of the use or misuse thereof and against any liabilities under the Workmen's Compensation Act, 1923 or any statutory amendments thereto.

13. **Representation on Works:** The Contractor shall, when he is not personally presenton the site of the works place, keep a responsible agent at the works during working hours who shall on receiving reasonable notice, present himself to the Engineer and orders given by the

Engineer or the Engineer's representative to the agent shall be deemed to have the same force as if they had been given to the Contractor. Before absenting himself, the Contractor shall furnish the name and address of his agent for the purpose of this clause and failure on the part of the Contractor to comply with this provision at any time will entitle the Railway to rescind the contract under Clause 62 of these Conditions.

14. **Relics and Treasures:** All gold, silver, oil, other minerals of any description, all precious stones, coins, treasures relics antiquities and other similar things which shall be found in or upon the site shall be the property of the Railway and the



Contractor shall duly preserve the same to the satisfaction of the Railway and shall from time to time deliver the same to such person or persons as the Railway may appoint to receive the same.

15. **Excavated Material:** The Contractor shall not sell or otherwise dispose of or remove except for the purpose of this contract, the sand, stone, clay ballast, earth, trees, rock or other substances or materials which may be obtained from any excavation made for the purpose of the works or any building or produced upon the site at the time of delivery of the possession thereof but all the substances, materials, buildings and produce shall be the property of the Railway provided that the Contractor may, with the permission of the Engineer, use the same for the purpose of the works either free of cost or pay the cost of the same at such rates as may be determined by the Engineer.

16. Indemnity by Contractors: The Contractor shall indemnify and save harmless the Railway from and against all actions, suit, proceedings, losses, costs, damages, charges, claims and demands of every nature and description brought or recovered against the Railways/DFCCIL by reason of any act or omission of the Contractor, his agents or employees, in the execution of the works or in his guarding of the same. All sums payable by way of compensation under any of these conditions shall be considered as reasonable compensation to be applied to the actual loss or damage sustained, and whether or not any damage shall have been sustained.

**16.(1) Security Deposit:** The Earnest Money deposited by the Contractor with his tender will be retained by the Railways/DFCCIL as part of security for the due and faithful fulfillment of the contract by the Contractor. The Security Deposit shall be 5% of the contract value. Security Deposit may be deposited by the Contractor before release of first on account bill in cash or Term Deposit Receipt issued from Scheduled Bank, or may be recovered at the rate of 6% of the bill amount till the full Security Deposit is recovered. Provided also that in case of defaulting Contractor, the Railway may retain any amount due for payment to the Contractor on the pending "on account bills" so that the amounts so retained (including amount guaranteed through Performance Guarantee) may not exceed 10% of the total value of the contract.

Further, in case of contracts having value equal to or more than ₹ 50 crore (Rs Fifty crore) the Security Deposit may be deposited as Bank Guarantee Bond also, issued by a scheduled bank after execution of contract documents, but before payment of 1<sup>st</sup> on account bill. Provided further that the validity of Bank Guarantee Bond shall be extended from time to time, depending upon extension of contract granted in terms of Clause 17 of the Standard General Conditions of Contract.

Further, in case Security Deposit has been submitted as Term Deposit Receipt/Bank Guarantee Bond in full amount, the Earnest Money deposited by the Contractor with his tender will be returned by the Railways/DFCCIL.

Note: After the work is physically completed as certified by competent authority, Security Deposit recovered from the running bills of a Contractor can be returned to him, if he so desires, in lieu of Term Deposit Receipt/irrevocable Bank Guarantee for equivalent amount from Scheduled Bank, to be submitted by him.

**16.(2) (i) Refund of Security Deposit:** Security Deposit mentioned in sub clause (1) aboveshall be returned to the Contractor along with or after, the following:



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(a) Final Payment of the Contract as per clause 51.(1) and

(b) Execution of Final Supplementary Agreement or Certification by Engineer that Railwayhas No Claim on Contractor **and** 

(c) Maintenance Certificate issued, on expiry of the maintenance period as per clause 50.(1),in case applicable.

**16.** (2) (ii) Forfeiture of Security Deposit: Whenever the contract is rescinded as a whole under clause 62 (1) of GCC, the Security Deposit already with railways/DFCCIL under the contract shall be forfeited. However, in case the contract is rescinded in part or parts under clause 62 (1) of GCC, the Security Deposit shall not be forfeited.

**16.(3)** No interest shall be payable upon the Earnest Money and Security Deposit or amountspayable to the Contractor under the Contract, but Government Securities deposited in terms of Sub-Clause 16.(4)(b) of this clause will be payable with interest accrued thereon.

## 16.(4) **Performance Guarantee**

The procedure for obtaining Performance Guarantee is outlined below:

(a) The successful bidder shall have to submit a Performance Guarantee (PG) within 21 (Twenty one) days from the date of issue of Letter of Acceptance (LOA). Extension of time for submission of PG beyond 21 (Twenty one) days and upto 60 days from the date of issue of LOA may be given by the Authority who is competent to sign the contract agreement. However, a penal interest of 12% per annum shall be charged for the delay beyond 21(Twenty one) days, i.e. from 22<sup>nd</sup> day after the date of issue of LOA. Further, if the 60<sup>th</sup> day happens to be a declared holiday in the concerned office of the Railway, submission of PG can be accepted on the next working day.

In all other cases, if the Contractor fails to submit the requisite PG even after 60 days from the date of issue of LOA, the contract is liable to be terminated. In case contract is terminated railway shall be entitled to forfeit Earnest Money Deposit and other dues payable against that contract. In case a tenderer has not submitted Earnest Money Deposit on the strength of their registration as a Startup recognized by Department of Industrial Policy and Promotion (DIPP) under Ministry of Commerce and Industry, DIPP shall be informed to this effect.

The failed Contractor shall be debarred from participating in re-tender for that work.

(b) The successful bidder shall submit the Performance Guarantee (PG) in any of the following forms, amounting to 3% of the contract value:(i) A deposit of Cash;

(ii) Irrevocable Bank Guarantee;

(iii) Government Securities including State Loan Bonds at 3% below the market value;

(iv) Deposit Receipts, Pay Orders, Demand Drafts and Guarantee Bonds. These formsof Performance Guarantee could be either of the State Bank of India or of any of the Nationalized Banks;



(v) Guarantee Bonds executed or Deposits Receipts tendered by all Scheduled Banks;

- (vi) Deposit in the Post Office Saving Bank;
- (vii) Deposit in the National Savings Certificates;
- (viii) Twelve years National Defence Certificates;
- (ix) Ten years Defence Deposits;

(x) National Defence Bonds and

(xi) Unit Trust Certificates at 5% below market value or at the face value whichever is less. Also, FDR in favour of CGM/GGM/GM Finance (free from any encumbrance) may beaccepted.

(c) The Performance Guarantee shall be submitted by the successful bidder after the Letter of Acceptance (LOA) has been issued, but before signing of the contract agreement. This P.G. shall be initially valid upto the stipulated date of completion plus 60 days beyond that. In case, the time for completion of work gets extended, the Contractor shall get the validity of P.G. extended to cover such extended time for completion of work plus 60 days.

(d) The value of PG to be submitted by the Contractor will not change for variation upto25% (either increase or decrease). In case during the course of execution, value of the contract increases by more than 25% of the original contract value, an additional Performance Guarantee amounting to 5% (five percent) for the excess value over the original contract value shall be deposited by the Contractor. On the other hand, if the value of contract decreases by more than 25% of the original contract value, PerformanceGuarantee amounting to 5% (five percent) of the decrease in the contract value shall be returned to the Contractor. The PG amount in excess of required PG for decreased contract value, available with Railways/DFCCIL, shall be returned to Contractor as per his request duly safeguarding the interest of railways/DFCCIL.

(e) The Performance Guarantee (PG) shall be released after physical completion of the work based on 'Completion Certificate' issued by the competent authority stating that the Contractor has completed the work in all respects satisfactorily.

(f) Whenever the contract is rescinded, the Performance Guarantee already submitted for the contract shall be encashed in addition to forfeiture of Security Deposit available with railway.

(g) The Engineer shall not make a claim under the Performance Guarantee except for amounts to which the President of India is entitled under the contract (not withstanding and/or without prejudice to any other provisions in the contract agreement) in the event of:

(i) Failure by the Contractor to extend the validity of the Performance Guarantee as described herein above, in which event the Engineer may claim the full amount of the Performance Guarantee.

(ii) Failure by the Contractor to pay President of India any amount due, either as Page - **35** - of **170** 



agreed by the Contractor or determined under any of the Clauses/Conditions of the Agreement, within 30 days of the service of notice to this effect by Engineer.

(iii) The Contract being determined or rescinded under clause 62 of the GCC

(*h*) The tenderer who has offered lower total cost as compared to tender value by more than 10 %, shall be required to submit additional Performance Guarantee of value equal to half the percentage of tender value by which offer is lower than 10%. (e.g. *in a tender costing Rs 100, if contract value is Rs 80, additional Performance Guarantee shall be* [0.5x{(100-80)-10}] *percentage of tender value.*)

Force Majeure Clause: If at any time, during the continuance of this contract, the 17. performance in whole or in part by either party of any obligation under this contract shall be prevented or delayed by reason of any war, hostility, acts of public enemy, civil commotion, sabotage, serious loss or damage by fire, explosions, epidemics/pandemics, strikes, lockouts or acts of God (hereinafter, referred to events) provided, notice of the happening of any such event is given by either party to the other within 30 days from the date of occurrence thereof, neither party shall by reason of such event, be entitled to terminate this contract nor shall either party have any claim for damages against the other in respect of such non-performance or delay in performance, and works under the contract shall be resumed as soon as practicable after such event has come to an end or ceased to exist, and the decision of the Engineer as to whether the works have been so resumed or not shall be final and conclusive, PROVIDED FURTHER that if the performance in whole or in part of any obligation under this contract is prevented or delayed by reason of any such event for a period exceeding 120 days, either party may at its option terminate the contract by giving notice to the other party.

**17A Extension of Time in Contracts:** Subject to any requirement in the contract as to completion of any portion or portions of the works before completion of the whole, the Contractor shall fully and finally complete the whole of the works comprised in the contract (with such modifications as may be directed under conditions of this contract) by the date entered in the contract or extended date in terms of the following clauses:

- (i) **Extension due to Modification:** If any modifications have been ordered which in the opinion of the Engineer have materially increased the magnitude of the work, then such extension of the contracted date of completion may be granted as shall appear to the Engineer to be reasonable in the circumstances, provided moreover that the Contractor shall be responsible for requesting such extension of the date as may be considered necessary as soon as the cause thereof shall arise and in any case not less than one month before the expiry of the date fixed for completion of the works.
- (ii) **Extension for Delay not due to Railway or Contractor:** If in the opinion of theEngineer, the progress of work has any time been delayed by any act or neglect of Railway's employees or by other Contractor employed by the Railway under Sub-Clause (4) of Clause 20 of these Conditions or in executing the work not forming part of the contract but on which Contractor's performance necessarily depends or by reason of proceeding taken or threatened by or dispute with adjoining or to neighbouring owners or public authority arising otherwise through the Contractor's own default etc. or by the delay authorized by the Engineer pending arbitration or in consequences of the Contractor not having received in due time necessary



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instructions from the Railway for which he shall have specially applied in writing to the Engineer or his authorized representative then upon happening of any such event causing delay, the Contractor shall immediately give notice thereof in writing to the Engineer within 15 days of suchhappening, but shall nevertheless make constantly his best endeavours to bring down or make good the delay and shall do all that may be reasonably required of him to the satisfaction of the Engineer to proceed with the works. The Contractor may also indicate the period for which the work is likely to be delayed and shall be bound to ask for necessary extension of time. The Engineer on receipt of such request from the Contractor shall consider the same and shall grant such extension of time as in his opinion is reasonable having regard to the nature and period of delay and the type and quantum of work affected thereby. No other compensation shall be payable for works so carried forward to the extended period of time; the same rates, terms and conditions of contract being applicable as if such extended period of time was originally provided in theoriginal contract itself.

- (iii) **Extension for Delay due to Railways/DFCCIL:** In the event of any failure or delay by the Railway to hand over the Contractor possession of the lands necessary for the execution of the works or to give the necessary notice to commence the works or to provide the necessary drawings or instructions or any other delay caused by the Railway due to any other cause whatsoever, then such failure or delay shall in no way affect or vitiate the contract or alter the character thereof or entitle the Contractor to damages or compensation therefor, but in any such case, the Railway may grant such extension or extensions of the completion date as may be considered reasonable.
- **17B** Extension of Time with Liquidated Damages (LD) for delay due to Contractor: The time for the execution of the work or part of the works specified in the contract documents shall be deemed to be the essence of the contract and the works must be completed not later than the date(s) as specified in the contract. If the Contractor fails to complete the works within the time as specified in the contract for the reasons other than the reasons specified in Clause 17 and 17-A, the Railway may, if satisfied that the works can be completed by the Contractor within reasonable short time thereafter, allow the Contractor for further extension of time (Proforma at Annexure-VII) as the Engineer may decide. On such extension the Railway will be entitled without prejudice to any other right and remedy available on that behalf, to recover from the Contractor as agreed damages and not by way of penalty for each week or part of the week, a sum calculated atthe following rates of the contract value of the works.

For the purpose of this Clause, the contract value of the works shall be taken as value of work as per contract agreement including any supplementary work order/contract agreement issued. Provided also, that the total amount of liquidated damages under this condition shall not exceed 5% of the contract value or of the total value of the item or groups of items of work for which a separate distinct completion period is specified in the contract.

S.No.	Duration of extension	of time under	Clause	Rate of Liquidated Damages
	17-B			



(i)	Up to Twenty percent of original period of completion including period of extension of DOC granted under Section 17A(i)	
(ii)	Above Twenty percent but upto Thirty percent of original period of completion including period of extension of DOC granted under Section 17A(i)	0.20% of contract value for each week or part of the week
(iii)	Above Thirty percent but upto Forty percent of original period of completion including period of extension of DOC granted under Section 17A(i)	
(iv)	Above Forty percent of original period of completion including period of extension of DOC granted under Section 17A(i)	

Provided further, that if the Railway is not satisfied that the works can be completed by the Contractor and in the event of failure on the part of the contractor to complete the work within further extension of time allowed as aforesaid, the Railway shall be entitled without prejudice to any other right or remedy available in that behalf, to appropriate the contractor's Security Deposit and rescind the contract under Clause 62 of these Conditions, whether or not actual damage is caused by such default.

**17C Bonus for Early Completion of Work**: In case of open tenders having value more than Rs 20 crore and original period of completion 12 months or more, when there is no reduction in original scope of work by more than 10%, and no extension granted on either railway or Contractor's account, Contractor shall be entitled for a bonus of 1% for each 30 days early completion of work. The period of less than 30 days shall be ignored while working out bonus. The maximum bonus shall be limited to 3% of original contract value. The completion date shall be reckoned as the date of issuance of completion certificate by engineer.

**18.(1) Illegal Gratification:** Any bribe, commission, gift or advantage given, promised or offered by or on behalf of the Contractor or his partner or agent or servant or anyone on his behalf, to any officer or employee of the Railway or to any person on his behalf in relation to obtaining or execution of this or any other contract with the Railway shall, in addition to any criminal liability which he may incur, subject Contractor to the rescission of the contract and all other contracts with the Railway and to the payment of any loss or damage resulting from such decision and the Railway shall be entitled to deduct the amounts so payable from the Contractor's bills/Security Deposit or any other dues of Contractor with the Government of India.

**18.(2)** The Contractor shall not lend or borrow from or have or enter into any monitory dealings or transactions either directly or indirectly with any employee of the Railway and ifhe shall do so, the Railway shall be entitled forthwith to rescind the contract and all



other contracts with the Railway. Any question or dispute as to the commission of any such offence or compensation payable to the Railway under this Clause shall be settled by the General Manager of the Railway, in such a manner as he shall consider fit & sufficient and his decision shall be final & conclusive. In the event of rescission of the contract under this Clause, the Contractor will not be paid any compensation whatsoever except payments for the work done upto the date of rescission.

# EXECUTION OF WORKS

**19.(1) Contractor's understanding:** It is understood and agreed that the Contractor has, by careful examination, satisfied himself as to the nature and location of the work, the conformation of the ground, the character, quality and quantity of the materials to be encountered, the character of equipment and facilities needed preliminary to and during the progress of the works, the general and local conditions, the labour conditions prevailing thereinand all other matters which can in any way affect the works under the contract.

**19.(2) Commencement of Works:** The Contractor shall commence the works within 15 days after the receipt by him of an order in writing to this effect from the Engineer and shall proceed with the same with due expedition and without delay

**19.(3)** Accepted Programme of Work: The Contractor who has been awarded the work shall as soon as possible but not later than 30 days after the date of receipt of the acceptance letter in respect of contracts with initial completion period of two years or less or not later than 90 days for other contracts have to submit the detailed programme of work indicating the time schedule of various items of works in the form of Bar Chart/PERT/CPM. He shall also submit the details of organisation (in terms of labour and supervisors), plant and machinery that he intends to utilize (from time to time) for execution of the work within stipulated date of completion. The programme of work amended as necessary by discussions with the Engineer, shall be treated as the agreed programme of the work for the purpose of this contract and the Contractor shall endeavor to fulfill this programme of work. The progress of work will be watched accordingly and the liquidated damages will be with reference to the overall completion date. Nothing stated herein shall preclude the Contractor in achieving earliercompletion of item or whole of the works than indicated in the programme.

**19.(4) Setting out of Works:** The Contractor shall be responsible for the correct setting out of all works in relation to original points, lines and levels of reference at his cost. The Contractor shall execute the work true to alignment, grade, levels and dimensions as shown in the drawing and as directed by the Engineer's representative and check these at frequent intervals. The Contractor shall provide all facilities like labour and instruments and shall co- operate with the Engineer's representative for checking of all alignment, grades, levels and dimensions. If, at any time, during the progress of the works any error appear or arise in any part of the work, the Contractor, on being required so to do by the Engineer's representative shall, at his own cost rectify such errors, to the satisfaction of the Engineer's representative.

Such checking shall not absolve the Contractor of his own responsibility of maintaining accuracy in the work. The Contractor shall carefully protect and preserve all bench marks, sight rails, pegs and other things used in setting out the work.



**20.(1)** Compliance to Engineer's Instructions: The Engineer shall direct the order in which the several parts of the works shall be executed and the Contractor shall execute without delay all orders given by the Engineer from time to time; but the Contractor shall not be relieved thereby from responsibility for the due performance of the works in all respects.

**20.(2)** Alterations to be Authorized: No alterations in or additions to or omissions or abandonment of any part of the works shall be deemed authorised, except under instructions from the Engineer. The Contractor shall be responsible to obtain such instructions in each and every case in writing from the Engineer.

**20.(3) Extra Works:** Should works over and above those included in the contract require to be executed at the site, the Contractor shall have no right to be entrusted with the execution of such works which may be carried out by another Contractor or Contractors or by other means at the option of the Railway.

**20.(4) Separate Contracts in Connection with Works:** The Railway shall have the right to let other contracts in connection with the works. The Contractor shall afford other Contractors reasonable opportunity for the storage of their materials and the execution of their works and shall properly connect and coordinate his work with theirs. If any part of the Contractor's work depends upon proper execution or result upon the work of another Contractor(s), the Contractor shall inspect and promptly report to the Engineer any defects in such works that render it unsuitable for such proper execution and results. The Contractor's failure so-to inspect and report shall constitute an acceptance of the other Contractor's work as fit and proper for the reception of his work, except as to defects which may develop in the other Contractor's work after the execution of his work.

- **21. Instruction of Engineer's Representative:** Any instructions or approval given by the Engineer's representative to Contractor in connection with the works shall bind the Contractor as though it had been given by the Engineer provided always as follows:
  - (a) Failure of the Engineer's representative to disapprove any work or materials shall not prejudice the power of the Engineer thereafter to disapprove such work or material and toorder the removal or breaking up thereof.
  - (b) If the Contractor shall be dissatisfied by reason of any decision of the Engineer's representative, he shall be entitled to refer the matter to the Engineer who shall there upon confirm or vary such decision.

**22.(1)** Adherence to Specifications and Drawings: The whole of the works shall be executed in perfect conformity with the specifications and drawings of the contract. If Contractor performs any works in a manner contrary to the specifications or drawings or anyof them and without such reference to the Engineer, he shall bear all the costs arising or ensuing therefrom and shall be responsible for all loss to the Railway.

**22.(2)** Drawings and Specifications of the Works: The Contractor shall keep one copy of Drawings and Specifications at the site, in good order, and such contract documents as may be necessary, available to the Engineer or the Engineer's Representative.



**22.(3) Ownership of Drawings and Specifications:** All Drawings and Specifications and copies thereof furnished by the Railway to the Contractor are deemed to be the property of the Railway. They shall not be used on other works and with the exception of the signed contract set, shall be returned by the Contractor to the Railway on completion of the work or termination of the Contract.

**22.(4) Compliance with Contractor's Request for Details:** The Engineer shall furnish with reasonable promptness, after receipt by him of the Contractor's request, additional instructions by means of drawings or otherwise, necessary for the proper execution of the works or anypart thereof. All such drawings and instructions shall be consistent with the Contract Documents and reasonably inferable there from.

**22.(5) Meaning and Intent of Specification and Drawings:** If any ambiguity arises as to the meaning and intent of any portion of the Specifications and Drawings or as to execution or quality of any work or material, or as to the measurements of the works the decision of the Engineer thereon shall be final subject to the appeal (within 7 days of such decision being intimated to the Contractor) to the Chief Engineer who shall have the power to correct any errors, omissions, or discrepancies in aforementioned items and whose decision in the matterin dispute or doubt shall be final and conclusive.

23. Working during Night: The Contractor shall not carry out any work between sun-setand sun-rise without the previous permission of the Engineer. However, if the Engineer is satisfied that the work is not likely to be completed in time except by resorting to night work, he may order the same without confirming any right on the Contractor for claiming any extra payment for the same.

24. Damage to Railway Property or Private Life and Property: The Contractor shall be responsible for all risk to the work and for trespass and shall make good at his own expense alloss or damage whether to the works themselves or to any other property of the Railway or the lives, persons or property of others from whatsoever cause in connection with the works until they are taken over by the Railway, although all reasonable and proper precautions may have been taken by the Contractor. In case the Railway shall be called upon to make good any costs, loss or damages, or to pay any compensation, including that payable under the provisions of the Workmen's Compensation Act or any statutory amendments thereof to any person or persons sustaining damages as aforesaid by reason of any act, or any negligence or omissions on the part of the Contractor; the amount of any costs or charges including costs and charges in connection with legal proceedings, which the Railway may incur in reference thereto, shall be charged to the Contractor. The Railway shall have the power and right to pay or to defendor compromise any claim of threatened legal proceedings or in anticipation of legal proceedings being instituted consequent on the action or default of the Contractor, to take such steps as may be considered necessary or desirable to ward off or mitigate the effect of such proceedings, charging to Contractor, as aforesaid; any sum or sums of money which may be paid and any expenses whether for reinstatement or otherwise which may be incurred and the propriety of any such payment, defence or compromise, and the incurring of any such expenses shall not be called in question by the Contractor.



25. Sheds, Storehouses and Yards: The Contractor shall at his own expense provide himself with sheds, storehouses and yards in such situations and in such numbers as in the opinion of the Engineer is requisite for carrying on the works and the Contractor shall keep at each such sheds, storehouses and yards a sufficient quantity of materials and plant in stock as not to delay the carrying out of the works with due expedition and the Engineer and the Engineer's representative shall have free access to the said sheds, store houses and yards at any time for the purpose of inspecting the stock of materials or plant so kept in hand, and any materials or plant which the Engineer may object to shall not be brought upon or used in the works, but shall be forthwith removed from the sheds, storehouses or yards by the Contractor. The Contractor shall at his own expenses provide and maintain suitable mortar mills, soaking vats or any other equipments necessary for the execution of the works.

# 26. **Provision of Efficient and Competent Staff at Work Sites by the Contractor:**

26.1 The Contractor shall place and keep on the works at all times efficient and competent staff to give the necessary directions to his workmen and to see that they execute their work in sound & proper manner and shall employ only such supervisors, workmen & labourers in or about the execution of any of these works as are careful and skilled in the various trades.

26.2 The Contractor shall at once remove from the works any agents, permitted sub-contractor, supervisor, workman or labourer who shall be objected to by the Engineer and if and whenever required by the Engineer, he shall submit a correct return showing the names of all staff and workmen employed by him.

26.3 In the event of the Engineer being of the opinion that the Contractor is not employing on the works a sufficient number of staff and workmen as is necessary for proper completion of the works within the time prescribed, the Contractor shall forthwith on receiving intimation to this effect deploy the additional number of staff and labour as specified by the Engineer within seven days of being so required and failure on the part of the Contractor to comply with such instructions will entitle the Railway to rescind the contract under Clause 62 of these conditions.

# 26A. Deployment of Qualified Engineers at Work Sites by the Contractor:

**26A.1** The Contractor shall also employ qualified Graduate Engineer(s) or equivalent, or qualified Diploma Engineer(s), as prescribed in the tender documents.

**26A.2** In case the Contractor fails to employ the Engineer, as aforesaid in Para 26A.1, he shall be liable to pay liquidated damages at the rates, as prescribed in the tender documents.

**26A.3** No. of qualified Engineers required to be deployed by the Contractor for various activities contained in the works contract shall be specified in the tender documents as 'Special Condition of Contract'.

**27.(1) Workmanship and Testing:** The whole of the works and/or supply of materials specified and provided in the contract or that may be necessary to be done in order to form and complete any part thereof shall be executed in the best and most substantial workman



like manner with materials of the best and most approved quality of their respective kinds, agreeable to the particulars contained in or implied by the specifications and as referred to in and represented by the drawings or in such other additional particulars, instructions and drawings given during the carrying on of the works and to the entire satisfaction of the Engineer according to the instructions and directions which the Contractors may from time to time receive from the Engineer. The materials may be subjected to tests by means of such machines, instruments and appliances as the Engineer may direct and wholly at the expense of the Contractor.

**27.(2) Removal of Improper Work and Materials:** The Engineer or the Engineer's Representative shall be entitled to order from time to time:

(a) The removal from the site, within the time specified in the order, of any materials which in his opinion are not in accordance with the specifications or drawings.

(b) The substitution of proper and suitable materials, and

(c) the removal and proper re-execution, notwithstanding any previous tests thereof or on account payments therefor, of any work which in respect of materials or workmanship is not in his opinion in accordance with the specifications and in case of default on the part of the Contractor in carrying out such order, the Railway shall be entitled to rescind the contract under Clause 62 of these conditions.

(d) The provision of Construction and Demolition Waste Management Rule 2016 issued by Ministry of Environment Forest and Climate Change dated 29.03.2016 and published in the Gazette of India, Part – II, Section -3, Sub-section (ii) are binding upon the Contractor. Contractor shall implement these provisions at worksites, for which no extra payment will be payable.

**28.** Facilities for Inspection: The Contractor shall afford the Engineer and the Engineer's Representative every facility for entering in and upon every portion of the work at all hours for the purpose of inspection or otherwise and shall provide all labour, materials, planks, ladders, pumps, appliances and things of every kind required for the purpose and the Engineer and the Engineer's Representative shall at all times have free access to every part of the works and toall places at which materials for the works are stored or being prepared.

29. Examination of Work before Covering Up: The Contractor shall give 7 days' notice to the Engineer or the Engineer's Representative whenever any work or materials are intended to be covered up in the earth, in bodies or walls or otherwise to be placed beyond the reach of measurements in order that the work may be inspected or that correct dimensions may be taken before being so covered, placed beyond the reach of measurement in default whereof, the same shall at the option of the Engineer or the Engineer's Representative be uncovered and measured at the Contractor's expense or no allowance shall be made for such work or materials.

**30.** Temporary Works: All temporary works necessary for the proper execution of the works shall be provided and maintained by the Contractor and subject to the consent of the Engineer shall be removed by him at his expenses when they are no longer required



and insuch manner as the Engineer shall direct. In the event of failure on the part of the Contractor toremove the temporary works, the Engineer will cause them to be removed and cost as increased by supervision and other incidental charges shall be recovered from the Contractor. If temporary huts are provided by the Contractor on the Railway land for labour engaged by him for the execution of works, the Contractor shall arrange for handing over vacant possession of the said land after the work is completed; if the Contractor's labour refuse to vacate, and have to be evicted by the Railway, necessary expenses incurred by the Railway in connection therewith shall be borne by the Contractor.

**31.(1) Contractor to Supply Water for Works:** Unless otherwise provided in the Contract, the Contractor shall be responsible for the arrangements to obtain supply of water necessaryfor the works.

- **31.(2) Water Supply from Railway System:** The Railway may supply to the Contractor part or whole of the quantity of the water required for the execution of works from the Railway's existing water supply system at or near the site of works on specified terms and conditions and at such charges as shall be determined by the Railway and payable by the Contractor, provided that the Contractor shall arrange, at his own expense, to effect the connections and lay additional pipelines and accessories on the site and that the Contractor shall not be entitled to any compensation for interruption of failure of the water supply.
- **31.(3) Water Supply by Railway Transport:** In the event of the Railway arranging supply of water to the Contractor at or near the site of works by travelling water tanks or other means, the freight and other charges incurred thereby, including demurrage charges that may belevied, shall be paid by the Contractor in addition to the charges referred to in Sub-Clause (2) of the Clause provided that the Contractor shall not be entitled to any compensation for interruption or failure of the water supply.
- **31.(4)(a) Contractor to Arrange Supply of Electric Power for Works:** Unless otherwise provided in the contract, the Contractor shall be responsible for arrangements to obtain supply of Electric Power for the works.
- (b) Electric Supply from the Railway System: The Railway may supply to the Contractor part or whole of the electric power wherever available and possible, required for execution of works from the Railway's existing electric supply systems at or near the site of works on specified terms and conditions and such charges as shall be determined by the Railway and payable by the Contractor provided the cost of arranging necessary connections to the Railway's Electric Supply systems and laying of underground/overhead conductor, circuit protection, electric power meters, transmission structure, shall be borne by the Contractor and that the Contractor shall not be entitled to any compensation for interruption or failure of the Electric supply system.
- **32. Property in Materials and Plant:** The materials and plant brought by the Contractor upon the site or on the land occupied by the Contractor in connection with the works and intended to be used for the execution thereof shall immediately be deemed to be the property of the Railway. Such of them as during the progress of the works are rejected by the Engineer under Clause 25 of these conditions or are declared by him not to be needed for the execution of the works or such as on the grant of the certificate of completion remain unused shall immediately on such rejection, declaration or grant cease to be deemed the property of the Railway and the Contractor may then (but not before) remove them from the



site or the said land. This clause shall not in any way diminish the liability of the Contractor nor shall the Railway be in any way answerable for any loss or damage which may happen to or in respectof any such materials or plant either by the same being lost, stolen, injured or destroyed by fire, tempest or otherwise.

**33.(1)** Tools, Plant and Materials Supplied by Railway: The Contractor shall take all reasonable care of all tools, plant and materials or other property whether of a like description or not belonging to the Railway and committed to his charge for the purpose of the works and shall be responsible for all damage or loss caused by him, his agents, permitted sub-contractor, or his workmen or others while they are in his charge. The Contractors shall sign accountable receipts for tools, plants and materials made over to him by the Engineer and on completion of the works shall hand over the unused balance of the same to the Engineer in good order and repair, fair wear and tear excepted, and shall be responsible for any failure to account for the same or any damage done thereto.

**33.(2) Hire of Railway's Plant:** The Railway may hire to the Contractor such plant as concrete mixers, compressors and portable engines for use during execution of the works on such terms as may be specified in the special conditions or in a separate agreement for Hire of Plant.

**34.(1) Precaution During Progress of Works:** During the execution of works, unless otherwise specified, the Contractor shall at his own cost provide the materials for and execute all shoring, timbering and strutting works as is necessary for the stability and safety of all structures, excavations and works and shall ensure that no damage, injury or loss is caused or likely to be caused to any person or property.

**34.(2)** Roads and Water Courses: Existing roads or water courses shall not be blocked cut through, altered, diverted or obstructed in any way by the Contractor, except with the permission of the Engineer. All compensations claimed for any unauthorized closure, cutting through, alteration, diversion or obstruction to such roads or water courses by the Contractor or his agent or his staff shall be recoverable from the Contractor's bills/Security Deposit or any other dues of Contractor with the Government of India.

**34.(3) Provision of Access to Premises:** During progress of work in any street or thoroughfare, the Contractor shall make adequate provision for the passage of traffic, for securing safe access to all premises approached from such street or thoroughfare and for any drainage, water supply or means of lighting which may be interrupted by reasons of the execution of the works and shall react and maintain at his own cost barriers, lights and other safeguards as prescribed by the Engineer, for the regulation of the traffic, and provide watchmen necessary to prevent accidents. The works shall in such cases be executed night and day, if so ordered by the Engineer and with such vigour so that the traffic way be impeded for as short a time as possible.

**34.(4) Safety of Public:** The Contractor shall be responsible to take all precautions to ensure the safety of the public whether on public or railway property and shall post such look out menas may, in the opinion of the Engineer, be required to comply with regulations appertaining to the work. Contractor shall ensure placement of barricading / partitions at the place of work to ensure safety of habitants of adjacent area, failing which Engineer may advise stoppage ofwork as per his discretion.



34.(5) Display Board: The Contractor shall be responsible for displaying the details of works

i.e. name of work, approximate cost, expected date of completion, name and address of the Contractor and address of Engineer on a proper steel Board of size not less than 1m x 1m.

**35. Use of Explosives:** Explosives shall not be used on the works or on the site by the Contractor without the permission of the Engineer and then also only in the manner and to the extent to which such permission is given. Where explosives are required for the works, they shall be stored in a special magazine to be provided by and at the cost of the Contractor in accordance with the Explosive Rules. The Contractor shall obtain the necessary license for the storage and the use of explosives. All operations in which or for which explosives are employed shall be at the sole risk and responsibility of the Contractor and the Contractor shall indemnify the Railway in respect thereof.

**36.(1) Suspension of Works:** The Contractor shall on the order of the Engineer, suspend the progress of the works or any part thereof for such time or times and in such manner as the Engineer may consider necessary and shall during such suspension properly protect and secure the work so far as is necessary in the opinion of the Engineer. If such suspension is:

(a) Provided for in the contract, or

(b) Necessary for the proper execution of the works or by the reason of weatherconditions or by some default on the part of the Contractor, and or

(c) Necessary for the safety of the works or any part thereof.

**36.(2)** The Contractor shall not be entitled to the extra costs, if any, incurred by him during the period of suspension of the works, but in the event of any suspension ordered by the Engineer for reasons other than aforementioned and when each such period of suspension exceeds 14 days, the Contractor shall be entitled to such extension of time for completion of the works as the Engineer may consider proper having regard to the period or periods of such suspensions and to such compensations as the Engineer may consider reasonable in respect of salaries or wages paid by the Contractor to his employees during the periods of such suspension.

**36.(3)** Suspension Lasting More than 3 Months: If the progress of the works or any part thereof is suspended on the order of the Engineer for more than three months at a time, the Contractor may serve a written notice on the Engineer requiring permission within 15 days from the receipt thereof to proceed with the works or that part thereof in regard to which progress is suspended and if such permission is not granted within that time the Contractor by further written notice so served may, but is not bound to, elect to treat the suspension where it affects part only of the works as an omission of such part or where it affects the whole of the works, as an abandonment of the contract by the Railway.

# 37. Rates for Items of Works:

(i) The rates, entered in the accepted Schedule of Rates of the Contract are intended to provide for works duly and properly completed in accordance with the General and Special (if any) Conditions of the Contract and the Specifications and drawings together with such enlargements, extensions, diminutions, reductions, alterations or additions as may be



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ordered in terms of Clause 42 of these conditions and without prejudice to the generality thereof and shall be deemed to include and cover superintendence and labour, supply, including full freight of materials, stores, patterns, profiles, moulds, fittings, centerings, scaffolding, shoring props, timber, machinery, barracks, tackle, roads, pegs, posts, tools and all apparatus and plantrequired on the works, except such tools, plant or materials as may be specified in the contract to be supplied to the Contractor by the Railway, the erection, maintenance and removal of all temporary works and buildings, all watching, lighting, bailing, pumping and draining, all prevention of or compensation for trespass, all barriers and arrangements for the safety of the public or of employees during the execution of works, all sanitary and medical arrangements for labour camps as may be prescribed by the Railway, the setting of all work and of the construction, repair and upkeep of all centre lines, bench marks and level pegs thereon, site clearance, all fees duties, royalties, rent and compensation to owners for surface damage or taxes and impositions payable to local authorities in respect of land, structures and all material supplied for the work or other duties or expenses for which the Contractor may become liable or may be put to under any provision of law for the purpose of or in connection with the execution of the contract and all such other incidental charges or contingencies as may have been specially provided for in the Specifications.

However, if rates of existing GST or cess on GST for Works Contract is increased or any new tax /cess on Works Contract is imposed by Statute after the date of opening of tender but within the original date of completion/date of completion extended under clause 17 & 17A and the Contractor thereupon properly pays such taxes/cess, the Contractor shall be reimbursed the amount so paid.

Further, if rates of existing GST or cess on GST for Works Contract is decreased or any tax/cess on Works Contract is decreased / removed by Statute after the date of opening of tender, the reduction in tax amount shall be recovered from Contractor's bills/Security Deposit or any other dues of Contractor with the Government of India.

**38. Demurrage and Wharfage Dues:** Demurrage charges calculated in accordance with the scale in force for the time being on the Railway and incurred by the Contractor failing to load or unload any goods or materials within the time allowed by the Railway for loading as also wharfage charges, of materials not removed in time, as also charges due on consignments booked by or to him shall be paid by the Contractor, failing which such charges shall be debited to the Contractor's account in the hands of the Railway and shall be deducted from any sums which may become due to him in terms of the contracts.

**39.(1) Rates for Extra Items of Works:** Any item of work carried out by the Contractor on the instructions of the Engineer which is not included in the accepted Schedules of Rates shall be executed at the rates set forth in the "Schedule of Rates of Railway" modified by the tender percentage, and for such items not contained in the latter, at the rate agreed upon between the Engineer and the Contractor before the execution of such items of work and the Contractors shall be bound to notify the Engineer at least seven days before the necessity arises for the execution of such items of works that the accepted Schedule of Rates does not include rate or rates for the extra work involved. The rates payable for such items shall be decided at the meeting to be held between the Engineer and Contractor, in as short a period as possible after the need for the special item has come to the notice. In case



the Contractor fails to attend the meeting after being notified to do so or in the event of no settlement being arrived at, the Railway shall be entitled to execute the extra works by other means and the Contractor shall have no claim for loss or damage that may result from such procedure.

The assessment of rates for extra items shall be arrived at based on the prevailing rates and by taking guidance from the following documents in order of priority:

- (i) Analysis of Unified Schedule of Rates of Indian Railways
- (ii) Analysis of Delhi Schedule of Rates issued by CPWD
- (iii) Market Analysis

**39.(2)** Provided that if the Contractor commences work or incurs any expenditure in regard thereto before the rates as determined and agreed upon as lastly hereuntofore-mentioned, then and in such a case the Contractor shall only be entitled to be paid in respect of the work carriedout or expenditure incurred by him prior to the date of determination of the rates as aforesaid according to the rates as shall be fixed by the Engineer. However, if the Contractor is not satisfied with the decision of the Engineer in this respect, he may appeal to the Chief Engineer/CGM within 30 days of getting the decision of the Engineer, supported by analysis of the rates claimed. The Chief Engineer's/CGM's decision after hearing both the parties in the matter would be final and binding on the

**40.(1) Handing over of Works:** The Contractor shall be bound to hand over the worksexecuted under the contract to the Railway complete in all respects to the satisfaction of the Engineer. The Engineer shall determine the date on which the work is considered to have been completed, in support of which his certificate shall be regarded as sufficient evidence for all purposes. The Engineer shall determine from time to time, the date on which any particular section of the work shall have been completed, and the Contractor shall be bound to observe any such determination of the Engineer.

**40.(2) Clearance of Site on Completion:** On completion of the works, the Contractor shall clear away and remove from the site all constructional plant, surplus materials, rubbish and temporary works of every kind and leave the whole of the site and works clean and in a workman like condition to the satisfaction of the Engineer. No final payment in settlement of the accounts for the works shall be paid, held to be due or shall be made to the, Contractor till, in addition to any other condition necessary for final payment, site clearance shall have been affected by him, and such clearance may be made by the Engineer at the expense of the Contractor in the event of his failure to comply with this provision within 7 days afterreceiving notice to that effect. Should it become necessary for the Engineer to have the site cleared at the expenses of the Contractor, the Railway shall not be held liable for any loss or damage to such of the Contractor's property as may be on the site and due to such removalthere from which removal may be affected by means of public sales of such materials and property or in such a way as deemed fit and convenient to the Engineer.

**Clause 40A**: At the final stage of completion and commissioning of work, in case the contractor's failure is limited to only some of the works costing not more than 2% of the original contract value, and the Contractor request the engineer that such works may be



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offloaded from him and got executed through another agency and additional cost incurred, if any, should be recovered from his dues; the Engineer on being convinced that the anticipated additional cost for such works will not be substantial and can be recovered from the dues of the contractor and that such offloading will help in completion and commissioning of work, may agree to such offloading without any adverse repercussion on the performance guarantee and security deposit of the Contractor. However, the Engineer will not be under any compulsion to agree to such a request. Further, before issuing letter of acceptance to another agency for such work, the Contractor shall be informed of the rates at which the work will be got executed and the Contractor should give his consent to do so and certify that he would have no future claim on this account and that the extra expenditure so incurred, if any, by the Engineer in getting the offloaded work done, shall be recovered from subsequent Bills or any other dues of the Contractor. In case the Contractor fails to give such consent within three working days, the Engineer may treat the same as not acceptable to Contractor and proceed accordingly. In any case, Railway shall deduct 10% of cost of such work or Rs one lakh whichever is lower, from the Contractor's dues as administrative charges for the process of finalizing new agency for such work irrespective of whether or not such work is finally offloaded from Contractor or not.

# VARIATIONS IN EXTENT OF CONTRACT

**41. Modification to Contract to be in Writing:** In the event of any of the provisions of the contract required to be modified after the contract documents have been signed, the modifications shall be made in writing and signed by the Railway and the Contractor and no work shall proceed under such modifications until this has been done. Any verbal or written arrangement abandoning, modifying, extending, reducing or supplementing the contract or anyof the terms thereof shall be deemed conditional and shall not be binding on the Railway unless and until the same is incorporated in a formal instrument and signed by the Railway and the Contractor, and till then the Railway shall have the right to repudiate such arrangements.

**42.(1) Powers of Modification to Contract:** The Engineer on behalf of the Railway shall be entitled by order in writing to enlarge or extend, diminish or reduce the works or make any alterations in their design, character position, site, quantities, dimensions or in the method of their execution or in the combination and use of materials for the execution thereof or to order any additional work to be done or any works not to be done and the Contractor will not be entitled, to any compensation for any increase/reduction in the quantities of work but will be paid only for the actual amount of work done and for approved materials supplied against a specific order.

42.(2) (i) Unless otherwise specified in the special conditions of the contract, the accepted variation in quantity of each individual item of the contract would be upto 25% of the quantity originally contracted, except in case of foundation work.

(ii) The Contractor shall be bound to carry out the work at the agreed rates and shall not be entitled to any claim or any compensation whatsoever upto the limit of 25% variation in quantity of individual item of works.

(iii) In case an increase in quantity of an individual item by more than 25% of the



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agreement quantity is considered unavoidable, then same shall be executed at following rates

(a) Quantities operated in excess of 125% but upto 140% of the agreement quantity of the concerned item, shall be paid at 98% of the rate awarded for that item in that particular tender;

(b) Quantities operated in excess of 140% but upto 150% of the agreement quantity of the concerned item shall be paid at 96% of the rate awarded for that item in that particular tender;

(c) Variation in quantities of individual items beyond 150% will be avoided and would be permitted only in exceptional unavoidable circumstances and shall be paid at 96% of the rate awarded for that item in that particular tender.

(d) Variation to quantities of Minor Value Item:

The limit for varying quantities for minor value items shall be 100% (as against 25% prescribed for other items). A minor value item for this purpose is defined as an item whose original agreement value is less than 1 % of the total original agreement value.

d.(i) Quantities operated upto and including 100% of the agreement quantity of the concerned minor value item, shall be paid at the rate awarded for that item in that particular tender;

d.(ii) Quantities operated in excess of 100% but upto 200% of the agreement quantity of the concerned minor value item, shall be paid at 98% of the rate awarded for that item in that particular tender;

d.(iii) Variation in quantities of individual minor value item beyond 200% will be avoided and would be permitted only in exceptional unavoidable circumstances and shall be paid at 96% of the rate awarded for that item in that particular tender.

(iv) In case of earthwork, the variation limit of 25% shall apply to the gross quantity of earthwork and variation in the quantities of individual classifications of soil shall not be subject to this limit.

(v) In case of foundation work, no variation limit shall apply and the work shall be carried out by the Contractor on agreed rates irrespective of any variation.

(vi) As far as SOR items are concerned, the limit of 25% would apply to the value of SOR schedule as a whole and not on individual SOR items. However, in case of NS items, the limit of 25% would apply on the individual items irrespective of the manner of quoting the rate (single percentage rate or individual item rate).

**42.(3)** Valuation of Variations: The enlargements, extensions, diminution, reduction, alterations or additions referred to in Sub-Clause (2) of this Clause shall in no degree affect thevalidity of the contract; but shall be performed by the Contractor as provided therein and be subject to the same conditions, stipulations and obligations as if they had been originally and expressively included and provided for in the Specifications and Drawings and the amounts to be paid therefor shall be calculated in accordance with the accepted Schedule of Rates. Any extra items/quantities of work falling outside the purview of the provisions of Sub-Clause (2) above shall be paid for at the rates determined under Clause-39 of these Conditions.



#### CLAIMS

**43.(1) Quarterly Statement of Claims:** The Contractor shall prepare and furnish to the Engineer once in every quarter commencing from the month following the month of issue of Letter of Acceptance, an account giving full and detailed particulars of all claims for any additional expenses to which the Contractor may consider himself entitled to and of all extra or additional works ordered by the Engineer which he has executed during the preceding month quarter and no claim for payment for such work will be considered which has not beenincluded in such particulars.

**43.(2) Signing of "No Claim" Certificate :** The Contractor shall not be entitled to make any claim whatsoever against the Railway under or by virtue of or arising out of this contract, nor shall the Railway entertain or consider any such claim, if made by the Contractor, after he shall have signed a "No Claim" Certificate in favour of the Railway in such form as shall be required by the Railway after the works are finally measured up. The Contactor shall be debarred from disputing the correctness of the items covered by "No Claim" Certificate or demanding a clearance to arbitration in respect thereof.

## MEASUREMENTS, CERTIFICATES AND PAYMENTS

**44. Quantities in Schedule Annexed to Contract:** The quantities set out in the accepted Schedule of Rates with items of works quantified are the estimated quantities of the works and they shall not be taken as the actual and correct quantities of the work to be executed by the Contractor in fulfillment of his obligations under the contract.

45(i). Measurement of Works by Railway: The Contractor shall be paid for the works at the rates in the accepted Schedule of Rates and for extra works at rates determined under Clause 39 of these Conditions on the measurements taken by the Engineer or the Engineer's representative in accordance with the rules prescribed for the purpose by the Railway. The quantities for items the unit of which in the accepted Schedule of Rates is 100 or 1000 shall be calculated to the nearest whole number, any fraction below half being dropped and half and above being taken as one; for items the unit of which in the accepted Schedule of Rates is single, the quantities shall be calculated to two places of decimals. Such measurements will be taken of the work in progress from time to time and at such intervals as in the opinion of the Engineer shall be proper having regard to the progress of works. The date and time on which 'on account' or 'final' measurements are to be made shall be communicated to the Contractor who shall be present at the site and shall sign the results of the measurements (which shall also be signed by the Engineer or the Engineer's representative) recorded in the official measurements book as an acknowledgement of his acceptance of the accuracy of the measurements. Failing the Contractor's attendance, the work may be measured up in his absence and such measurements shall, notwithstanding such absence, be binding upon the Contractor whether or not he shall have signed the measurement books provided always that any objection made by him to measurement shall be duly investigated and considered in the manner set out below:

(a) It shall be open to the Contractor to take specific objection to any recorded measurements or Classification on any ground within seven days of the date of such measurements. Any re- measurement taken by the Engineer or the Engineer's representative in the presence of the Contractor or in his absence after due notice has been given to him in consequence of objection made by the Contractor shall be final and binding



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on the Contractor and no claim whatsoever shall thereafter be entertained regarding the accuracy and Classification of the measurements.

(b) If an objection raised by the Contractor is found by the Engineer to be incorrect the Contractor shall be liable to pay the actual expenses incurred in measurements.

# 45(ii). Measurement of Works by Contractor's Authorized Representative (in case thecontract provides for the same):

(a) The Contractor shall be paid for the works at the rates in the accepted Schedule of Rates and for extra works at rates determined under Clause 39 of these Conditions on the measurements taken by the Contractor's authorized Engineer in accordance with the rules prescribed for the purpose by the Railway. The quantities for items the unit of which in the accepted Schedule of Rates is 100 or 1000 shall be calculated to the nearest whole number, anyfraction below half being dropped and half and above being taken as one; for items the unit of which in the accepted Schedule of Rates is single, the quantities shall be calculated to two places of decimals. Such measurements will be taken of the work in progress from time to time. The date and time on which 'on account' or 'final' measurements are to be made shall be communicated to the Engineer.

The date and time of test checks shall be communicated to the Contractor who shall be present at the site and shall witness the test checks, failing the Contractor's attendance the test checks may be conducted in his absence and such test checks shall not withstanding such absence be binding upon Contractor provided always that any objection made by Contractor to test check shall be duly investigated and considered in the manner set out below:

(i) It shall be open to the Contractor to take specific objection to test checks of any recorded measurement within 7 days of date of such test checks. Any re-test check done by the concerned Railway's authority in the presence of the Contractor or in his absence after due notice given to him in consequent of objection made by the Contractor shall be final and binding on the Contractor and no claim whatsoever shall thereafter be entertained regarding the accuracy and classification of the measurements.

(ii) If an objection raised by the Contractor is found by the Engineer to be incorrect the Contractor shall be liable to pay the actual expenses incurred in measurements.

(b) Incorrect measurement, actions to be taken: If in case during test check or otherwise, it is detected by the Engineer that agency has claimed any exaggerated measurement or has claimed any false measurement for the works which have not been executed; amounting to variation of 5% or more of claimed gross bill amount, action shall be taken as following:

- (i) On first occasion of noticing exaggerated/ false measurement, Engineer shall recover liquidated damages equal to 10% of claimed gross bill value.
- (ii) On any next occasion of noticing any exaggerated/false measurement, railway shallrecover liquidated damages equal to 15% of claimed gross bill value. In addition the facility of recording of measurements by Contractor as well as release of provisional payment shall be withdrawn. Once withdrawn, measurements shall be done by railway asper clause 45(i) above.



**46.(1) "On-Account " Payments:** The Contractor shall be entitled to be paid from time to time by way of "On-Account" payment only for such works as in the opinion of the Engineer he has executed in terms of the contract. All payments due on the Engineer's/Engineer's Representative's certificates of measurements or Engineer's certified "Contractor's authorized Engineer's measurements" shall be subject to any deductions which may be made under these presents and shall further be subject to, unless otherwise required by Clause 16 of these Conditions, a retention of ten percent by way of Security Deposits, until the amount of Security Deposit by way of such retentions shall amount to 5% of the total value of the contract provided always that the Engineer may by any certificate make any correction or modification in any previous certificate, if the works or any part thereof are not being carried out to his satisfaction.

**46.(2)** Rounding off Amounts: The total amount due on each certificate shall be roundedoff to the nearest rupee, i.e. sum less than 50 paise shall be omitted and sums of 50 paise and more upto  $\gtrless1$  will be reckoned as  $\gtrless1$ .

**46.(3) On Account Payments not Prejudicial to Final Settlement:** "On-Account" payments made to the Contractor shall be without prejudice to the final making up of the accounts (except where measurements are specifically noted in the Measurement Book as "Final Measurements" and as such have been signed by the Contractor and Engineer/Engineer's Representative) and shall in no respect be considered or used as evidence of any facts stated in or to be inferred from such accounts nor of any particular quantity ofwork having been executed nor of the manner of its execution being satisfactory.

**46.(4) Manner of Payment:** Unless otherwise specified payments to the Contractor will be transferred electronically to his bank account.

# 46A. Price Variation Clause (PVC):

**46A.1 Applicability:** Price Variation Clause (PVC) shall be applicable only in those contracts where tender conditions specifically permit it. Materials supplied free of cost by Railway to the Contractors and any extra **NS** item(s) included in subsequent variation falling outside the purview of the Schedule of Items of tender shall fall outside the purview of Price Variation Clause. If, in any case, accepted offer includes some specific payment to be made to consultants or some materials supplied by Railway free or at fixed rate, such payments shall be excluded from the gross value of the work for the purpose of payment/recovery of price variation.

**46A.2 Base Month:** The Base Month for 'Price Variation Clause' shall be taken as month 28 days prior to opening of tender including extensions, if any, unless otherwise stated elsewhere. The quarter for applicability of PVC shall commence from the month following Base month. The Price Variation shall be based on the average Price Index of the quarter underconsideration.

#### 46A.3 Validity:

Rates accepted by Railway Administration shall hold good till completion of work and no additional individual claim shall be admissible except:



(a) Payment / recovery for increase / decrease in GST on works contract or imposition / removal of any tax / cess on Works Contract as per Clause 37,

(b) Payment / recovery for overall market situation as per Price Variation Clause given here under.

**46A.4** Adjustment for variation in prices of material, labour, fuel, explosives, detonators, steel, concreting, ferrous, non-ferrous, insulators, zinc and cement shall be determined in the manner prescribed.

**46A.5** Components of various items in a contract on which variation in prices be admissible, shall be Material, Labour, Fuel, Explosives & Detonators, Steel, Cement, Concreting, Ferrous, Non-ferrous, Insulator, Zinc, Erection etc. However, for fixed components, no price variation shall be admissible.

**46A.6** The percentages of labour component, material component, fuel component etc. in various types of Engineering contracts shall be as under:

S.	Component	E/Work &	Tunnelin g	Major and	Building	Permane nt	Other
No.		Minor Bridges Contracts, Ballast Supply Contracts, Tunneling Contracts (without explosive)	Contracts (with explosive s)	Important Bridges Contracts	Contracts	Way linking Contracts (Manual)	Works Contracts
1	Labour Component	20	20	20	40	50	20
2	Other Material Components	10	15	30	35	5	20
3	Plant Machinery & Spares	30	15	20	5	15	30
4	Fuel & Lubricants Component	25	15	15	5	15	15
5	Fixed Component*	15	15	15	15	15	15
6	Detonators & Explosive Component	-	20	-	-	-	-

It shall not be considered for any price variation.

\*



**46A.7 Formulae:** The Amount of variation in prices in several components (labour, materialetc.) shall be worked out by the following formulae:

	L =	<u>W x (LQ – LB</u> ) x <u>LC</u> LB 100	
(ii)	M = MB	<u>W x (MQ – MB)</u> x <u>MC</u> 100	
	F =	<u>W x (FQ – FB)</u> x <u>FC</u> FB	100
	E =	<u>W x (EQ – EB)</u> x <u>EC</u> EB	100
(v)	PM = PMB S =	<u>W x (PMQ-PMB)</u> x <u>PMC</u> 100 SW x <u>(SQ – SB)</u> SB	
(vii)	C = CV x	(CQ – CB) / CB	

## For Railway Electrification Works:

(viii)	T = [(CS - CO) / CO x 0.4136] x TC
(ix)	$R = [(RT - RO) / RO + (ZT - ZO) / ZO \times 0.06] \times RC$
(x)	$N = [(PT - PO) / PO] \times NC$
(xi)	$Z = [(ZT - ZO) / ZO] \times ZC$
(xii)	$I = [(IT - IO) / IT] \times 85$

Where,

L Amount of price variation in Labour

M Amount of price variation in Materials

- F Amount of price variation in Fuel
- E Amount of price variation in Explosives
- PMAmount of price variation in Manufacture of machinery for mining, Quarrying and Construction
- S Amount of price variation in Steel
- C Amount of price variation in Cement
- T Amount of price variation in Concreting
- R Amount of price variation in Ferrous Items
- N Amount of price variation in Non-Ferrous Items
- Z Amount of price variation in Zinc
- I Amount of price variation in Insulator
- LC % of Labour Component
- MC % of Material Component



% of Explosive Component

EC

-0	······································
PMC Com	% of Manufacture of machinery for mining, Quarrying and Construction
ТС	% of Concreting Component
RC	% of Ferrous Component
NC	% of Non-Ferrous Component
ZC	% of Zinc Component
W	Gross value of work done by Contractor as per on-account bill(s) excluding cost of

W Gross value of work done by Contractor as per on-account bill(s) excluding cost of materials supplied by Railway at fixed price, minus the price values of cement and steel. This will also exclude specific payment, if any, to be made to the consultants engaged by Contractors (such payment shall be indicated in the Contractor's offer)

LB Consumer Price Index for Industrial Workers - All India: Published in R.B.I. Bulletin for the base period

LQ Consumer Price Index for Industrial Workers - All India: Published in R.B.I. Bulletin for the average price index of the 3 months of the quarter under consideration

MB Wholesale Price Index: All commodities – as published in the R.B.I. Bulletin for the base period

MQ Wholesale Price Index: All commodities – as published in the R.B.I. Bulletin for the average price index of the 3 months of the quarter under consideration

FB Wholesale Price Index for the group Fuel & Power as published in the R.B.I. Bulletin for the base period

FQ Index Number of Wholesale Price Index – By Groups and Sub-Groups for the group Fuel & Power as published in the R.B.I. Bulletin for the average price index of the 3 months of the quarter under consideration

EB Index number of Monthly Whole Sale Price Index for the category 'Explosive' of (g).Manufacture of other chemical products under (J) MANUFACTUREOF CHEMICALS AND CHEMICAL PRODUCTS, published by Office of Economic Adviser, Govt. of India, Ministry of Commerce & Industry, Department of Industrial Policy & Promotion (DIPP), for the base period.

EQ Index number of Monthly Whole Sale Price Index for the category 'Explosive' of (g).Manufacture of other chemical products under (J) MANUFACTUREOF CHEMICALS AND CHEMICAL PRODUCTS, published by Office of Economic Adviser, Govt. of India, Govt. of India, Ministry of Commerce & Industry, Department of Industrial Policy & Promotion (DIPP), for the average price index of 3 months of the quarter under consideration.

PMB Index number of Monthly Whole Sale Price Index for the category 'k. Manufacture of machinery for mining, quarrying and construction' under (R) MANUFACTURE OF MACHINERY AND EQUIPMENT, published by Office of Economic



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Adviser, Govt. of India, Ministry of Commerce & Industry, Department of Industrial Policy & Promotion (DIPP), for the base period.

PMQ Index number of Monthly Whole Sale Price Index for the category 'k. Manufacture of machinery for mining, quarrying and construction' under (R) MANUFACTURE OF MACHINERY AND EQUIPMENT, published by Office of Economic Adviser, Govt. of India, Ministry of Commerce & Industry, Department of Industrial Policy & Promotion (DIPP), for the average price index of 3 months of the quarter under consideration.

SW Gross value of steel supplied by the Contractor as per the 'onaccount' bill for the month under consideration

SB Index number of Monthly Whole Sale Price Index for the relevant category of mild steel item as mentioned in Clause 46A.9, published by Office of Economic Adviser, Govt. of India, Ministry of Commerce & Industry Department of Industrial Policy & Promotion (DIPP); for the base period.

SQ Index number of Monthly Whole Sale Price Index for the relevant category of mild steel item as mentioned in Clause 46A.9, published by Office of Economic Adviser, Govt. of India, Ministry of Commerce & Industry Department of Industrial Policy & Promotion (DIPP); for the average price index of the 3 months of the quarter under consideration.

CV Value of Cement supplied by Contractor as per on account bill in the quarter under consideration

CB Index No. of Wholesale Price Index of sub-group Cement, Lime & Plaster as published in RBI Bulletin for the base period

CQ No. of Wholesale Price Index of sub-group Cement, Lime & Plaster as published in RBI Bulletin for the average price index of the 3 months of the quarter under consideration

CS RBI wholesale price index for Cement, Lime & Plaster for the month which is six months prior to date of casting of foundation

Co RBI wholesale price index for Cement, Lime & Plaster for the month which is one month prior to date of opening of tender

RT IEEMA price index for Iron & Steel for the month which is two months prior to date of inspection of material.

RO IEEMA price index for Iron & Steel for the month which is one month prior todate of opening of tender.

PT IEEMA price index for Copper wire bar for the month which is two months prior to date of inspection of material.

PO IEEMA price index for Copper wire bar for the month which is one month prior to date of opening of tender.

ZT IEEMA price index for Zinc for the month which is two months prior to dateof inspection of material



ZO IEEMA price index for Zinc for the month which is one month prior to date of opening of tender

IT RBI wholesale price index for the sub-group "other Portland and Ceramic product" for the month which is two months prior to date of inspection of material

IO RBI wholesale price index for the sub-group "other Portland and Ceramic product" for the month which is one month prior to date of opening of tender

**46A.8** The demands for escalation of cost shall be allowed on the basis of provisional indices as mentioned above in Clause 46A.7. Any adjustment needed to be done based on the finally published indices shall be made as and when they become available.

**46A.9:** Relevant categories of steel for the purpose of operating Price Variation formulaas mentioned in this Clause shall be as under:

SL	Category of Steel Suppliedin Railway Work	Category of Steel Items as mentioned in Office of Economic Adviser, Govt. of India, Ministry of Commerce & Industry Department of Industrial Policy & Promotion (DIPP).
1.	Reinforcement bars and other rounds	<ul> <li>'MS Bright Bars' individual commodity of groupitem</li> <li>(d) Mild Steel-Long Products unde</li> <li>r</li> <li>(N) MANUFACTURE OF BASIC METAL.</li> </ul>
2.	All types and sizes of angles, channels and joists	'Angles, Channels, Sections, Steel' individual commodity of group item (d) Mild Steel- Long Products under (N) MANUFACTURE OF BASIC METAL.
3.	All types and sizes of plates	'e. Mild Steel – Flat Products' of (N) MANUFACTURER OF BASIC METAL.
4.	Any other section of steel not covered in the above categories and excluding HTS	Average of price for the 3 categories covered under SL1, 2 & 3 above

# 46A.10 Price Variation during Extended Period of Contract

The price adjustment as worked out above, i.e. either increase or decrease shall be applicable upto the stipulated date of completion of work including the extended period of completion where such extension has been granted under Clause 17-A of the Standard General Conditions of Contract. However, where extension of time has been granted due to Contractor's failure under Clause 17-B of the Standard General Conditions of Contract, price adjustment shall be done as follows:

a. In case the indices increase above the indices applicable to the last month of



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original completion period or the extended period under Clause 17-A, the price adjustment for the period of extension granted under Clause 17-B shall be limited to the amount payable as per the Indices applicable to the last month of the original completion period or the extended period under Clause 17-A of the Standard General Conditions of Contract; as the case may be.

b. In case the indices fall below the indices applicable to the last month of original/ extended period of completion under Clause 17-A, as the case may be; then the lower indices shall be adopted for the price adjustment for the period of extension under Clause 17-B of the Standard General Conditions of Contract.

**47. Maintenance of Works:** The Contractor shall at all times during the progress and continuance of the works and also for the period of maintenance specified in the Tender Form after the date of issue of the certificate of completion by the Engineer or any other earlier date subsequent to the completion of the works that may be fixed by the Engineer, be responsible for and effectively maintain and uphold in good substantial, sound and perfect condition alland every part of the works and shall make good from time to time and at all times as often as the Engineer shall require, any damage or defect that may during the above period arise in or be discovered or be in any way connected with the works, provided that such damage or defect is not directly caused by errors in the contract documents, act of providence or insurrection or civil riot, and the Contractor shall be liable for and shall pay and make good to the Railway or other persons legally entitled thereto whenever required by the Engineer so to do, all losses, damages, costs and expenses they or any of them may incur or be put or be liable to by reasons or in consequence of the operations of the Contractor or of his failure in any respect.

**48.(1) Certificate of Completion of Works:** As soon as in the opinion of the Engineer, the work has been completed and has satisfactorily passed any final test or tests that may be prescribed, the Engineer shall issue a certificate of completion duly indicating the date of completion in respect of the work and the period of maintenance of the work shall commence from the date of completion mentioned in such certificate. The certificate, inter alia, should mention that the work has been completed in all respects and that all the contractual obligations have been fulfilled by the Contractor and that there is no due from the Contractorto Railways/DFCCIL against the contract concerned.

The Engineer may also issue such a certificate indicating date of completion with respect to any part of the work (before the completion of the whole of work), which has been both completed to the satisfaction of the Engineer and occupied or used by the Railway. When any such certificate is given in respect of part of a work, such part shall be considered as completed and the period of maintenance of such part shall commence from the date of completion mentioned in the completion certificate issued for that part of the work.

**48.(2) Contractor not Absolved by Completion Certificate:** The Certificate of Completion in respect of the works referred to in Sub-Clause (1) of this Clause shall not absolve the Contractor from his liability to make good any defects imperfections, shrinkages or faults which may appear during the period of maintenance specified in the tender arising in the opinion of the Engineer from materials or workmanship not in accordance with the drawings or specifications or instruction of the Engineer, which defects, imperfections, shrinkages or faults shall upon the direction in writing of the Engineer be amended and made good by the Contractor at his own cost; and in case of default on the part of



Contractor, the Engineer may employ labour and materials or appoint another Contractor to amend and make good such defects, imperfections, shrinkages and faults and all expenses consequent thereon and incidental thereto shall be borne by the Contractor and shall be recoverable from any moneys due to him under the contract.

**48(3) Final Supplementary Agreement:** After the work is completed or otherwise concluded by the parties with mutual consent, and taken over by the Railway as per terms and conditions of the contract agreement, and there is unequivocal no claim on either side under the Contract other than as mentioned in item 4 of Annexure XIV, the parties shall execute the FinalSupplementary Agreement as per Annexure XIV.

**49. Approval only by Maintenance Certificate:** No certificate other than Maintenance Certificate, if applicable, referred to in Clause 50 of the Conditions shall be deemed to constitute approval of any work or other matter in respect of which it is issued or shall be takenas an admission of the due performance of the contract or any part thereof.

**50.(1) Maintenance Certificate:** The Contract shall not be considered as completed until a Maintenance Certificate, if applicable, shall have been signed by the Engineer stating that the works have been completed and maintained to his satisfaction. The Maintenance Certificate shall be given by the Engineer upon the expiration of the period of maintenance or as soon thereafter as any works ordered during such period pursuant to Sub Clause (2) to Clause 48 of these Conditions shall have been completed to the satisfaction of the Engineer, and full effect shall be given to this Clause notwithstanding the taking possession of or using the works or any part thereof by the Railway.

The Competent Authority to issue above Maintenance Certificate shall normally be the authority who is competent to sign the contract. If this Competent Authority is of the rank lower than JA Grade, then a JA Grade Officer (concerned with the work) should issue the Certificate. The Certificate, inter alia, should mention that the work has been completed in all respects and that all the contractual obligations have been fulfilled by the Contractor and that there is no due from the Contractor to Railways/DFCCIL against the contract concerned

**50.(2)** Cessation of Railway's Liability: The Railway shall not be liable to the Contractor for any matter arising out of or in connection with the contract for execution of the works unless the Contractor has made a claim in writing in respect thereof before the issue of the Maintenance Certificate under this clause.

**50.(3) Unfulfilled Obligations:** Notwithstanding the issue of the Maintenance Certificate the Contractor and (subject to Sub-Clause (2) of this Clause) the Railway shall remain liable for the fulfillment of any obligation incurred under the provision of the contract prior to the issue of the Maintenance Certificate which remains unperformed at the time such Certificate is issued and for the purposes of determining the nature and extent of any such obligations, the contract shall be deemed to remain in force between the parties thereto.

**51.(1) Final Payment:** On the Engineer's certificate of completion in respect of the works, adjustment shall be made and the balance of account based on the Engineer or the Engineer's representative's certified measurements or Engineer's certified "contractor's authorized engineer's measurements" of the total quantity of work executed by the Contractor upto the date of completion and on the accepted schedule of rates and for extra works on rates determined under Clause 39 of these Conditions shall be paid to the Contractor subject always to any deduction which may be made under these presents and



further subject to the Contractor having signed delivered to the Engineer enclosing either a full account in detail of all claims he may have on the Railway in respect of the works or having delivered No Claim Certificate and the Engineer having after the receipt of such account given a certificate in writing that such claims are not covered under excepted matter i.e. Clauses 7(j), 8, 18, 22(5), 39, 43(2), 45(i)(a), 55, 55-A(5), 57, 57A, 61(1), 61(2) and 62(1) (i) to xv(B) of Standard General Conditions of Contract or in any Clause (stated as excepted matter) of the Special Conditions of the Contract, that the whole of the works to be done under the provisions of the Contracts have been completed, that they have been inspected by him since their completion and found to bein good and substantial order, that all properties, works and things, removed, disturbed or injured in consequence of the works have been properly replaced and made good and all expenses and demands incurred by or made upon the Railway for or in the respect of damageor loss by from or in consequence of the works, have been satisfied agreeably and in conformity with the contract.

**51.(2) Post Payment Audit:** It is an agreed term of contract that the Railway reserves to itself the right to carry out a post-payment audit and/ or technical examination of the works and the Final Bill including all supporting vouchers, abstracts etc. and to make a claim on the Contractor for the refund of any excess amount paid to him till the release of security deposit or settlement of claims, whichever is later, if as a result of such examination any over-payment to him is discovered to have been made in respect of any works done or alleged to have been done by him under the contract.

# **51-A.** Production of Vouchers etc. by the Contractor:

(i) For a contract of more than one crore of rupees, the Contractor shall, whenever required, produce or cause to be produced for examination by the Engineer any quotation, invoice, cost or other account, book of accounts, voucher, receipt, letter, memorandum, paper of writing or any copy of or extract from any such document and also furnish information and returns verified in such manner as may be required in any way relating to the execution of this contract or relevant for verifying or ascertaining cost of execution of this contract (the decision of the Engineer on the question of relevancy of any documents, information or return being final and binding in the parties). The Contractor shall similarly produce vouchers etc., if required to prove to the Engineer, that materials supplied by him, are in accordance with the specifications laid down in the contract.

(ii) If any portion of the work in a contract of value more than one crore of rupees be carried out by a sub-contractor or any subsidiary or allied firm or company (as per Clause 7 of the Standard General Conditions of Contract), the Engineer shall have power to secure the books of such sub-contract or any subsidiary or allied firm or company, through the Contractor, and such books shall be open to his inspection.

(iii) The obligations imposed by Sub Clause (i) & (ii) above is without prejudice to the obligations of the Contractor under any statute rules or orders binding on the Contractor.

52. Withholding and Lien in Respect of Sums Claimed: Whenever any claim or claimsfor payment of a sum of money arises out of or under the contract against the Contractor, the Railway shall be entitled to withhold and also have a lien to retain such sum or sums in whole or in part from the security, if any, deposited by the Contractor and for the purpose aforesaid, the Railway shall be entitled to withhold the said cash Security Deposit or the Security if any, furnished as the case may be and also have a lien over the



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same pending finalization or adjudication of any such claim. In the event of the security being insufficient to cover the claimed amount or amounts or if no security has been taken from the Contractor, the Railway shall be entitled to withhold and have a lien to the extent of the such claimed amount or amounts referred to supra, from any sum or sums found payable or which at any time thereafter may become payable to the Contractor under the same contract or any other contract with this or any other Railway or any Department of the Central Government pending finalization or adjudication of any such claim.

It is an agreed term of the contract that the sum of money or moneys so withheld or retained under the lien referred to above, by the Railway will be kept withheld or retained as such by the Railways/DFCCIL till the claim arising out of or under the contract is determined by the arbitrator (if the contract governed by the Arbitration Clause) or by the competent court as the case may be and that the Contractor will have no claim for interest or damages whatsoever on any account in respect of such withholding or retention under the lien referred to supra and duly notified as such to the Contractor. For the purpose of this clause, where the Contractor is a partnership firm or a company, the Railway shall be entitled to withhold and also have a lien toretain towards such claimed amount or amounts in whole or in part from any sum found payable to any partner / company, as the case may be whether in his individual capacity or otherwise.

## 52-A Lien in Respect of Claims in other Contracts:

- (i) Any sum of money due and payable to the Contractor (including the Security Deposit returnable to him) under the contract may be withheld or retained by way of lien by the Railway, against any claim of this or any other Railway or any other Department of the Central Government in respect of payment of a sum of money arising out of or under any other contract made by the Contractor with this or any other Department of the Central Government.
- (ii) However, recovery of claims of Railway in regard to terminated contracts may be made from the Final Bill, Security Deposits and Performance Guarantees of other contract or contracts, executed by the Contractor. The Performance Guarantees submitted by the Contractor against other contracts, if required, may be withheld and encashed. In addition, 10% of each subsequent 'on-account bill' may be withheld, if required, for recovery of Railway's dues against the terminated contract.
- (iii) It is an agreed term of the contract that the sum of money so withheld or retained under this Clause by the Railway will be kept withheld or retained as such by the Railway till the claim arising out of or under any other contract is either mutually settled or determined by arbitration, if the other contract is governed by Arbitration Clause or bythe competent court as the case may be and Contractor shall have no claim for interest or damages whatsoever on this account or on any other ground in respect of any sum of money withheld or retained under this Clause and duly notified as such to the Contractor.

53. Signature on Receipts for Amounts: Every receipt for money which may become payable or for any security which may become transferable to the Contractors under these presents, shall, if signed in the partnership name by anyone of the partners of a Contractor's firm be a good and sufficient discharge to the Railway in respect of the moneys or security purported to be acknowledged thereby and in the event of death of any of the Contractor, partners during the pendency of the contract, it is hereby expressly agreed that every receipt by anyone of the surviving Contractor partners shall if so signed as aforesaid



be good and sufficient discharge as aforesaid provided that nothing in this Clause contained shall be deemed to prejudice or effect any claim which the Railway may hereafter have against the legal representative of any Contractor partner so dying for or in respect to any breach of any of the conditions of the contract, provided also that nothing in this clause contained shall be deemed to prejudice or effect the respective rights or obligations of the Contractor partners and of the legal representatives of any deceased Contractor partners interse.

#### LABOUR

54. Wages to Labour: The Contractor shall be responsible to ensure compliance with the provision of the Minimum Wages Act, 1948 (hereinafter referred to as the "said Act") and the Rules made thereunder in respect of any employees directly or through petty Contractors or sub-contractors employed by him for the purpose of carrying out this contract.

If, in compliance with the terms of the contract, the Contractor supplied any labour to be used wholly or partly under the direct orders and control of the Railways/DFCCIL whether in connection with any work being executed by the Contractor or otherwise for the purpose of the Railway/DFCCIL such labour shall, for the purpose of this Clause, still be deemed to be persons employed by the Contractor.

If any moneys shall, as a result of any claim or application made under the said Act be directed to be paid by the Railway, such money shall be deemed to be moneys payable to the Railway by the Contractor and on failure by the Contractor to repay the Railway any moneys paid by it as aforesaid within seven days after the same shall have been demanded, the Railways/DFCCIL shall be entitled to recover the same from Contractor's bills/Security Deposit or any other dues of Contractor with the Government of India.

**54-A. Apprentices Act:** The Contractor shall be responsible to ensure compliance with the provisions of the Apprentices Act, 1961 and the Rules and Orders issued thereunder from time to time in respect of apprentices directly or through petty Contractors or sub-contractorsemployed by him for the purpose of carrying out the Contract.

If the Contractor directly or through petty Contractors or sub-contractors fails to do so, his failure will be a breach of the contract and the Railway may, in its discretion, rescind the contract. The Contractor shall also be liable for any pecuniary liability arising on account of any violation of the provisions of the Act.

55. Provisions of Payments of Wages Act: The Contractor shall comply with the provisions of the Payment of Wages Act, 1936 and the rules made thereunder in respect of all employees employed by him either directly or through petty Contractors or sub-contractors in the works. If in compliance with the terms of the contract, the Contractor directly or through petty Contractors or sub-contractors shall supply any labour to be used wholly or partly under the direct orders and control of the Engineer whether in connection with the works to be executed hereunder or otherwise for the purpose of the Engineer, such labour shall nevertheless be deemed to comprise persons employed by the Contractor and any moneys which may be ordered to be paid by the Engineer shall be deemed to be moneys payable by the Engineer on behalf of the Contractor and the Engineer may on failure of the Contractor to repay such money to the Railways/DFCCIL deduct the same from any moneys due to the Contractor in terms of the contract. The Railway shall be



entitled to recover the same from Contractor's bills/Security Deposit or any other dues of Contractor with the Government of India all moneys paid or payable by the Railway by way of compensation of aforesaid or for costs of expenses in connection with any claim thereto and the decision of the Engineer upon any question arising out of the effect or force of this Clause shall be final and binding upon the Contractor.

## 55-A. Provisions of Contract Labour (Regulation and Abolition) Act, 1970:

**55-A.(1)** The Contractor shall comply with the provision of the contract labour (Regulation and Abolition) Act, 1970 and the Contract labour (Regulation and Abolition) Central Rules 1971 as modified from time to time, wherever applicable and shall also indemnify the Railway from and against any claims under the aforesaid Act and the Rules.

**55-A.(2)** The Contractor shall obtain a valid license under the aforesaid Act as modified from time to time before the commencement of the work and continue to have a valid license until the completion of the work. Any failure to fulfill the requirement shall attract the penal provision of the Act.

**55-A.(3)** The Contractor shall pay to the labour employed by him directly or through subcontractors the wages as per provision of the aforesaid Act and the Rules wherever applicable. The Contractor shall notwithstanding the provisions of the contract to the contrary, cause to be paid the wages to labour, indirectly engaged on the works including any engaged by sub- contractors in connection with the said work, as if the labour had been immediately employed by him.

**55-A.(4)** In respect of all labour directly or indirectly employed in the work for performance of the Contractor's part of the contract, the Contractor shall comply with or cause to be complied with the provisions of the aforesaid Act and Rules wherever applicable.

**55-A.(5)** In every case in which, by virtue of the provisions of the aforesaid Act or the rules, the Railway is obliged to pay any amount of wages to a workman employed by the Contractor or his sub-contractor in execution of the work or to incur any expenditure on account of the contingent, liability of the Railway due to the Contractor's failure to fulfill his statutory obligations under the aforesaid Act or the rules, the Railway will recover from the Contractor, the amount of wages so paid or the amount of expenditure so incurred and without prejudice to the rights of the Railway under the Section 20, Sub-Section (2) and Section 2, Sub-Section (4) of the aforesaid Act, the Railway shall be at liberty to recover such amount or part thereof from Contractor's bills/Security Deposit or any other dues of Contractor with the Government of India. The Railway shall not be bound to contest any claim made against it under Sub-Section

(1) of Section 20 and Sub-Section (4) of Section 21 of the aforesaid Act except on the written request of the Contractor and upon his giving to the Railway full security for all costs forwhich the Railway might become liable in contesting such claim. The decision of the Chief Engineer regarding the amount actually recoverable from the Contractor as stated above shall be final and binding on the Contractor.

**55-B.** Provisions of Employees Provident Fund and Miscellaneous Provisions Act, **1952**: The Contractor shall comply with the provisions of Para 30 & 36-B of the Employees Provident Fund Scheme, 1952; Para 3 & 4 of Employees' Pension Scheme, 1995; and Para 7 &8 of Employees Deposit Linked Insurance Scheme, 1976; as modified from time to time Page - 64 - of 170



through enactment of "Employees Provident Fund & Miscellaneous Provisions Act, 1952", wherever applicable and shall also indemnify the Railway from and against any claims under the aforesaid Act and the Rules.

**55-C** (i) Contractor is to abide by the provisions of various labour laws in terms of above clause 54, 55, 55-A and 55-B of Indian Railways Standard General Conditions of Contract. In order to ensure the same, an application has been developed and hosted on website 'www.shramikkalyan.indianrailways.gov.in'. Contractor shall register his firm/company etc. and upload requisite details of labour and their payment in this portal. These details shall be available in public domain. The registration / updation in Portal shall be done as under:

(a) Contractor shall apply for onetime registration of his company/firm etc. in the Shramikkalyan portal with requisite details subsequent to issue of Letter of Acceptance. Engineer shall approve the contractor's registration in the portal within 7 days of receipt of such request.

(b) Contractor once approved by any Engineer, can create password with login ID (PAN No.) for subsequent use of portal for all Letter of Acceptances (LoAs) issued in his favour.

(c) The contractor once registered on the portal, shall provide details of his Letter of Acceptances (LoAs) / Contract Agreements on shramikkalyan portal within 15 days of issue of any LoA for approval of concerned Engineer. Engineer shall update (if required) and approve the details of LoA filled by contractor within 7 days of receiptof such request.

(d) After approval of LoA by Engineer, contractor shall fill the salient details of contract labours engaged in the contract and ensure updating of each wage payment to them on shramikkalyan portal on monthly basis.

(e) It shall be mandatory upon the contractor to ensure correct and prompt uploading of all salient details of engaged contractual labour & payments made thereof after each wageperiod.

(ii) While processing payment of any 'On Account Bill' or 'Final Bill' or release of 'Advances' or 'Performance Guarantee / Security deposit', contractor shall submit a certificate to the Engineer or Engineer's representatives that "I have uploaded the correct details of contract labours engaged in connection with this contract and payments made to them during the wage period in Railway's Shramikkalyan portal at 'www.shramikkalyan.indianrailways.gov.in' till\_Month,\_Year."

# 55-D. Provisions of "The Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Act, 1996" and "The Building and Other Construction Workers' Welfare Cess Act, 1996":

The tenderers, for carrying out any construction work, shall get themselves registered with the Registering Officer under Section-7 of the Building and Other Construction Workers Act, 1996 and Rules made thereto by the concerned State Govt., and submit Certificate of Registration issued by Registering Officer of the concerned State Govt. (Labour Dept.). The Cess shall be deducted from contractor's bills as per provisions of the Act.

56. **Reporting of Accidents:** The Contractor shall be responsible for the safety of all



employees directly or through petty Contractors or sub-contractor employed by him on the works and shall report serious accidents to any of them however and wherever occurring on the works to the Engineer or the Engineers Representative and shall make every arrangements to render all possible assistance.

57. **Provision of Workmen's Compensation Act:** In every case in which by virtue of the provisions of Section 12 Sub-Section (1) of the Workmen's Compensation Act 1923, Railwayis obliged to pay compensation to a workman directly or through petty Contractor or sub- contractor employed by the Contractor in executing the work, Railway will recover from the Contractor the amount of the compensation so paid, and, without prejudice to the rights of Railway under Section 12 Sub-section (2) of the said Act, Railway shall be at liberty to recoversuch amount or any part thereof from Contractor's bills/Security Deposit or any other dues of Contractor with the Government of India. Railway shall not be bound to contest any claim made against it under Section 12 Sub-Section (1) of the said Act except on the written request of the Contractor and upon his giving to Railway full security for all costs for which Railway might become liable in consequence of contesting such claim.

**57-A. Provision of Mines Act:** The Contractor shall observe and perform all the provisions of the Mines Act, 1952 or any statutory modifications or re-enactment thereof for the time being in force and any rules and regulations made thereunder in respect of all the persons directly or through the petty Contractors or sub-contractors employed by him under this contract and shall indemnify the Railway from and against any claims under the Mines Act, or the rules and regulations framed thereunder, by or on behalf of any persons employed by himor otherwise.

**58. Railway not to Provide Quarters for Contractors:** No quarters shall normally be provided by the Railway for the accommodation of the Contractor or any of his staff employed on the work. In exceptional cases where accommodation is provided to the Contractor at the Railway's discretion, recoveries shall be made at such rates as may be fixed by the Railway for the full rent of the buildings and equipments therein as well as charges for electric current, water supply and conservancy.

**59.(1)** Labour Camps: The Contractor shall at his own expense make adequate arrangements for the housing, supply of drinking water and provision of latrines and urinals forhis staff and workmen, directly or through the petty Contractors or sub-contractors and for temporary creche (Bal-Mandir) where 50 or more women are employed at a time. Suitablesites on Railway land, if available, may be allotted to the Contractor for the erection of labour camps, either free of charge or on such terms and conditions that may be prescribed by the Railway. All camp sites shall be maintained in clean and sanitary conditions by the Contractor at his own cost.

**59.(2)** Compliance to Rules for Employment of Labour: The Contractor(s) shall conform to all laws, bye-laws rules and regulations for the time being in force pertaining to the employment of local or imported labour and shall take all necessary precautions to ensure and preserve the health and safety of all staff employed directly or through petty contractors or sub-contractors on the works.

**59.(3) Preservation of Peace:** The Contractor shall take requisite precautions and use his best endeavours to



(i) Prevent any riotous or unlawful behaviour by or amongst his workmen and other employed directly or through the petty Contractors or sub-contractors on the works and for the preservation of peace and protection of the inhabitants and

(ii) Security of property in the neighbourhood of the works. In the event of the Railway requiring the maintenance of a Special Police Force at or in the vicinity of the site during the tenure of works, the expenses thereof shall be borne by the Contractor and if paid by the Railway shall be recoverable from the Contractor.

**59.(4) Sanitary Arrangements:** The Contractor shall obey all sanitary rules and carry out all sanitary measures that may from time to time be prescribed by the Railway Medical Authority and permit inspection of all sanitary arrangements at all times by the Engineer, the Engineer's Representative or the Medical Staff of the Railway. Should the Contractor fail to make the adequate sanitary arrangements, these will be provided by the Railway and the cost thereof recovered from the Contractor.

**59.(5)** Outbreak of Infectious Disease: The Contractor shall remove from his camp such labour and their families as refuse protective inoculation and vaccination when called upon to do so by the Engineer or the Engineer's Representative on the advice of the Railway Medical Authority. Should cholera, plague, or other infectious disease break out, the Contractor shall burn the huts, beddings, clothes and other belongings of or used by the infected parties and promptly erect new huts on healthy sites as required by the Engineer, failing which within the time specified in the Engineer's requisition, the work may be done by the Railway and the cost thereof recovered from the Contractor.

**59.(6) Treatment of Contractor's Staff in Railway Hospitals:** The Contractor and his staff, other than labourers and their families requiring medical aid from the railway hospital and dispensaries will be treated as private patients and charged accordingly. The Contractors' labourers and their Families will be granted free treatment in railway hospitals and dispensaries where no other hospitals or dispensaries are available provided the Contractor pays the cost of medicines, dressing and diet money according to the normal scale and additional charges for special examinations such as pathological and bacteriologicalexamination, X-Ray, etc. and for surgical operation.

**59.** (7) Medical Facilities at Site: The Contractor shall provide medical facilities at the siteas may be prescribed by the Engineer on the advice of the Railway Medical Authority in relation to the strength of the Contractor's resident staff and workmen.

**59. (8) Use of Intoxicants:** The sale of ardent spirits or other intoxicating beverages upon thework or in any of the buildings, encampments or tenements owned, occupied by or within the control of the Contractor or any of his employees shall be forbidden and the Contractor shall exercise his influence and authority to the utmost extent to secure strict compliance with this condition.

**59.(9)** Restrictions on the Employment of Retired Engineers of Railway Services Within One Year of their Retirement: The Contractor shall not, if he is a retired Government Engineer of Gazetted rank, himself engage in or employ or associate a retired Government Engineer of Gazetted rank, who has not completed one year from the date of retirement, in connection with this contract in any manner whatsoever without obtaining prior permission of the President and if the Contractor is found to have contravened this provision



it will constitute a breach of contract and administration will be entitled to terminate the contract and forfeit his Performance Guarantee as well as Security Deposit.

**60.(1)** Non-Employment of Labourers below the age of 15: The Contractor shall not employ children below the age of 15 as labourers directly or through petty Contractors or sub- contractors for the execution of work.

**60.(2) Medical Certificate of Fitness for Labour:** It is agreed that the Contractor shall not employ a person above 15 and below 19 years of age for the purpose of execution of work under the contract unless a medical certificate of fitness in the prescribed form (Proforma at Annexure-VIII) granted to him by a certifying surgeon certifying that he is fit to work as an adult, is obtained and kept in the custody of the Contractor or a person nominated by him in this behalf and the person carries with him, while at work; a token giving a reference to such certificate. It is further agreed that the responsibility for having the adolescent examined medically at the time of appointment or periodically till he attains the age of 19 years shall devolve entirely on the Contractor and all the expenses to be incurred on this account shall be borne by him and no fee shall be charged from the adolescent or his parent for such medical examination.

**60.(3) Period of Validity of Medical Fitness Certificate:** A certificate of fitness granted or renewed for the above said purposes shall be valid only for a period of one year at a time. The certifying surgeon shall revoke a certificate granted or renewed if in his opinion the holder of it, is no longer fit for work in the capacity stated therein. Where a certifying surgeon refuses to grant or renew a certificate or revoke a certificate, he shall, if so required by the person concerned, state his reasons in writing for doing so.

**60.(4) Medical Re-Examination of Labourer:** Where any official appointed in this behalf by the Ministry of Labour is of the opinion that any person employed in connection with the execution of any work under this contract in the age group 15 to 19 years is without a certificate of fitness or is having a certificate of fitness but no longer fit to work in the capacity stated in the certificate, he may serve on the Contractor, or on the person nominated by him in this regard, a notice requiring that such persons shall be examined by a certifying surgeon and such person shall not if the concerned official so directs, be employed or permitted to do any work under this contract unless he has been medically examined and certified that he is fit to work in the capacity stated in the certificate.

#### **EXPLANATIONS:**

- (1) Only Qualified Medical Practitioners can be appointed as "Certifying Surgeons" and the term "Qualified Medical Practitioners" means a person holding a qualification granted by an authority specified in the Schedule to the Indian Medical Degrees Act, 1916 (VII to 1916) or in the Schedule to the Indian Medical Council Act, 1933 (XXVII) of 1933.
- (2) The Certifying surgeon may be a medical officer in the service of State or Municipal Corporation.



## **DETERMINATION OF CONTRACT**

**61.(1) Right of Railway to Determine the Contract:** The Railway shall be entitled to determine and terminate the contract at any time should, in the Railway's opinion, the cessation of work becomes necessary owing to paucity of funds or from any other cause whatever, in which case the value of approved materials at site and of work done to date by the Contractor will be paid for in full at the rate specified in the contract. Notice in writing from the Railway of such determination and the reasons therefor shall be conclusive evidence thereof.

**61.(2) Payment on Determination of Contract:** Should the contract be determined under sub clause (1) of this clause and the Contractor claims payment for expenditure incurred by him in the expectation of completing the whole of the work, the Railways/DFCCIL shall admit and consider such claims as are deemed reasonable and are supported by vouchers to the satisfaction of the Engineer. The Railway's decision on the necessity and propriety of such expenditure shall be final and conclusive.

**61.(3)** The Contractor shall have no claim to any payment of compensation or otherwise, howsoever on account of any profit or advantage which he might have derived from the execution of the work in full but which he did not derive in consequence of determination of contract.

# 62.(1) Determination of Contract owing to Default of Contractor:

If the Contractor should:

(i) Becomes bankrupt or insolvent, or

(ii) Make an arrangement for assignment in favour of his creditors, or agree to carry out the contract under a Committee of Inspection of his creditors, or

(iii) Being a Company or Corporation, go into liquidation (other than a voluntary liquidationfor the purposes of amalgamation or reconstruction), or

(iv) Have an execution levied on his goods or property on the works, or

 $\left(v\right)$  Assign the contract or any part thereof otherwise than as provided in Clause 7 of theseConditions, or

(vi) Abandon the contract, or

 $\left(vii\right)$  Persistently disregard the instructions of the Engineer, or contravene any provision of the contract, or

(viii) Fail to adhere to the agreed programme of work by a margin of 10% of the stipulated period, or

(ix)Fail to Execute the contract documents in terms of Clause 8 of the Regulations forTenders and Contracts.

 $(x) \qquad$  Fails to submit the documents pertaining to identity of JV and PAN in terms of Clause

17.11 of Tender Form available in the Regulations for Tenders and Contracts.

(xi) Fail to remove materials from the site or to pull down and replace work after



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receiving from the Engineer notice to the effect that the said materials or works have been condemned or rejected under Clause 25 and 27 of these Conditions, or

(xii) Fail to take steps to employ competent or additional staff and labour as required under Clause 26 of the Conditions, or

(xiii) Fail to afford the Engineer or Engineer's representative proper facilities for inspecting theworks or any part thereof as required under Clause 28 of the Conditions, or

(xiv) Promise, offer or give any bribe, commission, gift or advantage either himself or through his partner, agent or servant to any officer or employee of the Railway or to any person on his or on their behalf in relation to the execution of this or any other contract with this Railway.

(xv) Fail to adhere to the provisions of Clause 16 of Tender Form (Second Sheet) of Annexure I of Part I 'Regulations for Tenders and Contract', or provision of above Clause 59(9).

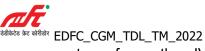
(xvi) Submits copy of fake documents / certificates in support of credentials, submitted by the tendererThen and in any of the **said Clause**, the Engineer on behalf of the Railway may serve the Contractor with a notice (Proforma at Annexure-IX) in writing to that effect and if the Contractor does not within seven days after the delivery to him of such notice proceed to make good his default in so far as the same is capable of being made good and carry on the work or comply with such directions as aforesaid of the entire satisfaction of the Engineer, the Railway shall be entitled after giving 48 hours' notice (Proforma at Annexure-X or XII, as the case maybe) in writing under the hand of the Engineer to rescind the contract as a whole or in part or parts (as may be specified in such notice) and after expiry of 48 hours' notice, a final termination notice (Proforma at Annexure-XI or XIII, as the case may be) should be issued.

Note: Engineer at his discretion may resort to the part termination of contract with notices (Proforma at Annexure- IX, XII and XIII), only in cases where progress of work is more than or equal to 80% of the original scope of work.

**62.(2)** Right of Railway after Rescission of Contract owing to Default of Contractor: In the event of any or several of the courses, referred to in Sub-Clause(1) of this Clause, being adopted:

(a) The Contractor shall have no claim to compensation for any loss sustained by him by reason of his having purchased or procured any materials or entered into any commitments or made any advances on account of or with a view to the execution of the works or theperformance of the contract and Contractor shall not be entitled to recover or be paid any sum for any work thereto for actually performed under the contract unless and until the Engineer shall have certified the performance of such work and the value payable in respect thereof andthe Contractor shall only be entitled to be paid the value so certified.

(b) In the contract which has been rescinded as a whole, the Security Deposit already with railways/DFCCIL under the contract shall be encashed/ forfeited and the Performance Guarantee alreadysubmitted for the contract shall be encashed. The balance work shall be got done independently without risk & cost of the failed Contractor. The failed Contractor shall be debarred from participating in the tender for executing the balance work. If the failed Contractor is a JV or a Partnership firm, then every member/partner of such a firm shall be debarred from participating in the tender for the balance work in his/her individual capacity



or as a partner of any other JV /partnership firm.

Further the authorized representative of failed Contractor cannot be accepted as authorized representative in new contract.

(c) In the contract rescinded in part or parts,

(i) The full Performance Guarantee for the contract shall be recovered. No additional Performance Guarantee shall be required for balance of work being executed through the part terminated contract. The contract value of part terminated contract stands reduced to the balance value of work under the contract.

- (ii) The Security Deposit of part terminated contract shall be dealt as per clause 16(2) of GCC.
- (iii) The defaulting Contractor shall not be issued any completion certificate for the contract.

(iv) The balance work shall be got done independently without risk & cost of the failed Contractor. The failed Contractor shall be debarred from participating in the tender for executing the balance work. If the failed Contractor is a JV or a Partnership firm, then every member/partner of such a firm shall be debarred from participating in the tender for the balance work in his/her individual capacity or as a partner of any other JV /partnership firm.

(v) Further the authorized representative of failed Contractor will not be accepted as authorized representative in new contract.

(d) The Engineer or the Engineer's Representative shall be entitled to take possession of any materials, tools, implements, machinery and buildings on the works or on the property on which these are being or ought to have been executed, and to retain and employ the same in the further execution of the works or any part thereof until the completion of the works without theContractor being entitled to any compensation for the use and employment thereof or for wear and tear or destruction thereof.

(e) The Engineer shall as soon as may be practicable after removal of the Contractor fix and determine ex-parte or by or after reference to the parties or after such investigation or enquiries as he may consider fit to make or institute and shall certify what amount (if any) had at the time of rescission of the contract been reasonably earned by or would reasonably accrue to the Contractor in respect of the work then actually done by him under the contract and what was the value of any unused, or partially used materials, any constructional plant and any temporary works upon the site. The legitimate amount due to the Contractor after making necessary deductions and certified by the Engineer should be released expeditiously.

# SETTLEMENT OF DISPUTES – INDIAN RAILWAY ARBITRATION AND CONCILIATION RULES

63. Conciliation of Disputes: All disputes and differences of any kind whatsoever arising out of or in connection with the contract, whether during the progress of the work or after its completion and whether before or after the determination of the contract, shall be referred by the Contractor to the "Chief Engineer" or "Divisional Railway Manager"



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through "Notice of Dispute" provided that no such notice shall be served later than 30 days after the date of issue of Completion Certificate by the Engineer. Chief Engineer or Divisional Railway Manager shall, within 30 days after receipt of the Contractor's "Notice of Dispute", notify the name of conciliator(s) to the Contractor.

The Conciliator(s) shall assist the parties to reach an amicable settlement in an independent impartial manner within the terms of contract.

If the parties reach agreement on a settlement of the dispute, they shall draw up and sign a written settlement agreement duly signed by Engineer In-charge, Contractor and conciliator(s). When the parties sign the settlement agreement, it shall be final and binding on the parties.

The parties shall not initiate, during the conciliation proceedings, any arbitral or judicial proceedings in respect of a dispute that is the subject matter of the conciliation proceedings.

The conciliation proceedings shall be terminated as per Section 76 of 'The Arbitration and Conciliation Act, 1996.

**63.1 Matters Finally Determined by the Railway:** All disputes and differences of any kind whatsoever arising out of or in connection with the contract, whether during the progress ofthe work or after its completion and whether before or after the determination of the contract, shall be referred by the Contractor to the GM and the GM shall, within 120 days after receipt of the Contractor's representation, make and notify decisions on all matters referred to by the Contractor in writing provided that matters for which provision has been made in Clauses 7(j), 8, 18, 22(5), 39, 43(2), 45(i)(a), 55, 55-A(5), 57, 57A,61(1), 61(2) and 62(1) of Standard General Conditions of Contract or in any Clause (stated as excepted matter) of the Special Conditions of the Contract, shall be deemed as 'excepted matters' (matters not arbitrable) and decisions of the Railway authority, thereon shall be final and binding on the Contractor; provided further that 'excepted matters' shall stand specifically excluded from the purview of the Arbitration Clause.

# 64.(1) : Demand for Arbitration:

**64.(1)(i):** In the event of any dispute or difference between the parties hereto as to the construction or operation of this contract, or the respective rights and liabilities of the parties on any matter in question, dispute or difference on any account or as to the withholding by the Railway of any certificate to which the Contractor may claim to be entitled to, or if the Railway fails to make a decision within 120 days, then and in any such case, but except in any of the "excepted matters" referred to in Clause 63.1 of these Conditions, the Contractor, after 120 days but within 180 days of his presenting his final claim on disputed matters shall demand in writing that the dispute or difference be referred to arbitration.

**64.(1)(ii)(a):** The demand for arbitration shall specify the matters which are in question, or subject of the dispute or difference as also the amount of claim item-wise. Only such dispute or difference, in respect of which the demand has been made, together with counter claims or set off, given by the Railway, shall be referred to arbitration and other matters shall not be included in the reference.



**64.(1)(ii)(b):** The parties may waive off the applicability of Sub-Section 12(5) of Arbitration and Conciliation (Amendment) Act 2015, if they agree for such waiver in writing, after dispute having arisen between them, in the format given under Annexure XV of these conditions.

**64.(1)(iii)(a):** The Arbitration proceedings shall be assumed to have commenced from the day, a written and valid demand for arbitration is received by the Railway.

**64.(1)(iii)(b):** The claimant shall submit his claims stating the facts supporting the claims alongwith all the relevant documents and the relief or remedy sought against each claim within a period of 30 days from the date of appointment of the Arbitral Tribunal.

**64.(1)(iii)(c):** The Railway shall submit its defence statement and counter claim(s), if any, within a period of 60 days of receipt of copy of claims from Tribunal, unless otherwiseextension has been granted by Tribunal.

**64.(1)(iii)(d):** Place of Arbitration: The place of arbitration would be within the geographical limits of the Division of the Railway where the cause of action arose or the Headquarters of the concerned Railway or any other place with the written consent of both the parties.

**64.(1)(iv):** No new claim shall be added during proceedings by either party. However, a party may amend or supplement the original claim or defense thereof during the course of arbitration proceedings subject to acceptance by Tribunal having due regard to the delay in making it.

**64.(1)(v):** If the Contractor(s) does/do not prefer his/their specific and final claims in writing, within a period of 90 days of receiving the intimation from the Railways/DFCCIL that the final bill is ready for payment, he/they will be deemed to have waived his/their claim(s) and the Railway shall be discharged and released of all liabilities under the contract in respect of these claims.

**64.(2):** Obligation During Pendency of Arbitration: Work under the contract shall, unless otherwise directed by the Engineer, continue during the arbitration proceedings, and nopayment due or payable by the Railway shall be withheld on account of such proceedings, provided, however, it shall be open for Arbitral Tribunal to consider and decide whether or not such work should continue during arbitration proceedings.

## 64.(3) : Appointment of Arbitrator:

# 64.(3)(a) : Appointment of Arbitrator where applicability of section 12 (5) of Arbitration and Conciliation Act has been waived off:

**64.(3)(a)(i):** In cases where the total value of all claims in question added together does not exceed ₹ 1,00,00,000/- (Rupees One Crore), the Arbitral Tribunal shall consist of a Sole Arbitrator who shall be a Gazetted Officer of Railway not below Junior Administrative Grade, nominated by the General Manager. The sole arbitrator shall be appointed within 60 days from the day when a written and valid demand for arbitration is received by General Manager.

**64.(3)(a)(ii):** In cases not covered by the Clause 64(3)(a)(i), the Arbitral Tribunal shall consist of a panel of three Gazetted Railway Officers not below Junior Administrative Grade or 2 Railway Gazetted Officers not below Junior Administrative Grade and a retired Railway



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Officer, retired not below the rank of Senior Administrative Grade Officer, as the arbitrators. For this purpose, the Railway will send a panel of at least four (4) names of Gazetted Railway Officers of one or more departments of the Railway which may also include the name(s) of retired Railway Officer(s) empanelled to work as Railway Arbitrator to the Contractor within60 days from the day when a written and valid demand for arbitration is received by theGeneral Manager.

Contractor will be asked to suggest to General Manager at least 2 names out of the panel for appointment as Contractor's nominee within 30 days from the date of dispatch of the request by Railway. The General Manager shall appoint at least one out of them as the Contractor's nominee and will, also simultaneously appoint the balance number of arbitrators either from the panel or from outside the panel, duly indicating the 'presiding arbitrator' from amongst the 3 arbitrators so appointed. General Manager shall complete this exercise of appointing the Arbitral Tribunal within 30 days from the receipt of the names of Contractor's nominees. While nominating the arbitrators, it will be necessary to ensure that one of them is from the Accounts Department. An officer of Selection Grade of the Accounts Department shall be considered of equal status to the officers in Senior Administrative Grade of other departments of the Railway for the purpose of appointment of arbitrator.

**64.3.(a).iii:** The serving railway officer working in arbitral tribunal in the ongoing arbitration cases as per clause 64.(3)(a)(i) and clause 64.(3)(a)(i) above, can continue as arbitrator in the tribunal even after his retirement.

64.(3)(b): Appointment of Arbitrator where applicability of Section 12 (5) of Arbitration and Conciliation Act has not been waived off:

 $(i) \ \mbox{In cases where the total value of all claims in question added together does not exceed$ 

₹ 50,00,000/- (Rupees Fifty Lakh), the Arbitral Tribunal shall consist of a Retired Railway Officer, retired not below the rank of Senior Administrative Grade Officer, as the arbitrator. Forthis purpose, the Railway will send a panel of at least four (4) names of retired Railway Officer(s) empanelled to work as Railway Arbitrator duly indicating their retirement dates to the Contractor within 60 days from the day when a written and valid demand for arbitration is received by the General Manager.

Contractor will be asked to suggest to General Manager at least 2 names out of the panel for appointment as arbitrator within 30 days from the date of dispatch of the request by Railway. The General Manager shall appoint at least one out of them as the arbitrator.

(ii) In cases where the total value of all claims in question added together exceed ₹ 50,00,000/- (Rupees Fifty Lakh), the Arbitral Tribunal shall consist of a Panel of three (3) retired Railway Officer, retired not below the rank of Senior Administrative Grade Officer, as the arbitrators. For this purpose, the Railway will send a panel of at least four (4) names of retired Railway Officer(s) empanelled to work as Railway Arbitrator duly indicating their retirement date to the Contractor within 60 days from the day when a written and valid demand for arbitration is received by the General Manager.

Contractor will be asked to suggest to General Manager at least 2 names out of the panel for appointment as Contractor's nominee within 30 days from the date of dispatch of the



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request by Railway. The General Manager shall appoint at least one out of them as the Contractor's nominee and will, also simultaneously appoint the balance number of arbitrators either from the panel or from outside the panel, duly indicating the 'Presiding Arbitrator' from amongst the 3 arbitrators so appointed. General Manager shall complete this exercise of appointing the Arbitral Tribunal within 30 days from the receipt of the names of Contractor's nominees. While nominating the arbitrators, it will be necessary to ensure that one of them has served in the Accounts Department.

**64.(3)(c)(i):** If one or more of the arbitrators appointed as above refuses to act as arbitrator, withdraws from his office as arbitrator, or vacates his/their office/offices or is/are unable or unwilling to perform his functions as arbitrator for any reason whatsoever or dies or in the opinion of the General Manager fails to act without undue delay, the General Manager shall appoint new arbitrator/arbitrators to act in his/their place in the same manner in which the earlier arbitrator/arbitrators had been appointed. Such re-constituted Tribunal may, at its discretion, proceed with the reference from the stage at which it was left by the previous arbitrator (s).

**64.(3) (c) (ii):** (a) The Arbitral Tribunal shall have power to call for such evidence by way of affidavits or otherwise as the Arbitral Tribunal shall think proper, and it shall be the duty of the parties hereto to do or cause to be done all such things as may be necessary to enable the Arbitral Tribunal to make the award without any delay. The proceedings shall normally be conducted on the basis of documents and written statements.

(b) Before proceeding into the merits of any dispute, the Arbitral Tribunal shall first decide andpass its orders over any plea submitted/objections raised by any party, if any, regarding appointment of Arbitral Tribunal, validity of arbitration agreement, jurisdiction and scope of the Tribunal to deal with the dispute (s) submitted to arbitration, applicability of time 'limitation' to any dispute, any violation of agreed procedure regarding conduct of the arbitral proceedings or plea for interim measures of protection and record its orders in day to day proceedings. A copy of the proceedings duly signed by all the members of tribunal should be provided to both the parties.

**64.3(c)(iii):** (i) Qualification of Arbitrator (s):

- (a) Serving Gazetted Railway Officers of not below JA Grade level.
- (b) Retired Railway Officers not below SA Grade level, one year after his date of retirement.
- (c) Age of arbitrator at the time of appointment shall be below 70 years.
- (ii) An arbitrator may be appointed notwithstanding the total number of arbitration cases inwhich he has been appointed in the past.
- (iii) While appointing arbitrator(s) under Sub-Clause 64.(3)(a)(i), 64.(3)(a)(ii), 64.(3)(b)(i) & 64.(3)(b)(ii) above, due care shall be taken that he/they is/are not the one/those who had an opportunity to deal with the matters to which the contract relates or who in the course of his/their duties as Railway servant(s) expressed views on all or any of the matters underdispute or differences. A certification to this effect as per annexure- XVI shall be taken from Arbitrators also. The proceedings of the Arbitral tribunal or the award made by such Tribunal will, however, not be invalid merely for the reason that one or more arbitrator



had, in the course of his service, opportunity to deal with the matters to which the contract relates or who in the course of his/their duties expressed views on all or any of the matters under dispute.

**64.(3)(d)(i):** The arbitral award shall state item wise, the sum and reasons upon which it is based. The analysis and reasons shall be detailed enough so that the award could be inferred therefrom.

**64.(3)(d)(ii):** A party may apply for corrections of any computational errors, any typographicalor clerical errors or any other error of similar nature occurring in the award of a Tribunal and interpretation of a specific point of award to Tribunal within 60 days of receipt of the award.

**64.(3)(d)(iii):** A party may apply to Tribunal within 60 days of receipt of award to make an additional award as to claims presented in the arbitral proceedings but omitted from the arbitralaward.

**64.(4):** In case of the Tribunal, comprising of three members, any ruling on award shall be made by a majority of members of Tribunal. In the absence of such a majority, the views of thePresiding Arbitrator shall prevail.

**64.(5):** Where the arbitral award is for the payment of money, no interest shall be payable on whole or any part of the money for any period till the date on which the award is made.

**64. (6):** The cost of arbitration shall be borne by the respective parties. The cost shall interalia include fee of the arbitrator(s), as per the rates fixed by Railway Board from time to time and the fee shall be borne equally by both the parties, provided parties sign an agreement in the format given at Annexure XV to these condition after/ while referring these disputes to Arbitration. Further, the fee payable to the arbitrator(s) would be governed by the instructions issued on the subject by Railway Board from time to time irrespective of the fact whether the arbitrator(s) is/are appointed by the Railway Administration or by the court of law unless specifically directed by Hon'ble court otherwise on the matter.

**64.(7)** Subject to the provisions of the aforesaid Arbitration and Conciliation Act 1996 and the rules thereunder and relevant para of General Conditions of Contract (GCC) and any statutory modifications thereof shall apply to the appointment of arbitrators and arbitration proceedingsunder this Clause.



#### PART - I CHAPTER - V SPECIAL CONDITIONS OF CONTRACT

- **1.5.1** This Tender shall be governed by instructions to tenderers, General condition of Contract, Special conditions of contract, Technical Specifications, Additional Technical specifications (if any), Drawings, Forms, Annexures, etc.
- **1.5.2** If there are varying or conflicting provisions in the documents forming part of the contract, Engineer shall be deciding authority with regard to the intentions of the provision and decision of Engineer will be final and binding on the contractor.
- **1.5.3** Scheme of work: Within a period of 15 days beginning from the date of issue of Letter of Acceptance of Tender, the Contractor shall submit the detailed plan for Operation & Maintenance of Machine and various documents enumerated in tender papers to the employer. Purchaser shall give a prior notice of 15 days to Contractor for mobilization and start of work at site.
- 1.5.4 Deleted
- 1.5.5 Deleted
- 1.5.6 Deleted
- **1.5.7** The decision of the Engineer shall be final in respect of acceptability or otherwise of any material, fittings, component or equipment required for the work.
- 1.5.8 This programme of the Contractor shall generally cover the followings: -
- **1.5.8.1** The organization to manage efficient Operation & Maintenance of Machine.
- **1.5.8.2** The documentation control system:
  - i) Basic control system.
  - ii) Records for maintenance
  - iii) Manual for operation & maintenance of machine;
- 1.5.8.3. Deleted
- 1.5.8.4 Deleted
- **1.5.8.5** System of handling and storage.
- **1.5.8.6** System of Maintenance audit.
- **1.5.8.7** System of maintenance of records.
- 1.5.8.8 Deleted



#### 1.5.9 Traffic Blocks / Power Blocks / Shut Down:

- (a) The contractor shall request/ obtain Power / Traffic / Shut down in the name of authorized representative of DFCCIL. Engineer/Engineer's representative will facilitate to make arrangements to obtain power blocks / shutdown (hereinafter referred to as blocks) for any maintenance activity.
- (b) Blocks will be granted during day & night hours continuous. The Contractor shall confirm that he will equip himself to carry out all maintenance during night blocks efficiently by suitable special lighting equipment's without any extra cost.
- (c) Block period shall be counted from the time the TR-line is placed at the Contractor's disposal at the work-spot till it is cleared by the Contractor.
- (d) Blocks will be subject to normal operating conditions and rules of the Railway/DFCCIL. All formalities of exchanging private number etc with the traffic control/traction power controller will be carried out by the Engineer staff who will be responsible for imposing power blocks/shut down and also removing the same after men, material and equipment have been cleared by the Contractor from running tracks and the same declared safe for traffic by Engineer/Engineer's representative in case of works involving safety of running tracks.
- (e) The works required to be done under traffic block shall be carried out only in the presence of DFCCIL officials. The Railway/DFCCIL supervisor shall certify safe conditions for passage of trains before resumption of traffic. The works to be done under traffic block shall be carried out under the provision of banner flag and protection of engineering flagman.
- (f) Any charges which may be levied by IR on account of "Possessions" shall be payable by the contractor but shall be reimbursed by the Employer. However penalties, if any, levied by Indian Railways caused due to any careless working or otherwise of violation of the Terms and Conditions of the track block, shall be payable by the contractor.

#### 1.5.10 Work by Other Agencies

- (a) Any other works undertaken at the same time by the Engineer direct or through some other agency at the same time or section where the contractor is carrying out his work will not entitle the contractor to prefer any claim regarding any delays or hindrances he may have to face on this account but the Employer shall grant a reasonable extension of time to the contractor. The contractor shall comply with any instruction which may be given to him by the Employer in order to permit simultaneous execution of his own works and those undertaken by other contractors or the DFCCIL without being entitled on this account on any extra charge.
- (b) The contractor shall not be entitled to any extra payment due to hindrance



resulting from normal Railway operations, such as delay on account of adequate number of and duration of blocks not being granted, operational delay in movement of work trains extension of time to the contractor.

## 1.5.11 Infringement of patents:

- (a) The Contractor is forbidden to use any patents or registered drawings, process or pattern in fulfilling his contract without the previous consent in writing of the owner of such patent, drawing, pattern or trade mark, except where these are specified by the Employer himself. Royalties where payable for the use of such patented processes, registered drawings of patterns shall be borne exclusively by the Contractor. The contractor shall advise the Employer of any proprietary right that may exist on such processed drawings or patterns which he may use of his own accord.
- (b) In the case of patent taken out by the Contractor of the drawings or patterns registered by him, or of those patents, drawings, or patents for which he holds a licence, the signing of the Contract automatically gives the Employer the right to repair by himself the purchased articles covered by the patent or by any person or body chosen by him and to obtain from any sources he desires the component parts required by him in carrying out the repair work. In the event of infringement of any patent rights due to above action of the Employer, he shall be entitled to claim damages from the contractor on the grounds of any loss of any nature which he may suffer e.g. in the case of attachment because of counterfeiting.
- (C) Indemnification by contractor:- In the event of any claim or demand being made or action being brought against the Employer for infringement of later patent in respect of any equipment, machine, plant, work or thing used or supplied by the Contractor under this contract or in respect of any methods of using or working by the Employer of such equipment machine, plant work or thing, the contractor shall indemnify the employer and keep him indemnified and harmless against all claims, costs, charges and expenses arising from or incurred by reason of such claim provided that the Employer shall notify the contractor immediately any claim is made and that the contractor shall be at liberty, if he so desires with the assistance of the Employer if required but at the Contractor's expense, to conduct all negotiations for the settlement of the same or any litigation that may arise there from and provided that no such equipment, machine, plant work or thing, shall be used by the Employer for any purpose or in any manner other than that for which they have been supplied by the Contractor and specified under this contract.

## 1.5.12 Insurance:- (CAR policy)

Before commencing of works, it shall be obligatory for the contractor to obtain, at his own cost, insurance cover in the joint name of the contractor and employer from reputed companies under the following requirements:



- (a) Liability for death of or injury to any person/ / animals or things or loss of or damage to any property / things / the work of other contractor (other than the work) except things or person otherwise insured by employer or other contractor at site, arising out of the performance of the Contract. The insurance shall be for Rs. 5,00,000/- per incident with max 10 incidents in a year.
- (b) Machinery and equipment brought to site by the Contractor.
- (c) Any other insurance cover as may be required by the law of the land as instructed by the Employer.
- (d) Contractor's All Risk insurance for full value of Contract Price.

The contractor shall provide evidence to the employer / Engineer before start / commencement of work at site that the insurances required under the contract have been effected and shall within 60 days of the commencement date, provide the insurance policies to the Employer/Engineer, the contractor shall, whenever, called upon, produce to the engineer or his representative the evidence of payment of premiums paid by him to ensure that the policies indeed continue to be in force.

The Contractor shall also obtain any additional insurance cover as per the requirements of the Contract.

The Employer/Engineer shall not be liable for or in respect of any damages or compensation payable to any workman or other person in the employment of the Contractor or his sub-contractor or petty contractor / other contractor working there under the Contractor. The Contractor shall indemnify and keep indemnified the employer / Engineer against all such damages and compensation for which the contractor is liable.

The Policies of the contractor shall remain in force throughout the period of execution of the works and till the expiry of the defect liability period except for any specific insurance covers necessary for shorter period.

If the Contractor fails to effect or keep in force or provide adequate cover as acceptable to the engineer in the insurance policies mentioned above, then in such cases, the engineer may effect and keep in force any such insurance or further insurance on behalf of the Contactor. The recovery shall be made at the rate of 1.5 times the premium/premiums paid by the engineer in this regard from the payment due to the Contractor or from the contractor's Performance security. However, the Contractor shall not be absolved from his responsibility and /or liability in this regard.

## 1.5.13 Accident:-

(a) The contractor shall, in respect of all staff engaged by him or by his subcontractor, indemnify and keep the employer at all times indemnified and protected against all claims made and liabilities incurred under Workman's Compensation Act, the Factories Act and the Payment of Wages Act, and



rules made there under from time to time or under any other labour and Industrial Legislation made from time to time.

- (b) The contractor shall indemnify and keep the employer indemnified and harmless against all actions, suits, claim demands, costs, charges or expenses arising in connection with any death or injury sustained by any person or persons sustained due to the acts or omission of the contractor, his sub-contractors, his agents or his staff during the executions of this contract irrespective of whether such liability arises under the Workman's Compensation Act, or Fatal Accident Act or any other statute in force for the time being.
- (c) The contractor' liability to meet third party claims of the type outlined above will be applicable only in cases where accidents have been caused by workmanship, material, execution or negligence on the part of the contractor and further the liability of the contractor will be limited to Rs. 5 lakh for any one accident.

#### 1.5.14 Safety Measures: -

- (a) The contractor shall take all precautionary measures in order to ensure the protection of his own personnel moving about or working on the railway premises, but shall then conform to the rules and regulations of the Railway if and when, in the course of the work there is likely to be any danger to persons in the employment of the contractor due to running traffic while working in the Railway siding and premises, the contractor shall provide flagman or look out men for protection of such persons. The employer shall remain indemnified by the contractor in the event of any accident occurring in the normal course of work, arising out of the failure of contractor or his men to exercise reasonable precaution at all places of work. All the works under the contract shall be carried out under the supervision of DFCCIL/ Engineer.
- (b) Deleted.
- (c) The contractor shall abide by all Railway regulations in force for the time being and ensure that the same are followed by his representatives, Agents or sub -contractors or workmen. He shall give due notice to his employees and workers about provision of this para.
- (d) The works must be carried out most carefully without any infringement of the Indian Railway Act or the General and Subsidiary Rules in force on the Railway, in such a way that they do not hinder Railway operation or affect the proper functioning of or damage any Railway/DFCCIL equipment, structure or rolling stock except as agreed to by the employer,.
- (e) Deleted.

## 1.5.15 Warrantee / Defect Liability Period:-



- (a) The Contractor shall warranty that all the spares supplied under this contract shall be free from all defects and faults in material, workmanship and manufacture and shall be of acceptable standards / specifications for the contracted work and in full conformity with the technical specifications, and other contract stipulations, for a period of 6 months from the date of receipt and taking over by the Employer/ Engineer at site. Warranty excludes normal wear and tear, rubber parts.
- (b) During the period of warranty the Contractor shall keep available an experienced engineer / man power to attend to any defective spares supplied by the Contractor. This engineer shall not attend to rectification of defects which arise out of normal wear and tear and come within the purview of routine maintenance work. The contractor shall bear the cost of modifications, additions or substitutions that may be considered necessary due to faulty materials or workmanship for the satisfactory working of the equipment. The final decision shall rest with the Engineer his successor(s)/Nominee.
- (c) During the period of Warranty the Contractor shall be liable for the replacement at site of any parts being supplier by Contractor which may be found defective of his own manufacture or those of his subcontractor / supplier whether arising from faulty materials, workmanship or negligence in any manner on the part of the Contractor provided always that such defective parts as are not repairable at site are promptly returned to the Contractor if so required by him at his (Contractor's) own expenses. In case of parts of executed work detected during guarantee period, contractor should replace all such items irrespective of the fact whether all such items have failed or not. The Contractor shall bear the cost of repairs carried out on his behalf by the Employer at site. In such a case, the contractor shall be informed in advance of the works proposed to be carried out by the Employer.
- (d) If it becomes necessary for the Contractor to replace or renew any defective portion/part/spare until the expiration of six month from the date of such replacement or renewal or until the end of the above mentioned period whichever is later.

Such extension shall not apply in case of defects of a minor nature, the decision of the Chief General Manager / General Manager /, CPM or his successor/nominee being final in the matter. If any defect be not remedied within a reasonable time during the aforesaid period the Employer may proceed to do work at the Contractor's risk and expense, but without prejudice to any other rights and remedies which the Employer may have against the Contractor in respect of such defects or faults.

- (e) The repaired or renewal parts/spares shall be delivered / supplied and erected / executed on site free of charge to the employer.
- (f) Any materials, fittings, components or equipments supplied under items for supplying / providing and fixing in schedule shall also be covered by the



provisions of this paragraph. The liability of the Contractor under the guarantee will be limited to re-supply of components installation and fittings.

#### 1.5.16 Final Acceptance:- (Not Applicable)

- (a) The final acceptance of the entire work executed shall take effect from the date of expiry of the period of guarantee / Defect Liability period as defined in paragraph 1.5.15 above of the expiry of the last of the respective periods of guarantee of various ROBs, provided in any case that the Contractor has complied fully with his obligations under clause 1.5.15 in respect of work, provided also that the attention has been paid by way of maintenance by the Employer.
- (b) If on the other hand the contractor has not so complied with his obligation under Para 1.5.15 above in respect of any work, the Employer may either extend the period of guarantee in respect of that work until the necessary works are carried out by the Contractor or carry out those works or got them carried out suo moto on behalf of the Contractor at the Contractor's expenses. After expiry of the period of guarantee for each work, a certificate of final acceptance for the section shall be issued by the Employer and the last of such certificate will be called the last and final acceptance certificate. The contract shall not be considered as completed until the issue of final acceptance certificate by the Employer.
- (c) The Employer shall not be liable to the Contractor for any matter arising out of or in connection with the contract or execution of the work unless the Contractor shall have made a claim in writing in respect thereof before the issue of final acceptance certificate under this clause.
- Notwithstanding the issue of final acceptance certificate the Contractor and the Employer (subject to sub-clause as above) shall remain liable for fulfilment of any obligation incurred under the provision of the contract prior to the issue of final acceptance certificate which remains unperformed at the time such certificate is issued and for determining the nature and extent of such obligation the contract shall be deemed to remain in force between the parties hereto.

#### 1.5.17 Payment:-

Payment will be governed by the terms specified in Part-I, Chapter IV and in accordance with accepted schedule of prices, read with relevant para of the other parts and Chapters of the Tender Papers. The employer retains the right to withhold money due to the contractor arising out of this contract for any default of the contractor.

(i) The Contractor shall, whenever required, produce or cause to be produced for examination by the Employer any quotation / invoice, cost of other account, book of account, voucher, receipt letter, memorandum paper or writing or any



copy of or extract from any such document and also furnish information and returns verified in such manner as may be required in anyway relating to the execution of this contract or relevant for verifying or ascertaining the cost of the execution of this Contract (the decision of the employer on the question of relevancy of any documents, information or return being final and binding on the parties). The Contractor shall similarly produce vouchers etc., if required, to prove to the Employer that materials supplied by him are in accordance with the specifications laid down in the contract.

- (ii) If any portion of the work be carried out by a sub-contractor or any subsidiary or allied firm or company the Employer shall have power to secure the books of such sub-contractor or any subsidiary or allied firm or company, through the Contractor, and such books shall be open to his inspection. The Contractor should seek prior permission from the employer for subletting whole and/or part of the work to any sub-contractor.
- (iii) The obligations imposed by sub-clause (i) and (ii) above are without prejudice to the obligation of the Contractor under any statute, rules or order binding to the Contractor or other conditions of the contract.
- (iv) It is an agreed term of the contract that the employer reserves the right to carry out post-payment Audit and/or technical examination of the works and the final bill, including all supporting vouchers, abstracts etc. and to make a claim on the Contractor for the refund of any excess amount paid to him if as a result of such examination any over payment to him is discovered to have been made in respect of any work done or alleged to have been done by him under the contract.
- **1.5.18** All payments in respect of the contract during the currency of the contract shall be made through Electronic Clearing System (ECS) / National Electronic Funds Transfer (NEFT/RTGS). The successful tenderer on award of contract must submit ECS/NEFT/RTGS Mandate Form complete in all respects as detailed at Form No. 8 of the tender document. However, if the facility of ECS/NEFT/RTGS is not available at a particular location, the payments shall be made by cheque.

#### 1.5.19 Performance Guarantee:-

- i) The Bank Guarantee for performance Guarantee shall remain valid until a date 60 days ( or as specified in the Contract) after expiry of Defects Liability Period.
- ii) The Bank Guarantee for performance Guarantee shall be submitted invariably in the format given in the bidding document.
- iii) The performance Guarantee shall be released 21 days after issue of completion certificate and passing of final bill.
- **1.5.20** Advances to contractor: (Applicable for Advertised tender of value more than Rs. 25.00 crore) Not Applicable for this tender.



#### (a) : Mobilisation advance -

This shall be limited to 10% of the contract value and payable in 2 stages as indicated below :

Stage I - 5% of Contract Value on signing of the contract agreement. Stage II - 5% on mobilization of site-establishment, setting up offices, bringing in equipment and actual commencement of work.

The 1st stage of advance shall be payable immediately after signing of contract documents. The 2nd stage of advance shall be payable at the time of mobilization, after submission of a utilization certificate by the contractor that the stage 1 advance has been properly utilized in the contract.

#### (b) : Advance Against Machinery and Equipment – (NOT APPLICABLE)

This advance shall be limited to a maximum of 10% of the contract value against new Machinery & Equipment, involving substantial outlay, brought to site and essentially required for the work. This advance shall not exceed 75% of the purchase price of such Equipment and shall be payable when hypothecated to the President of India by a suitable bond or alternatively covered by an irrevocable Bank Guarantee for full cost of the Plant & Equipment from a Nationalized Bank in India or the State Bank of India in a form acceptable to Railways/DFCCIL. The Plant & Equipment shall be insured for the full value and for the entire period, they are required for the work. This plant & Equipment shall not be removed from the site of work without prior written permission of the Engineer. No advance should be given against old Plant & Machinery.

# (c) Advances for accelerating progress of the work during course of execution of Contract – (NOT APPLICABLE)

This advance is to be decided on the merits of each case and shall restricted to a maximum of 5% of contract value. This is to be granted by the Chief General Manager on the recommendations of the Engineer in-charge, in consultation with the Associate Finance, While recommending this advance for sanction of Chief General Manager, the Engineer in-charge shall also confirm that progress of the contract work has been as per milestones/targets laid down and no extension to Date of completion of the contract has been given on contractor's account.

#### (d) : Advances in Exceptional Cases – (NOT APPLICABLE)

Chief General Manager are further empowered to grant advances in exceptional cases upto a maximum of Rs. 20 lacs in respect of even contract of value less than Rs. 25 crore, if considered absolutely essential, depending on the merits of each case and circumstances in each situation, to be recommended by the Engineer in-charge and in consultation with the Associate Finance.

#### (e) : The above advances are subject to the following conditions –

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- i. The advance shall carry an interest at the rate to be decided by the Railway Board and communicated at the beginning of every financial year, to be applicable for the tenders to be opened in that financial year.
- ii. Advances except those against machinery and equipment shall be payable against irrevocable guarantee (Bank Guarantee, FDRs, KVPs/NSCs) of at least 110% of the value of the sanctioned advance amount (covering principal plus interest). The Bank Guarantee shall be from a Nationalised Bank in India or State Bank of India in a form acceptable to the Railways/DFCCIL-Tender form-19.
- iii. The recovery shall commence when the value of contract executed reaches 15% of original contract value and shall be completed when the value of work executed reaches 85% of the original contract value. The instalments on each "on account bill" will be on pro-rata basis;
- iv. That the grant of advance is primarily in Railway's/ DFCCIL's own interest;
- v. That a contractor does not receive advances for same work from different officers;
- vi. That arrangement are made with the Accounts Officer for proper accounts being kept with regard to payment and recovery of these advances; and
- vii. That all necessary precautions are taken to secure Government from the possibility of loss and for preventing the system becoming more general or continuing longer than what may be absolutely necessary for proper progress of the work.

#### (f) : Method of Recovery of Interest -

Interest shall be recovered on the advance outstanding for the period commencing from the date of payment of advance till date of particular onaccount bill (through which recovery of date of principal is effected) and adjusted fully against on-account bill along with pro-rata principal recovery. In the event of any short-fall, the same shall be carried forward to the next on-account bill and shall attract interest.

The Bank Guarantee for such advance shall clearly cover at least 110% of the value of the sanctioned advance amount (covering principal plus interest).

#### 1.5.21 Arbitration: - Refer to clause 63 of GCC.

#### 1.5.22 Integrity Pact :-

As per office memorandum no F.No DPE/13(12)/11-Fin Dated 09.09.2011 issued by Ministry of Heavy Industries (DPE) all PSU should enter into Integrity pact in the



required proforma in their procurement transaction/ Contracts with suitable changes specific to the situation in which the pact is to be used. The pact, entering into which would be a preliminary qualification for any bidder, essentially envisages an agreement between the prospective vendors / bidders and the DFCCIL, committing the persons/ officials on both sides not to resort to any corrupt practices in any aspect / stage of the contract.

A copy of pre contract integrity pact is enclosed as form no. 20 for signature of bidder as acceptance, as and when Independent External monitor is appointed.

#### 1.5.23 Special Clause related to Price Variation clause (46A)

The 46A PRICE VARIATION CLAUSE: of GCC is not applicable for this contract.

#### 1.5.24 Change in law

"The contract price shall be adjusted to take account of any increase and decrease in cost after the bid submission date resulting from:

- a. A change in the law of the country (including the introduction of new laws and the repeal or modification of existing laws) : or
- b. In the Judicial or official government interpretation of such laws, or
- c. The commencement of any Indian law which has not entered into effect until the bid submission date; or
- d. Any change in the rates of any of the taxes or introduction of new taxes, duties, levies, cess etc. on supply of materials and services that have a direct effect on the works.

Which affect the contractor in the performance of obligation under the contract.

Further if as a result of change in law, interpretation, or rates of taxes, the contractor benefits from any reduction in the cost for the execution of this contract, save and except as expressly provided for this sub-clause or in accordance with the provisions of this contract, the contractor shall, within [28] days from the date he becomes reasonably aware of such reduction in the cost, notify the employer of such reduction in cost."

## 1.5.25 Updation of Labour Data on Railway's Shramikkalyan portal

A. Contractor is to abide by the provisions of Payment of Wages act & Minimum Wages act in terms of clause 54 and 55 of Indian Railways/DFCCIL's General Condition of Contract. In order to ensure the same, an application has been developed and hosted on website "www.shramikkalyan.indianrailways.gov.in". Contractor shall register his firm/company etc. and upload requisite details of labour and their payment in this



portal. These details shall be available in public domain. The Registration/updation of portal shall be done as under:

- a) Contractor shall apply for one-time registration of his company/firm etc. in the Shramik kalyan portal with requisite details subsequent to issue of Letter of Acceptance. Engineer shall approve the contractor's registration on the portal within 7 days of receipt of such request.
- b) Contractor once approved by any Engineer, can create password with login ID (PAN No.) for subsequent use of portal for all LOAs issued in his favour.
- c) The contractor once registered on the portal, shall provide details of his Letter of Acceptances (LOA)/Contract Agreement on shramikkalyan portal within 15 days of issue of any LOA for approval of concerned Engineer. Engineer shall update (if required) and approve the details of LOA filled by contractor within 7 days of receipt of such request.
- d) After approval of LOA by Engineer, contractor shall fill the salient details of contract labours engaged in the contract and ensure updating of each wage payment to them on shramikkalyan portal on monthly basis.
- e) It shall be mandatory upon the contractor to ensure correct and prompt uploading of all salient details of engaged contractual labour & payments made thereof after each wage period.
- B. While processing payment of any 'On Account Bill' or 'Final Bill' or release of 'Advance' or 'performance guarantee/Security deposit', Contractor shall submit a certificate to the Engineer or Engineer's representative that "I Have uploaded the correct details of contract labours engaged in connection with this contract and payments made to them during the wage period in Railway's Shramikkalyan portal at 'www.shramikkalyan.indianrailways.gov.in' till------Year."

## 1.5.26 STATUTORY COMPLIANCES:

The Contractor shall;

- (a) Accept full and exclusive liability for the personnel deployed and other obligations referred under the laws/rules/regulations now and thereafter imposed by the appropriate government/authorities.
- (b) Keep DFCCIL indemnified against all losses, damages or liability arising out of or imposed in the course of employment of such persons or arising in accordance with any labour laws. DFCCIL will not have any liability whatsoever concerning the persons deployed by the Contractor for the purpose.
- (c) If as a result of any claim arising out of any reasons stated in 2 (b) above or due to any negligence on part of the personnel deployed, if DFCCIL is made to pay any amount, then DFCCIL shall recover the same from the payment due to the Contractor or send a notice to the Contractor for refund of the same to the DFCCIL and



the Contractor shall be liable to reimburse the same within 7 working days of the receipt of such notice. The Bidder shall comply all statutory requirements including compliance of LAWS, Acts, Rules and Regulations.

- 1.5.27 Special Clause related to Joint venture: joint venture is not allowed for this contract.
- 1.5.28 Clause No.7 of GCC i.e. Assignment or Subletting of Contract is not permitted in this tender/contract.



#### PART – 2 CHAPTER - VI General Instructions for Safety

## MEASURES TO BE ENSURED PRIOR TO START OF WORK

- 1.1 The contractor shall not start any work without the presence of DFCCIL supervisors at site.
- 1.2 The methodology in detail for execution of the work at site shall be approved by engineering in charge of the organization executing the work and copies of the same shall be available with contractor's supervisor, DFCCIL supervisor of the section in whose jurisdiction the work falls.
- 1.3 Before permitting the execution of certain works like earthwork in formation, bridge work, supply of ballast, transportation of rails, sleepers and other material, track linking, platform/any other civil work close to the running track etc. for new/existing rail lines, gauge conversion, doubling, traffic facility work, ROB/RUB, DFCCIL engineer-in-charge (APM/DPM/PM/Dy. CPM) of the section shall ensure that he received the prior intimation/confirmation of the following aspects from representative of contractor. Name and address of the contract assigned to execute the work.
  - i) Name of the Contractor's supervisor
  - ii) List of the number(s) of individual vehicle(s)/ machineries, names and license particulars of the driver(s) proposed to be used by contractor.
  - iii) Information regarding location, duration and timings during which the vehicles/machinery are planned to be plied/worked.
  - iv) The supervisors and operators of the contractor proposed to be deployed at work site which is close to the running track, shall be imparted training by the DFCCIL trainer at contractor own cost about the safety measures to be adopted while working in the vicinity of running track. Further competency certificate to the individual supervisors/operator shall be issued as in Annexure-1 by a DFCCIL officer not below the rank of Assistant level officer who is in charge of site. No supervisor/operator of the contractor shall work or allowed to work in the vicinity of running track who is not possession of valid competent certificate.
  - v) Survey of site by supervisor of contractor and DFCCILs to assess the precautions to be taken at site for working of trains and materials required for protection.
  - vi) Written advice to sectional APM/DPM about the detailed planning of work including protection of track and safety measures proposed to be adopted.



- vii) A copy of the approved methodology (to be approved by engineer in charge) proposed to be adapted by the contractor with a view to ensure safety of trains passengers and workers.
- viii) Assurance that the methods and arrangements are actually available at site before start of the work and the contractors supervisors and the workers have clearly understood the safety aspects and requirement to be adapted/followed while executing the work.
- ix) An assurance register has been kept at site duly signed by both DFCCIL supervisor as well as by the contractor supervisor as a token of their having understood the safety precautions to be observed at site.
- 1.4 No work shall which is to be done near running track shall commence unless permitted by sectional APM/DPM/PM/Dy.CPM.
- 1.5 Supplementary site specific instructions, wherever considered necessary shall be issued by the Engineer in Charge.
- 1.6 Standard Check list on Safety at Work Sites shall be used to ensure that all the requisite measures have been taken before start of work.

# 2.0 PLYING OF ROAD VEHICLES AND WORKING OF MACHINERIES CLOSE TO RUNNING TRACKS

- (i) Normally, the road vehicles shall be run or machinery shall be worked so as not to come closer than 6.0m from center line of nearest running track.
- (ii) The land strip adjacent to running tracks, where road vehicle is to ply or machinery is to work, shall be demarcated by lime in advance in consultation with the DFCCIL's Supervisor. Wooden pegs at interval not exceeding 75mts shall be provided along the line marking as permanent marks. The road vehicles shall ply or machinery shall work so as not to infringe the line of demarcation.
- (iii) (iii) If a road vehicle or machinery is to work closer to 6.0m due to site conditions or requirement of work, following precautions shall be observed.
  - a. In no case the road vehicle shall run or machinery shall work at distance less than 3.5m from center line of track.
  - b. Demarcation of land shall be done by bright colored ribbon/nylon cord suspended on 120 cm high wooden/bamboo posts at distance of 3.5 m from center line of nearest running track.
  - c. Presence of an authorized DFCCIL's representative shall be ensured before plying of vehicle or working of machinery.
  - d. DFCCIL's Supervisor shall issue suitable caution order to Drivers of approaching train about road vehicles plying or machineries working close



to running tracks. The train drivers shall be advised to whistle freely to warn about the approaching train. Whistle boards shall be provided wherever considered necessary.

- e. Lookout men shall be posted along the track at a distance of 800m from such locations who will carry red flag and whistles to warn the road vehicle/machinery users about the approaching trains. Lookout man shall be deputed for Safety at Work Sites.
- f. On curves where visibility is poor, additional lookout men shall be posted.
- (iv) If vehicle/machinery is to be worked closer to 3.5m from running track. Under unavoidable conditions, if road vehicles is to ply or machinery is to work closer to 3.5m due to site conditions or requirement of work, following precautions shall be observed:

Plying of vehicles or working of machinery closer to 3.5m of running track shall be done only under protection of track. Traffic block shall be imposed wherever considered necessary. The site shall be protected as per provisions of Para No. 806 & 807 of P-Way Manual as case may be.

Presence of a DFCCIL's Supervisor shall be ensured at worksite.

DFCCIL's Supervisor shall issue suitable caution order to Drivers of approaching train about road vehicles plying or machineries working close to running tracks. The train drivers shall be advised to whistle freely to warn about the approaching train.

- (v) Precaution to be taken while reversing road vehicle alongside the track. The location where vehicle will take a turn shall be demarcated duly approved by DFCCIL's representative. The road vehicle driver shall always face the DFCCIL track during the course of turning/reversing his vehicle. Presence of an authorized DFCCIL representative shall be ensured at such location.
- (vi) Road vehicle shall not be allowed to run along the track during night hours generally. In unavoidable situations, however, vehicles shall be allowed to work during night hours only in the presence of an authorized DFCCIL's representative and where adequate lighting arrangements are made and where adequate precautions as mentioned earlier have been ensured.
- (vii) Road vehicles/machinery/plant etc. when stabled near running tracks shall be properly secured against any possible roll off and always be manned even during off hours.

## 3.0 EXECUTION OF WORKS CLOSE TO OR ON RUNNING LINES

Any work close to or on running tracks shall be executed under the presence of a DFCCIL's Supervisor only.

(i) Precaution to be taken to ensure safety of trains while execution of work close to the running line or on running lines.



- (ii) Contractor has deputed trained supervisors in required number at worksites duly certified by APM/DPM/PM/Dy. CPM in charge of the works.
- (iii) Drivers of vehicle/operators of the machines have been briefed about the safety and precautions to be taken while moving / working close to traffic.
- (iv) Contractor shall ply road vehicles/working of machinery only between sunset and sunrise. In case of emergency where it is necessary to work during night hours sufficient lighting shall be ensured in the complete work area for the safety of public and passengers. Also additional staff shall be posted as necessary for night working and taking safety precautions.
- (v) The contractor shall not change the approved vehicle/machinery and driver/operator for working at site. Contractor shall not induct any new vehicle/machinery and driver/operator without prior written approval of APM/DPM and the list of such changes with numbers of individual vehicle, name and license particulars of the driver shall be given to APM/DPM/PM/Dy. CPM of the section.
- (vi) Contractor shall ensure that road vehicle/machinery ply/work in a way so that these do not infringe the line of demonstration.
- (vii) Lookout men with required safety equipment shall be posted where necessary.
- (viii) In unusual circumstances, where operator apprehends danger to track while working truck/machinery near running track, following action shall be taken.
- (ix) The contractor/supervisor/vehicle operator immediately advice the situation to DFCCIL official/officials of the organization executing the work and assist him/them in protecting the track.
- (x) Individual vehicle/machinery shall not be left unattended at site of work. If it is unavoidable and becomes necessary to stable the road vehicle/machinery at site near the running track, these shall be properly secured against any possible roll off and always be manned even during non-working hours. In addition the road vehicle / machinery should be stabled parallel to track only so that incase of failure of any securing arrangement, it may not roll towards the track.
- (xi) All temporary arrangements required to be made during execution of work shall be made in such a manner that moving dimensions do not infringe. Necessary checks shall be exercised by site in charge from time to time.
- (xii) During the hours of night, lamps of temporary indicators which are not of reflective type should be lit at sun-set and kept burning till sun rise, where trains run at night.
- (xiii) Precaution to be taken to ensure safety of electrical/signal/ telephone cables while excavating near tracks.



- (xiv) Particular care shall be taken to mark the locations of buried electrical/signal/telephone cables on the plans jointly with S & T/Electric supervisor and also at site so that these are not damaged during excavation.
- (xv) Copy of the cable plan should be given to the contractor's authorized representative before handing over the site to start the work.
- (xvi) Due care shall be taken to ensure that any part of the equipment or machinery or temporary arrangement does not come close to cables while working.
- (xvii) Precaution to be taken during execution of works requiring traffic blocks.
- (xviii) Any work, which infringes the moving dimensions or causes discontinuity in the track any activity making the existing track unsafe for passage of trains etc. Shall be started only after the traffic block has been imposed, DFCCIL servant in charge of the work is present at the worksite, engineering signals are exhibited at specified distance and flagmen are posted with necessary equipment to man them etc.
- (xix) Before closing the work, the track shall be left with the proper track geometry so that the trains run safely and flagmen are kept in the night with safety and track protection equipment to patrol the stretch and take action to protect the track, if so warranted and inform the DFCCIL supervisors.
- (xx) After completion of work the released sleeper and fittings should be properly stacked away from the track to be kept clear of moving dimensions.
- (xxi) Block shall be removed only when all the temporary arrangement, machineries, tools, plants etc. have been kept clear of moving dimensions.
- (xxii) Precaution to be taken during execution of works during night.
- (xxiii) The work close to running line, generally, shall be carried out only during day hours. At locations, however, where night working is unavoidable, proper lighting arrangement should be made. The engineering indicator boards shall be lighted during night hours as per the provisions of IRPWM. The staff deputed for night working should have taken adequate rest before deploying them in night shift. We can specify duration of night shift from 20.00 hrs to 04.00 hrs. All other safety precautions applicable for day time work should be strictly observed during night working.
- (xxiv) Precautions to be taken to ensure safety of workers while working close to running lines.
- (xxv) Any work close to or on running tracks shall be executed under the presence of a DFCCIL's supervisor only.
- (xxvi) Precaution to be taken to ensure safety of trains while execution of work close to the running line or on running lines.



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- (xxvii) Such works shall be planned and necessary drawings particularly with regard to infringement to moving dimensions shall be finalized duly approved by competent authority before execution of work. The work shall be executed only as per approved procedure and drawings.
- (xxviii) All temporary arrangements required to be made during execution of work shall be made in such a manner that moving dimension do not infringe.
- (xxix) Suitable speed restriction shall be imposed or Traffic block shall be ensured as required.
- (xxx) The site shall be protected as per provisions of Para No. 806 & 807 of P.Way Manual as case may be.
- (xxxi) Necessary equipment for safety of trains during emergency shall be kept ready at site.
- (xxxii) A 'first aid kit' shall always be kept ready at site.
- (xxxiii) Precaution shall be taken for safety of public or passengers, while executing works at locations, used by passengers and public,. The worksite shall be suitably demarcated to keep public and passengers away from work area. Necessary signage boards such as "Work in progress. Inconvenience is regretted" etc. shall be provided at appropriate locations to warn the public/ passengers. Adequate lighting arrangement of worksite wherever required shall be done to ensure safety of public/passengers during night.
- (xxxiv)Precaution to be taken before stacking materials alongside the track to ensure that safety of trains is not affected.
- (xxxv) The following precautions shall be taken before stacking the materials along the track for stacking of ballast, rails, sleepers etc.

The sites for material stacking should be selected in advance in such a manner as to ensure that no part of the material to be stacked is infringing the Standard Moving Dimensions. A plan of proposed stacking locations be made and signed jointly by an authorized DFCCIL's representative and contractor's representative.

- (xxxvi) The selected locations shall be marked by lime in advance.
- (xxxvii) Presence of an authorized DFCCIL's representative while unloading and stacking shall be ensured.
- (xxxviii) The material shall be stacked in such a height so as to not to infringe SOD in case of accidental roll off.
- (xxxix)Precaution for handling of departmental material trains Instructions for working of material trains are contained in Chapter XII of IRPWM which should be brought to the notice of the supervisors and other staff working on the material trains. In addition to this, following precautions should be taken:



- (xl) Issue of 'fit to run' certificate.
- (xli) As per Para 1207 before a material train is allowed to work, the complete rake should be examined by the Carriage and Wagon staff and a 'fit to run' certificate issued to the Guard.
- (xlii) As per Para 1208 of IRPWM, a qualified Engineering official should be deputed on the train to ensure working of the material train as the Guard is not qualified to carry out such duties like Supervising of loading and unloading of materials.
- (xliii) As per Para 1204 of IRPWM, the material train should not be permitted to work during the period of poor visibility due to fog, storm or any other cause except with the permission of the APM/DPM/PM. Working of the material trains carrying labour should not be permitted between sunset and sunrise except in an emergency.
- (xliv) While unloading rail panels by the side of the running track, placement of the panels, clear of the maximum moving dimensions should be ensured.
- (xlv) Unloading of rail panels should be done by a team of trained staff under the active supervision of competent Supervisor/Officer.
- (xlvi) Before unloading of rail panels, site should be prepared by way of leveling/removing extra ballast, if any, from the crib and shoulder with the objective to ensure requisite lateral and vertical clearances so as to prevent slippage of rail panels due to vibration during the passage of trains.
- (xlvii) Reasonably adequate block should be asked and provided for unloading of the material and the work should be done preferably in day light to avoid shortcut in haste which may infringe the safety requirements.

#### (xlviii) SAFETY ASPECTS TO BE OBSERVED WHILE WORKING IN OHE AREA

No electrical work close to running track shall be carried out without permission of DFCCIL representative.

A minimum distance of 2m has to be maintained between live OHE wire and body part of worker or tools or metallic supports etc

No electric connection etc. can be tapped from OHE.

Authorized OHE staff should invariably be present when the relaying work or any major work is carried out.

Power block is correctly taken and 'permit to work' is issued.

The structure bonds, track bonds, cross bonds, longitudinal rail bonds are not disturbed and



If disconnected for the work, they are reconnected properly when the work is completed.

The track level is not raised beyond the permissible limit during the work.

## 4.0 PROTECTION OF TRACK DURING EMERGENCY

- (i) Action to be taken when a contractor's supervisor or vehicle operator apprehends any unusual circumstances likely to infringe the track and endanger safe running of trains. At any time if a contractor's supervisor or vehicle operator observes any unusual circumstances likely to infringe the track and apprehend danger to safe running of track, he shall take immediate steps to advise a DFCCIL official of such danger and assist him in protection of track.
- (ii) The track shall be protected as under. One person shall immediately plant a red flag (red lamp during night) at the spot and proceed with all haste in the direction of approaching train with a red flag in hand (red lamp during night) and plant a detonator on rail at a distance of 600m from the place of obstruction of BG track after which he shall further proceed for not less than 1200m from the place of obstruction from BG track and plant three detonators at 10m apart on rails. After this he shall display the red flag (red lamp during night) at a distance of 45m from the detonators. Attempts shall also be made to send an advice to nearest DFCCIL station about the incident immediately.
- (iii) Action to be taken if train is seen approaching to site of danger and there is no time to protect the track as per guidelines mentioned above. In such a case the detonators shall be planted on rails immediately at distance away from place of danger as far as possible and attention of driver of approaching train shall be invited by whistling, waving the red flag vigorously, gesticulating and shouting.
- (iv) (Action to be taken if more than one track is obstructed.
  - a. In case of single line protection as above shall be done in both the directions from place of danger.
  - b. In case of double line or multiple lines, if other tracks are also obstructed, the protection as above shall be done for other track also.
  - c. The protection shall be done in that direction and on that track first on which train is likely to arrive first.
  - d. The Contractor's Supervisors, Operators and lookout men shall be properly explained about the direction of trains on running tracks.
- (v) Equipment required for protection of track.
   Minimum compliment of protection equipment i.e. 10 detonators, 4 red hand flags, 4 red hand lamps, 4 banner flags and whistles etc. shall always be kept



ready at worksites for use in case of emergency. DFCCIL will arrange to provide detonators, whereas Contractor shall arrange other equipment at his own cost.

- (vi) Arrangement of lookout men and competency required for lookout man to warn labour about approaching train.
  - a. Contractor will provide lookout men.
  - b. The lookout men shall be properly trained in warning to staff at worksite about approaching train.
  - c. Only those lookout men shall be provided at site who have been issued with a competency certificate by the DFCCIL's Supervisor.
  - d. In case, it is felt necessary to provide lookout men by DFCCIL, the charges for the same as fixed by DFCCIL Administration shall be recovered from Contractor.

## 5.0 TRAINING TO SUPERVISORS AND OPERATORS OF CONTRACTOR

The Supervisors and Operators of the contractor proposed to be deployed at wok site, which is close to the running track, shall be imparted mandatory training by the DFCCIL at site free of cost about the safety measures to be adopted while working in the vicinity of running track. Engineerin charge of the work shall decide the scale, extent & adequacy of training. In case training is imparted at a recognized DFCCIL training institute, the charges for the same, as decided by DFCCIL, shall be recovered from contractor. A competency certificate to this effect to the individual Supervisor/Operator shall be issued as given below by a DFCCIL Officer not below the rank of Project Manager. No Supervisor/Operator of the Contractor shall work or allowed to work in the vicinity of running track that is not in possession of valid competency certificate.

All the labour, materials, tools, plants etc. except detonators, required for ensuring safe running of trains shall be provided by Contractor at his own cost. Wherever lookout men are provided by DFCCIL, charges at the rate of Rs. 500/- per man day shall be recovered from Contractor.

## 6.0 Deleted.

7.0 Contractor shall indemnify DFCCILs against any loss/damage to public property, travelling public, DFCCIL or his own staff due to his (contractor's) negligence. In case there is any mishap, a fact finding inquiry will be conducted by DFCCIL. A show cause notice will be issued to the contractor, in case he is prima-facie held responsible. Contractor's reply to show cause notice will be considered by the Engineer in Charge before taking final decision. In case contractor is found responsible for the mishap, recovery from him will be affected for only tangible direct losses.



#### PART – 3 CHAPTER – VII Technical Condition of Contract & Employer's Requirement

## **EMPLOYER'S REQUIREMENTS**

#### 1. Objective

The objective of the contract is the operation and maintenance of one no. 09-3X Dynamic Tamping machine including supply of spares by the Contractor in the manner and to the standards and within the time stipulated by the Contract. In full recognition of this objective, and with full acceptance of the obligations, liabilities and risks which may be involved, the Contractor shall undertake the execution of the Works.

#### 2. GENERAL

- 2.1 The maintenance of the 09-3X Dynamic Tamping Machine shall be done in accordance with machine OEM specifications, manuals, Employer's Requirements and the other requirements of the Contract. Maintenance scope excludes major overhaul of the machine i.e. IOH and POH, which shall be undertaken by DFCCIL under separately.
- 2.2 The Maintenance Works shall be executed to the highest standards available using proven up-to- date good Engineering practices. The Specification shall in any case not specify standards which, in the Engineer's opinion, are less than or inferior to those described in the Technical/Maintenance Specifications contained in the Tender Documents.

#### 3. SCOPE OF WORK

#### 3.1 Works to be carried out as per guidelines approved by RDSO / IRICEN/ IRPWM

Main points of the operation and maintenance Agreement for 09-3X Dynamic Tamping Machine:

- a) For routine maintenance, from time to time, the machine needs to be moved to the pit lane (Where available). This facility shall be provided by DFCCIL free of charge.
- b) Arrangements for shifting of machine from one line to another shall be done by DFCCIL. Any other facility/assistance to expedite job at hand will be extended by DFCCIL to the possible extent.
- c) During the 'O&M' period, flexibility to expand/shrink the workforce shall be permitted but minimum work force as agreed in the contract would be maintained. This may entail OEM specialists, Engineers, operators, technicians, labour etc. All assistance to render pro-active support of 'O&M' operation will be extended.
- d) DFCCIL need to provide free of charge, adequate storage space for lubricants (POL) and spares at central & depot location and office space for manpower at depots where machine is placed.
- e) During the O&M period, the main contractor will be responsible for repair & replacement of the Machine, Engine, and Generator & Wheels etc.,



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- f) Effort to ensure safe 'O&M' activities shall be exercised by one and all. However, any human error resulting in accident/incident shall not be attributable to the Contractor.
- g) Machine availability for operation shall be 25 days in a month or minimum 75 days in a quarter.
- h) Extension beyond three years, for an additional any year would be on mutual terms & conditions between DFCCIL & Contractor.
- i) During the renewed O&M agreement, contractor would be responsible for: Operation & maintenance of 09-3X Dynamic Tamping machine including supply of spares.
- j) For the O&M Agreement, all central, state, local taxes shall be chargeable extra to DFCCIL and will be over and above quoted in the agreement. The price given, do not include any GST, service tax, works contract tax, BOCW cess or other taxes, duties, cess etc. which shall be payable by DFCCIL. All these shall be chargeable extra and are payable by DFCCIL.
- k) If any tax deductions are done by DFCCIL then the credit certificates for the same should be issued in a timely manner in order to claim Tax deducted at source.
- I) All fees and charges due from either party to the other party hereunder shall be due and payable upon receipt of invoice.
- m)During this period of three year agreement, Contractor, will provide training to DFCCIL personnel's for operation & maintenance of 09-3X Dynamic Tamping machine.

## 3.2 <u>Scope of Supply/Service:</u>

## Contractor's Scope of Work:

- (i) Pre & post tamping survey by Tamping machine using ALC and use the data for tamping purpose.
- (ii) Operation & maintenance of **09-3X Dynamic.** Tamping Machine -1 No.
- (iii) Supply of spares of **09-3X Dynamic.** Tamping Machine.
- (iv) Manpower required for operation & maintenance of above machines.
- (v) Maintenance of main engine supplied with machine including scheduled maintenance, replacement of engine oil & filters, radiator flushing, etc.
- (vi) Daily, monthly, quarterly maintenance, etc. as per the OEM's maintenance manual. Scope excludes major overhaul of the machine i.e. IOH and POH
- (vii) Average team to be deputed for operation & maintenance: Supervisor x 1, Operators x 2, Technicians/Fitter x 2
- (viii) Supply of all types of hydraulic filters of the machine during the contract period.
- (ix) Contractor's accountability during operation will be restricted from block start time till block end timing.
- (x) Undertake applicable insurances (i) Workmen Compensation/ comprehensive general liability insurance covering bodily harm, injury, death for its staff (as applicable), (ii) Third party/ public liability insurance for Rs. 5,00,000/- per incident with max 10 incidents in a year, (iii) Contractor's All Risk Insurance for the full value of Contract Price.

## DFCC's Scope:

(i) Availability of site supervisor of at least SSE/P-Way or JE/TM level during operation of the machine.



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- (ii) Schedule blocks demand from concerned authority and intimation to Contractor about block timings for operation of the machine.
- (iii) Providing PWI and P-way contractors with labours, material and resources for pre & post tamping/ballast regulating activities.
- (iv) Laboures/helpers required for tamping operation including ballasting.
- (v) Jointly certify the track, every day.
- (vi) Arrangement of helpers required, during tamping of turnouts and other labor centric jobs, etc. at its cost.
- (vii) Supply and Filling of HSD oil in the machine on FOC basis for operation & maintenance of the machine, to be filled as and when required.
- (viii) Supply of tamping tools on FOC basis to the contractor.
- (ix) Accompanying Contractor's team for driving the machine from base station to worksite and back to base station.
- (x) Shifting/transportation of machine from one section to another including loading and unloading of machines and associated jobs if shifted by road.
- (xi) Arrangement of recommended brand/make of lubes, hydraulic oils, gear oils, grease, along with helpers during machine operation to Contractor, free of cost, as and when demanded.
- (xii) DFCC shall nominate their own Supervisor / Technical Officer for determining where the Work shall be performed and about the time for commencement of work and for signing DPR (Daily performance cum progress report).
- (xiii) Wheel turning charges as applicable shall be paid by the DFCC.
- (xiv) Adequate insurance of machine, DFCC's manpower and other DFCC property.
- (xv) All pre & post tamping operations will be done by the employer's personnel e.g. filling of ballast in tamping zones, removal of infringements from the work area etc. Wherever the work is required to be done on the running lines of Indian Railway requiring block, Employer's representative will give written confirmation of the same before the Contractor's personnel move the machines.
- (xvi) Identification of the hidden obstructions like buried rails, sleepers, and foundations, S&T / electrical cables, pipes, boulders, axle counters, traction bonds and other objects that are within the dimensions of the operating elements of track machines and its removal prior to start of work and re-fixing after the work will be done by employer at its own cost. Squaring and re-spacing of the sleepers prior to the work is responsibility of the employer to avoid any damage to these. Contractor will not be held responsible for damages on account of failure of employer to ensure above.
- (xvii) The work will start only after the lifting / slewing requirements are made available to the contractor's personnel. Adjustment of the track geometry and tamping of the track shall be carried out so as to attain the final track geometry after the work (final tamping) in accordance with the approved L-section.

## 3.3 Other Terms & Conditions:

- 1. For routine maintenance from time to time, DFCC shall provide pit lane as required.
- 2. During the O&M period, flexibility to expand/shrink the workforce shall be permitted but minimum workforce would be maintained as per mutually agreed terms & conditions. All assistance to render pro-active support for O&M operation will be provided. It is understood that the machine would be operated maximum 8-10 hours per day.



- 3. DFCC shall provide, free of charge, adequate space for lubricants and spares at central & depot location (if required) and office for manpower at depots, with free electricity, where machine is placed.
- 4. During the O&M period, Contractor will be responsible for the machine (except repair/replacement of main engine). The downtime attributed due to engine failure shall not lead to any penalty.
- 5. DFCC will ensure availability of correct and reliable track survey data in machine compatible format available to the machine before start of tamping operation. DFCC will ensure the expected output of the tamping work is communicated to the operations team and documented to the operations team and documented before start of tamping operations compulsorily. A log book/file/register shall be maintained jointly to record this process. DFCC will also be responsible for verification of post tamping parameters after completion of each day work.
- 6. It is understood that the safety and security (against theft and mis-use) of the machine, tools and any other spares will be responsibility of DFCC.
- 7. DFCC shall provide HSD Oil (Diesel) free of charge to operate and maintain the machine. Fuel/lubricants refill shall be done in the DFCC designated depot otherwise DFCC shall make arrangements to transport and fill the fuel/lubricants in the machine.
- 8. Machine availability for operation shall be 25 days/month or minimum 75 days a quarter. Machine availability period excludes period for minor and major overhauling including IOH and POH of the machine. Machine availability means Contractor has offered the machine for working to DFCC
- 9. The reconciliation of machine availability vis-à-vis penalties would be on a 12 month (four quarter) basis i.e; 240 days in a year to allow more flexibility of the program. For the purpose of reconciliation, days lot due to Force Majeure events, transit period and/ or events beyond the reasonable control of Contractor shall not be considered to be as machine unavailable days
- 10. For the O&M agreement, all central, state, local taxes shall be paid extra by DFCC on actuals and will be over and above the price quoted in the offer. The price quoted does not include any GST, works contract tax or other taxes, duties, levies, cess etc. All these shall be chargeable extra and are to be payable by DFCC. Should any new tax be introduced during the currency of contract, which is applicable to the contract, the same would be paid over and above the contact value by DFCC. If any tax deductions are done by DFCC then the credit certificated for the same should be issued in a timely manner in order to claim Tax Deducted at Source.
- 11. All fees and charges due from either party to the other party here under shall be due and payable upon receipt of invoice. All invoices not paid within thirty (30) days from the date of invoice will be assessed at one percent (1%) carrying charge for each month thereafter until paid.
- 12. During breakdown maintenance, Contractor shall provide:
  - All necessary manpower needed to restore the machine for operation.
  - All additional manpower and specialists for immediate troubleshoot and repair in the least possible time.
  - DFCC to provide re-railing arrangement along with engine/prime mover to tow the machine to the designated DFCC work shop in case of engine failure, free of charge.



- The rejected parts will be a property of Contractor / OEM only if it is replaced under warranty. In such cases, Contractor will be responsible to collect the rejected goods and disposal however DFCC will extend all possible support in this process.
- In case of repair of Proprietary software / related hardware of the electronic components of the machine, Contractor shall be provided free access by DFCC to transport the part to their nearest workshop.
- In an unlikely event of a major failure, Contractor may recommend temporary shutdown of machine.
- The period of shutdown shall be mutually agreed upon by DFCC and Contractor, without penalty to Contractor.
- DFCC to help designate a facility where Contractor can carry out the major repair works, and the same would be available free of cost to Contractor.
- In case of failure of critical components like engine, downtime cannot be linked to Contractor for availability of manpower.
- In case of Tamping unit failure/overhaul/major repairs, DFCC to help designate a facility where Contractor carry out the overhauling / major repair works of Tamping Units. Rates for overhauling/ major repairs shall be mutually agreed and shall be executed under separate contract.
- A separate contract shall be made for POH however all technical and administrative support would be provided by Contractor.
- DFCC to provide Contractor staff a small office with free electricity and water in the depot for keeping the stores and inventory. DFCC will provide free access to Contractor's operation and maintenance team members/vehicles/tools etc. contractor will notify DFCC about the arrival of tools/heavy spares carrying vehicles for maintenance of the machines well in advance if its expected after normal works hours.
- Wherever required, all necessary permissions has to be ensured by the DFCC.
- DFCC shall intimate about the working hour well in advance preferably one day in advance. Before the end of each day's work the contractor shall be advised in writing by the Employer, of the commencement time and duration of the following day's occupation(s). Contractor shall be allowed sufficient time on-track to calibrate and adjust the machine as part of the preventive maintenance of the machine. This time will be outside the scheduled occupation periods and will not be included in any of the contract measurements.
- If, breakdown not attended and repaired within 24-48 hrs, penalty will be imposed on contractor, minimum Rs. 5000/- per day.

## Shifting of Machine:

Shifting of machines by road/rail, loading of the machine, transportation, transit insurance, unloading of the machine & handling charges will be DFCC's responsibility.

## Maintenance of records:

Daily operational progress will be jointly signed & maintained by the Contractor's and Employer's representative at the end of the daily operational work both in hard copy as well as soft copy as per mutually agreed format.



This work to be done during day shift in corridor block in main line (in between 6.00 Hrs and 18.00 Hrs) and during nightshift in yard area (between 20.00 Hrs and 6.00 Hrs) with complete safety precautions as laid down. This work has to be done as per the directions of Engineer-in-Charge. However the actual shift hours shall be 8 hours in a day and counted from the time machine movement from base location/ pit lane/ siding to working location and back after completion of days work with one day as weekly off. There will be gap of atleast ten hours between two shifts.

# The contractor will have to arrange necessary Lighting equipment to carry out the above works.

#### 3.4 Track Tamping Work

- 3.4.1 All activities generally on mainlines shall be carried out during corridor blocks (non-traffic hours) at day and on yard loop lines during night times. The work shall be carried during approved block only. The approved block details will be made available by Engineer In-charge. In case of emergency/ unusual occurrences, the work may have to be done at any hour of day and night.
- 3.4.2 The approach to the main line track is only from Machine siding for 09-3X Dynamic Tamping machine. Any contractor's person entering section for carrying out works under the contract shall have to obtain permission from the proper authority.
- 3.4.3 Contractor shall ensure that all precautions mentioned in chapter –II part J of IRPWM 1986 reprinted in 1999 for maintenance of track on Electrified area (25 KV/2\*25 KV AC Traction) as applicable is followed and all his staff are well versed with it. In this regard Safety Precautions & Essential Instructions for working in A.C. Traction area of DFCCIL as also to be followed.
- 3.4.4 Contractor shall ensure that all precautions to be taken while working in Track circuited area is observed as per Para 279 of IRPWM.
- 3.4.5 After completion of days/nights works contractor shall ensure that all equipment, tools and plants P. Way material, etc. either released or new, is cleared before leaving the site. No material whatsoever shall be left behind.
- 3.4.6 The quantum of work to be done under each item will be indicated to the contractor from time to time, during the currency of the contract & contractor shall instantaneously rise to the occasion and complete the work expeditiously.
- 3.4.7 In case of emergency contractor must mobilize resources and carry out works at any time of the day and night instantaneously at short notice if directed. The Response time in case of Emergency shall be one hour during commercial hours & non-commercial hours. The contractor shall be always ready to attend any emergency if so arise.
- 3.4.8 While doing the works, the contractor shall not give any inconvenience either to the travelling public or to the station staff or to the DFCCIL freight trains.
- 3.4.9 The contractor shall ensure that all his staff shall wear proper uniform with personal protective equipment including helmet, safety shoes and illuminated jacket etc. while working.
- 3.4.10 The contractor will not cause any damage to the DFCCIL property or assets. If any such damage is caused, the contractor will have to pay the cost of such



Damage & DFCCIL Decision in the issue will be final.

- 3.4.11 The contractor will have to complete the work during specified time. While working in the non- commercial hours works have to be completed & men & materials will have to be removed 4.00 hrs or as specified from time to time. Instructions of the employer given from time to timeshall be followed.
- 3.4.12 The quality of the work shall be as per the standards of IR track manual, IRPWM and RDSO/ IRICEN guidelines.
- 3.4.13 The contractor shall use standard tools & materials as specified or as per the requirement of approved or reputed Brands as the case may be.
- 3.4.14 The contractor shall take all necessary safety measures & precautions and the contractor shall be entirely responsible for the safety & security of his men & materials.
- 3.4.15 The contractor may have to use necessary communication equipments like mobiles / WLL / Walky-talky as per requirement.
- 3.4.16 If the same item or condition features in the special conditions of the contract & General conditions of the contract, then the item or condition mentioned in the special conditions of the contract alone will be applicable.
- 3.4.17 Contractor shall provide Photo-identity cards to all his staff & supervisors.

# 3.5 SAFETY PRECAUTIONS AND ESSENTIAL INSTRUCTIONS FOR WORKING IN A.C. TRACTIONAREA.

## DO(S) AND DON'T(S)

## For All Staff

- DO(s)
- a. In case of fire on electric traction equipment or wires:
  - i) Inform Traction Power Controller (TPC).
  - ii) Extinguish fire by special extinguishers (Carbon tetrachloride or carbon dioxide type), if available.
  - iii) Ensure no water jet directed at the fire is used under any circumstances.
- a. Anything Unusual on traction wires or eclectic rolling stock, inform Traction Power Controller ornearest Station Master.
- b. In any emergency speak to Traction Power controller through the nearest means of communication.
- c. Large metallic structures such as fencing, structural steel work of platform running parallel to the track should be earthed suitably.
- d. Any tree or branches likely to fall on live conductor or nearer than 4m from the nearest live conductor shall be cut or trimmed by concerned staff in the presence of authorized staff of OHE.
- e. Before taking up the work on a line running parallel to 2\*25KV/25kV AC lines, the line shall be earthed onboth the sides of the working party.
- f. Ensure that distance between the two earths used for protection of working party does not exceed1km.
- g. Keep clear of the track and avoid contact with the rails when electric train within 250m.
- h. special care should be taken to carry long pipes, poles or ladders so that it should not come incontact with or within 2m of live OHE.



## DON'T(s)

- 1. <u>DO NOT</u> approach within 2 meters (approximately 7 feet) of any traction wires or live equipment.
- 2. <u>DO NOT</u> work on or near traction wires or any live equipment unless they are made dead. earthed and shut down notices/permit to work obtained.
- 3. <u>DO NOT</u> enter any switching station or remote control centre unless specially permitted.
- 4. <u>DO NOT</u> permit unauthorized persons to operate any equipment even if it be for making it dead.
- 5. <u>DO NOT</u> disturb any earthing or bonding or traction wires or connections to BEC (buried earth cable)or OPC (overhead protection cable).
- 6. <u>DO NOT</u> touch a per son in contact with live traction wires. Remove body only after power supply isswitched off & earthed.
- 7. <u>DO NOT</u> forget to give artificial respiration to the victim as per the prescribed procedure laid down at shock treatment charts.
- 8. <u>DO NOT</u> touch any traction wire hanging from the mast or fallen on the ground and do not allow anyone else to touch it.
- 9. <u>DO NOT</u> operate crane on or near traction OHE unless an authorized representative of the OHE is present.

## For Station Manager

DO(s)

- 1. Ensure all staff is conversant with safety precautions, Station working rules & OHE sectioning diagrams.
- 2. Report defects in traction wires or any electric Rolling Stock promptly to the Traction PowerController.
- 3. Observe procedure given in station working instructions while operating isolator switches.
- 4. Ensure safe custody of isolator switch keys.
- 5. Ensure that traction power staff protects sections from all directions by banner flags during power blocks.
- 6. Instruct the authorized signal maintainer to see that while he goes up the signal post he does not carry any long rod or article likely to be brought within the danger zone of 2 meters (approximately 7 feet) of the traction wires.
- 7. As far as possible closed wagon should be used for material trains. Loading and uploading of such wagons should be done under supervision of an inspector to ensure that no tools or any part of the body of the worker comes within the danger zone.
- 8. The following records to be maintained:
  - a) Records of message which are incoming and outgoing separately from T.P.C.
  - b) Records for Key Register for interrupters and isolators separately.
- 9. Unusual occurrences viz. heavy rains, lightning and thunderstorm effect on electrical installations Station Manager on duly should advise T.P.C. of all such weather conditions.
- 10. The 'Dead elementary section' of OHE shall be protected as per the provisions of the SWR.
- 11. The SMs should educate all the staff working under them on the above lines and should see that the earthing connections of BEC, OPC, Handrail, Impedance bond are not damaged when loading and unloading is done.



## DON'T(s)

- 1. <u>DO NOT</u> permit an electric Rolling Stock or multiple unit with raised pantograph to approach any section under power block beyond 'Power Block Working Limit' boards or should not reach to the section insulators.
- 2. <u>DO NOT</u> permit any crane to work adjacent to live traction wire.
- 3. <u>DO NOT</u> permit your staff to approach live traction wires within the danger zone of 2 metres (approximately 7 feet)
- 4. <u>DO NOT</u> permit electric Rolling Stock with raised pantograph to enter any unwired section.
- 5. <u>DO NOT</u> take off signals for direct reception for any electric Rolling Stock or train, if there is a Power Block within the adequate distances of the signals.

#### For Permanent Way StaffDO(s)

- 1. Maintain the correct level of height gauges at level crossing.
- 2. Provide rail jumper connections wherever a fish plate is to be removed or rails are to be removed (specially on tracks with wooden sleepers)
- 3. Ensure that insulated paint on your tools is intact.
- 4. In case of rail fractures, two ends of the fractured rail shall be first temporarily connected by atemporary metallic jumper and then further action should be taken.
- 5. In case of discontinuity of rails, two parts of rail should not be touched with bare hands. Gloves of approved quality shall be used.
- 6. Ensure the continuity of the plinth.
- 7. While unloading rails alongside the tracks, ensure that rails do not touch each other to form acontinuous metallic mass of length greater than 300m.
- 8. Maintain continuity of rails during maintenance or renewal of the track.
- 9. Staff, working on installations directly in contact with rails, should use tools of the type approved by their departments.

## DON'T(s)

- 1. <u>DO NOT</u> continue working till last minute in the face of an approaching electric train-clear the lineearly.
- 2. <u>DO NOT</u> use steel measuring tapes or long metallic wires.
- 3. <u>DO NOT</u> dump ballast, earth or ashes against the foundations of the masts and keep the top of themuffing clear.
- 4. <u>DO NOT</u> raise the track above the high rail level mark specially under over line structures.
- 5. <u>DO NOT</u> damage the plinth continuity, connection to BEC, OPC, handrail continuity.

## OTHER POINTS TO BE REMEMBERED

- 1. If shunting is to be done for detaching hot axled coaches etc. on non-electrified track, special precaution should be taken not to admit the electric rolling stock into unwired section. A competent man shall personally supervise the shunting.
- 2. It is dangerous to go within 2 meters (7 feet) of the live equipment. This is 'DANGER ZONE'.
- 3. Power Block means blocking of section of the line to the electric traffic only.
- 4. No material should be stacked either on the mast or on mast foundation.
- 5. When a motor vehicle with rubber type is to be transported in an open wagon, the metal body shall be bonded to the wagon body by means of two independent solid connections by copper wire or galvanized iron flat or steel ropes. it is preferable that Page 107 of 170



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lashing used to secure the vehicle are of metallic ropes instead of manila or other coil ropes.

- 6. Staff must close and secure the wagons doors properly after the same have been loaded or unloaded at loading or unloading points. One of the staff should be posted in the yard to close the wagon doors. He must see that no wagon is allowed with its doors opened.
- 7. Cases of electric shock arising out of contact with 2\*25KV/25kV A.C. Traction equipment shall be reported immediately to T.P.C.
- 8. The escorts for vehicles in an open wagon accompanying the vehicles in train shall be warned to be careful of OHE wire and should not stand unwillingly on the vehicle.
- 9. Any abnormality observed on the overhead equipment viz. a broken contact wire, catenary wire, brackets, insulators or a hanging dropper etc. must be reported immediately to the Traction Power Controller over the emergency phone or through the nearest SM.
- 10. Every time staff has to be work on signaling and telecom circuits along AC electrified lines, they should take precautions to protect themselves and equipment.
- 11. All ODC consignments should be moved in electrified section after taking precautions as per extant instructions.
- 12. Hoarding boards provided in the vicinity of electrified tracks should be located at a safe distance from the track so that in the event of their supporting structures being damaged, it should not fall on the OHE for infringe the track.
- 13. Do not bridge insulted joints with bare hand or any metallic article in track-circuited area.
- 14. Do not use the rails as a footpath, a seat or for such other purposes.
- 15. Do not use steel tape or metallic tape or tape with woven metal reinforcement in electrified area.
- 16. Do not expose the foundations while excavating.
- 17. Do not work on any portion of a signal post or its fittings falling within a distance of 2 m from the live OHE or a metal part electrically connected to this OHE unless this section is protected with a metallic screen.
- 18. Do not work on signal post if this portion of signal is within 2 m of live OHE and not protected with metallic screen unless OHE has been switched off and permit to work has been granted.

## 3.6 THE MAINTENANCE OF PERMANENT WAY

## **3.6.1 Maintenance of Track in Track Circuited Areas (Lengths)**

**3.6.1.1** Precautions to be taken while working in track circuited area: -

- (1) The Permanent Way Inspector should instruct the staff not to place across or touching two rails in the track, any tool or metal object which may cause short circuiting.
- (2) All gauges, levels, trolley and Lorries used on the track circuited length should be insulated.
- (3) Steel or C.I. pipes used for carrying water/gas under the track should be run sufficiently below the rails to prevent any short circuiting.
- (4) While carrying out track maintenance, care should be taken to see that no damage of track circuit fittings like rail bonding wires, lead wires to rails, bott legs, jumper wires etc., takes place.



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- (5) Use of steel tapes should be avoided in track circuited section.
- (6) Pulling block of rails should be done in track circuited areas in the presence of S&T staff, where signaling connections are avoided.
- (7) Proper drainage should be ensured so as to avoid flooding of track during rains, particularly in yards, where watering of coaches is done and in water columns and ash pits. It would be desirable to provide washable concrete aprons on platform lines at originating stations, in track circuited area.
- (8) Ballast must be kept clean throughout the track circuited section and care should be taken to see that the ballast is kept clear of the rails and rail fastenings. The clearance from the foot of the rail should not be less than 50mm. During every packing this point should be taken note of.

### 3.6.2 Maintenance in Electrified Areas

### 3.6.2.1 General Instructions to staff: -

- (1) General Knowledge of Engineering Staff-
- (a) Every engineering official working in electrical traction area shall be in the position of a copy of rules framed for the purpose of the operation of the Traction Power Distribution system pertaining to Engineering Department and ensure that staff working under him are also acquainted with the rules. He will ensure that rules pertaining to carrying out engineering works are strictly observed.
- (b) All electrical equipment, every power line or cable shall be regarded as being 'live' at all times. No work shall be commenced adjacent to any electrical equipment except on authority issued in writing by a competent official of the Electrical Department to the effect that the equipment has been made dead and earthed.
- (2) Defects in an Over Head Equipment: Defects or breakdowns in the overhead equipment including track and structure bonds noticed by the Engineering staff shall be reported immediately to the Traction Power Controller. When defects in the overhead equipment that are likely to cause the damage to pantographs or trains are noticed and it is not possible to convey information to the station master or signal men to enable them to issue caution orders, the line shall be protected by the staff noticing such defects according to <u>General Rule 3.62.</u>
- (3) *Traction bonds*: In electrified areas the return current fully or partially flows through the rail. To ensure a reliable electrical circuit continuity and also to ensure proper earthing in case of leakage of current, various types of traction bonds as described below are provided at suitable places and maintained by the Electrical Traction Department.
- (a) Longitudinal Rail Bonds: In the case of D.C. traction system, practically the whole return current flows through the rail. Therefore two flexible copper bonds offering minimum resistance to the flow of current are provided at each rail joint under the fishplates. Two solid lugs at the to ends of the copper bonds are inserted in holes drilled at the two rail ends between the fish bolt holes and are pressed by using a bend press to rivet them firmly to the rail. On points and crossings and at junction fishplates where continuity bonds of the above type cannot be provided due to space constraint, continuity of return current path is achieved by using mild steel straps or G.I. wire ropes.

Absence of such bonds may cause unsafe working condition and in extreme cases may damage the rail ends.



Cross Bonds (D.C): - Cross bonds are provided between adjacent tracks at regular intervals to reduce resistance of current to the minimum. Such cross bonds are also known as transverse bonds.

Structure bonds: - All structures supporting overhead equipment either in A.C or D.C. track circuited areas are connected to the running rails for ensuring good earthling. Failure of insulator or leakage of current switches off the supply good earthing. Failure of insulator or leakage of current switches off the supply from the sub-station so that men coming in contact with supporting structure etc. do not get electric shock. Removal or tampering of such bonds can, therefore, result in unsafe conditions. Since the structures are grouted in concrete, they are likely to become charged in case such bonds are kept disconnected. Similarly other steel structures such as footover bridges, sheds, etc., in the vicinity of O.H.E. lines are also connected to rails through similar structure bonds.

# 3.6.2.2 Special Instructions to Staff Working In Traction Area -

- (1) Need for Precautions- Precautions are required to be taken on account of following:
- (a) Proximity of a Live Conductor. -The risk of direct contact with live O.H.E. is ever present while working in electrified sections such as for painting of steel work of through span of bridges and platform cover.
- (b) *Build up of potential due to return current in rails.* The return current in the rails may cause a potential difference-
- (*i*) Between rail and the surrounding mass of earth.
- *(ii)* Between two ends of a fractured rail.
- (iii) Between the two rails at an insulated joint.
- *(iv)* Between earth and any other metallic mass
- (2) The following precautions should, therefore, be taken while working in traction areas:
- (a) No work shall be done within a distance of two meters from the live parts of the O.H.E. without a 'permit-to-work'.
- (b) For work adjacent to overhead equipment the Engineering Inspector shall apply to the proper authority sufficiently in advance for sanctioning traffic and power block required.

The Traction Power Controller through Traction Foreman will arrange to isolate and earth the section concerned on the date and at the time specified in consultation with the Traffic Controller. He shall then issue 'permit-to-work' to the Engineering Inspector.

On completion of the work the 'Permit-to-work' should be cancelled and traction power controller advised, who will then arrange to remove the earth and restore power supply.

(c) No part of the tree shall be nearer than 4 meters from the nearest live conductor. Any tree or branches likely to fall on the live conductor should be cut or trimmed periodically to maintain the clearance. Cutting or trimming of trees affecting O.H.E. may be carried out by letting out the same on yearly contract basis. The contract shall be finalized and controlled by the Engineering branch, however the



expenditure shall be debited to revenue grant of the TRD branch. The work will be executed under supervision of TRD staff to ensure safety and satisfactory completion of work.

- (d) No fallen wire or wires shall be touched unless power is switched off and the wire or wires suitably earthed. In case the wires drop at a level crossing, the Gatekeeper shall immediately make arrangements to stop all road traffic.
- (e) *Work on Station roofs and Signal Gantries*. Staff working on station roofs and signal gantries and similar structures adjacent to Live Overhead Equipment shall not use any measuring tapes, tools and materials when there is a possibility of their being dropped or carried by wind on to the live overhead equipment.
- (f) *Earth work*: For excavation work adjacent to tracks, following action is taken:
- (i) In D. C. traction areas, intimation should be given in writing sufficiently in advance to the concerned Traction Distribution Officer to enable him to depute the Traction staff to be present in order to prevent possible damage to the traction underground feeder cables witch are always located near the running lines.
- (ii) In A. C. traction areas, intimation should be given to the concerned officers of the Electrical General services and also S. &T. Department, since all the S. & T. and Electrical lines are cabled on account of Electrical Induction.
   In all A. C. and D. C. traction areas, cable markers showing location of cables are provided by the Traction Department. In addition, the cables are protected by tiles and bricks, during excavation if workman come across such tiles or bricks in an arranged manner, they should at once report the matter to the higher officials. Any further excavation should be carried out only in the presence of the authorized staff of Electrical Traction and or S. & T. Department as the case may be.
- (g) Alteration to tracks: The relative alignments of the centerline or the track with respect to the alignment of the contact wire must be maintained within the specified tolerances. This applies to both horizontal and vertical clearances. Slewing or lifting of track must not be done outside the agreed maintenance limits, unless the position of the contact wire is altered at the same time. Adjustment of cant has a magnified effect of the horizontal displacement of the centre line of the track with respect to the alignment of the contact wire.

Horizontal clearances to structures within the limits laid down in the Schedule of Dimensions must be maintained. For Slewing or alterations to track involving adjustment of contact wire (outside the agreed maintenance limits) sufficient notice should be given to the traction staff so that they arrange to adjust the overhead equipment.

- (h) *Alterations to Track bonding*: All bonds removed by the staff of the Engineering Department shall be replaced by the staff of the Engineering Department and all such removals and replacement shall be reported to the Assistant Electrical Engineer, Traction Distribution in-charge, concerned without delay.
- (j) *Working of Cranes*: No crane shall be worked except on authorized 'permit-to-work'. In every case of working a crane, arrangement should be made for the presence of authorized overhead equipment staff to ensure that all safety precautions are taken.
- (k) Inspection of Tunnels: For inspection roofs and sides of a tunnel, the overhead equipment shall be rendered 'dead'. Special insulated apparatus should be used if sounding the unlined portions to locate loose rock in the roof and sides, is required to be carried out, when the overhead equipment is 'live'.
- (I) As far as possible closed wagons shall be used for material trains. In case open or



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hopper wagons are used, loading unloading of such wagons in electrified tracks shall be done under the supervision of an Engineering official not below the rank of a Permanent Way Mistry who shall personally ensure that no tool or any part of body of the workers comes within the 'danger zone' i.e., within 2m. of O.H.E.

- (m) Steel tapes or metallic tapes with woven metal reinforcement should not be used in electrified tracks. Linen tapes are safer and, therefore, should be used even though they are not accurate.
- (n) The top foundation blocks in electrified structures should be kept clear of all materials.

## 3.6.2.3 Maintaining continuity of track. –

- (1) During maintenance or renewal of track, continuity of the rails serving electrified tracks shall invariably be maintained. For bridging gaps, which may be caused during removal of fishplates or rails, temporary metallic jumpers of approved design shall be provided as under. The necessary jumper will be provided by the Electrical Department on requisition.
- (2) In case fracture of rail, the two ends of the fractured rail shall be first temporarily connected by a temporary metallic jumper of approved design (as shown in the sketch below). In all cases of discontinuity of rails, the two parts of the rail shall not be touched with bare hands; Gloves of approved quality shall be used.
- (3) In the case of broken or defective rail bond, temporary connections shall be made as shown in <u>sub- Para [2]</u> above.
- (4) Before fishplates are loosened or removed temporary connections shall be made in as in <u>sub-Para [3] above</u>.
- 3.6.2.4 **Catch Sidings. -** Normally all catch sidings except those which are sanded shall be kept alive. On sanded catch siding, the rails shall be kept clear of sand for a length of 21.5 meters, beyond the

section insulators in the overhead lines and the switches controlling the sanded catch sidings shall be kept in the neutral position. If an electric engine or single or multiple unit train runs into the sanded length of a catch siding, it may possible be insulated from earth except through the buffers or couplings if connected to other vehicles, therefore these sidings shall not be made alive when an electric engine or single or multiple unit train or any vehicle coupled thereto are standing in the sanded tracks until all staff have been moved away from positions where they are likely to make contact between the permanent way formation and any part of the locomotive or single or multiple unit train or coupled vehicles. No person shall attempt to enter, or leave or in any other way make contact between the permanent way formation and the electric engine or single or multiple unit train or any vehicles coupled thereto while the overhead equipment of sanded length of siding is alive.

#### 3.6.2.5 Additional precautions in A. C. Traction area. –

The following additional precautions are required to taken in A. C. traction areas: -

- (1) Build-up of potential due to induction in metallic bodies situated close to O.H.E.- It is important to note that dangerous voltages may be induced in metallic masses such as fencing posts in the vicinity of traction conductors. To avoid possibility of shock due to such voltages, the metallic structures are bonded together and earthed.
- (2) Unloading of rails. When unloading rails along tracks, care shall be taken to ensure



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that rails do not touch each other to form a continuous metallic mass of length greater than 300 meters.

(3) *Permanent way staff* are advised to keep clear of the tracks to avoid contact with the rails when an electrically hauled train is within 250 m.

## 3.6.2.6 **Fire in electrified areas**. –

The Permanent Way Officials noticing a fire likely to result in loss of life or cause damage to property shall take all possible steps to prevent it from spreading and to extinguish it. In case the fire is on adjacent to any electrified equipment, the Permanent Way Official shall make no attempt to extinguish the fire but shall report the occurrence of fire to the nearest StationMaster by most expeditious means.

#### 3.6.2.7 **Permanent way tools**. –

Permanent Way tools (insulated and uninsulated) along with gloves shall be used in manner as approved by the Chief Engineer of the railway.

3.6.2.8 **Treatment of persons suffering from electric shock. -** When persons receive electric shock, practically in every case they can be revived with prompt application of First Aid.

*Method of Resuscitation.* - The method of resuscitation resorted to should be that known as artificial respiration.

*Continuity of Treatment.* - The efforts to restore breathing must be continued regularly and with perseverance, and must not be discontinued until a Doctor has taken charge of the case.

#### 3.6.2.9 Accident to power lines of outside bodies. –

The Engineering Inspector shall be in possession of the name and address of the officer-in-charge of each power line across Railway land to enable an immediate report of any defect or accident appertaining thereto being made, under advice to the Assistant Engineer/Divisional Engineer.

#### 3.7 Time Schedule:

The contract period for execution of the above mentioned work is **03 Years** from the commencement of work. The works should commence within 7 days from date of issue of letter for Commencement.

The contract period is extendable upto 5 years from the commencement of work on mutually agreed basis. The operation and maintenance rates for the extended period shall be enhanced on year on year basis as per Schedule of Prices.

The work is to be carried out on the basis of "periodically and As & when required".

The contractor will have to arrange necessary Lighting equipment to carry out the above works at his own cost during night hours work on main line for which no extra payment will be made by DFCCIL.



Expenditure of all Tools & Plants, Safety equipment for labour and Supervisors i.e. Safety Jacket, helmet, Safety Shoe etc shall be borne by the contractor.

3.8 The Track Maintenance works are to be carried out as per International norms/standards and in such a manner that all premises always look neat & clean. Eco friendly chemicals/Reagents to the extent possible shall be used. Similarly, the waste disposal is also carried out in totally sealed manner without affecting the Environment. The Personnel Protective Equipment as per the requirements are to be used & All Safety norms are to be followed.

#### 3.9 Specifications:

RDSO & IRICEN Specifications/ IRPWM/IS code are applicable.

#### 4. Interface Work

In addition the Contractor shall be required to accommodate requirements of miscellaneous works as per interfacing requirements. The Contractor shall carry out necessary co-ordinations with PWI and P-Way Contractors, Labour contractors for misc. activities including tamping, ballasting etc. E&M Contractor and various system contractors pertaining to traction power supply, signalling, telecommunication, AFC etc. for keeping provisions pertaining to cut outs, shafts, raceways, concealed conduits, other conduits, fixtures, inserts clearances etc all complete for the scope of work.

Earthling and lighting protection wherever required.

#### **5. STRUCTURES**

The work will have to be planned in such a manner that they do not obstruct or interfere with existing roads/ railways and other utilities. Where work is required to be carried out at locations adjacent to such roads/ railways, utilities, structures, monuments etc. suitable safety and protection arrangements will have to be ensured for which nothing extra will be payable. It should be ensured that no damage is caused to any such element and Engineer/ Employer shall be indemnified against such damage at no extra cost.

#### **6. REFERENCE TO THE STANDARD CODES OF PRACTICE**

All Standards, Technical Specifications and Codes of practice referred to shall be latest editions including all applicable official amendments and revisions. The Contractor shall make available at site all relevant Indian Standard Codes of practice and IRSC & TRC Codes as applicable.

Wherever Indian Standards do not cover some particular aspects of maintenance, relevant British, German Standards will be referred to. The Contractor shall make available at site such standard codes of practice.



In case of discrepancy among Standard codes of practice, Technical specifications and provisions in sub clauses in this NIT, the order of precedence will be as below:

- i) Provision in NIT
- ii) Technical Specifications,
- iii) RDSO/ IRICEN guidelines
- iv) Standard Codes of practice.

In case of discrepancy among Standard Codes of Practice, the order of precedence will be IRS,IRC, IS, BS, DIN

# 7. DIMENSIONS

- 7.1 As regards errors, omissions and discrepancies in Specifications and Drawings, relevant clause of Special Conditions of Contract will apply.
- 7.2 The Contract shall utilise the SI system of units. Codes and Standards in imperial units shall not be used unless the Engineer has given his consent.
- 7.3 Conversion between metric units and imperial units shall be in accordance with the relevant Indian Standards.
- 7.4 The levels, measurements and other information concerning the existing site as shown on the conceptual/ layout drawings are believed to be correct, but the Contractor should verify them for himself and also examine the nature of the ground as no claim or allowance whatsoever will be entertained on account of any errors or omissions in the levels or strata turning out different from what is shown on the drawings.

# 8. SPACE FOR TEMPORARY OFFICE & STORAGE

- 8.1 A suitable area shall be provided on temporary basis by DFCCIL free of cost on as is where basis for execution of work, subject to availability.
- 8.2 Deleted.

# 9. STANDARDS

- 9.1 Equipment, materials and systems shall be designed, manufactured and tested in accordance with the latest issue of International and/or National codes and standards.
- 9.2 Reference to standards or to materials and equipment of a particular manufacturer shall be regarded as followed by the words "or equivalent". The Contractor may propose alternative standard materials, or equipment that shall be equal to or



better than those specified. If the Contractor for any reason proposes alternatives to or deviations from the specified standards, or desires to use materials or equipment not covered by the specified standards, the Contractor shall apply for the consent of the Engineer. The Contractor shall state the exact nature of the change, the reason for making the change and relevant specifications of the materials and equipment in the English language. The decision of the Engineer in the matter of quality will be final.

9.3 The Contractor shall establish and maintain a Quality Assurance System in accordance with Appendix-3 to these Employer's Requirements for design and maintenance procedures and the interfaces between them. This Quality Assurance system shall be applied without prejudice to, or without in any way limiting, any Quality Assurance Systems that the Contractor already maintains.

### **10.** SITE INFORMATION

#### 10.1 Work Site

- 10.1.1 The project site is located in the between New Bhaupur -New Khurja section of DFCCIL between Kanpur Ghaziabad section of NCR Railway .
- 10.1.2 The Contractor shall plan his works keeping in view restriction of approach and availability of space and time.
- 10.2 Climatic Conditions
- Western UP experiences extreme climatic conditions and tenderers must acquaint themselves about the same before submitting the tender. The Employer shall in no way be responsible on this account.

# **11.** CONTRACTOR'S SUPERINTENDENCE

- 11.1 The Contractor shall submit a Staff Organisation plan. This plan shall be updated and resubmitted whenever there are changes to the staff. The plan shall show the management structure and state clearly the duties, responsibilities and authority of each staff member.
- 11.2 The site agent and his associates/supervisors shall have experience and qualification appropriate to the type and magnitude of the Works. Full details shall be submitted of the qualifications and experience of all proposed staff to the Engineer for his approval.

# **12.** THE SITE



- 12.1.1 The Site or Contractor's Equipment shall not be used by the Contractor for any purpose other than for carrying out the Works in the scope of this contract, except that, with the consent in writing of the Engineer, the Site or Contractor's Equipment such as batching and mixing plants for concrete and bituminous materials may be used for the work in connection with other contracts under the Employer.
- 12.1.2 The location and size of each stockpile of materials, including excavated materials, within the Site shall be as permitted by the Engineer. Stockpiles shall be maintained at all times in a stable condition.
- 12.1.3 Entry to and exit from the Site shall be controlled and shall be only available at the locations for which the Engineer has given his consent.
- 12.2 ACCESS TO THE SITE
- 12.2.1 The Contractor shall make its own arrangements, subject to the consent of the Engineer, for any further access required to the Site.
- 12.2.2 In addition, the Contractor shall ensure that access to every portion of the Site is continually available to the Employer and Engineer.
- 12.3 ACCESS TO OUTSIDE THE SITE
- The DFCC shall be responsible for ensuring that any access or egress through the Site boundaries are controlled such that no disturbance to residents or damage to public or private property occur as a result of the use of such access or egress by its employees and sub-contractors.
- 12.4 BARRICADES AND SIGNBOARDS
- 12.4.1 The DFCC shall erect barricades as per requirement & wherever necessary around its areas of operations to prevent entry by unauthorised persons to his Works Areas. No work shall be commenced in any Works Area until the Engineer has been satisfied that the barricades installed by the Contractor are sufficient to prevent, within reason, unauthorised entry.
- 12.4.2 Barricades shall be maintained in clean and good order by the Contractor until the completion of the Works.
- 12.4.3 All Barricades being installed by the Employer shall be removed by the Employer upon the completion of the Works, unless otherwise directed by the Engineer.



- 12.4.4 Barricades can be reused after removing from one place to other locations/ sites provided they arein good condition and approved by Engineer.
- 12.4.5 Damage/worn-out barricades shall be replaced by Employer within 24 hours.

# 12.5 CLEARANCE OF THE SITE

All Temporary Works required to be undertaken by Contractor for its Scope of Work and which are not to remain on the Site after the completion of the Works shall be removed prior to completion of the Works or at other times instructed by the Engineer. The Site shall be cleared and reinstated to the lines and levels and to the same condition as existed before the Works started except as otherwise stated in the Contract.

### **13.** SURVEY OF SITE AND INVESTIGATIONS

- 13.1 The datum used for the Contract shall be as per direction of Engineer In charge.
- 13.2 The Contractor shall relate the maintenance of the Works to the Site Grid. To facilitate this, survey reference points have been established and the Engineer will provide benchmarks in the vicinity of the Site.

#### **14.** SAFETY, HEALTH AND ENVIRONMENTAL REQUIREMENTS

The Contractor shall comply with in the conditions stipulated in the Conditions of contracts on Safety and Health, for civil works of O&M wing. And condition of contract on Environment for civil works of O&M for contract value above ₹1.00 Crore.

#### **15.** Other Safety Measures

**15.1** Standby Equipment

The Contractor shall provide adequate stand-by equipment to ensure the safety of personnel, the Works and the public.

#### **16.** Technology Transfer

The Contractor shall ensure that all local contractors and sub-contractors engaged in the works are given training, guidance and the necessary opportunity for transfer of technology in various areas of maintenance such as instrumentation, safety, quality assurance, viaduct and station etc.

#### **17.** CARE OF THE WORKS

Unless otherwise permitted by the Engineer all work shall be carried out in dry conditions.

# **18.** PROTECTION OF THE WORKS FROM WEATHER



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Work shall not be carried out in weather conditions that may adversely affect the Works unless proper protection is provided to the satisfaction of the Engineer.

## **19.** DAMAGE AND INTERFERENCE

- (a) Work shall be carried out in such a manner that there is no damage to or interference with:
- (b) Items which are damaged or interfered with as a result of the Works and items which are removed to enable work to be carried out shall be reinstated to the satisfaction of the Engineer and to at least the same condition as existed before the work started. Any claims by Utility Agencies due to damage of utilities by the Contractor shall be borne by the Contractor.
- (c) The Contractor shall immediately inform the Engineer of any damage to structures, roads or other properties.
- (d) The Contractor shall take all necessary precautions to protect the structures or works being carried out by others adjacent to and, for the time being, within the Site from the effects of vibrations, undermining and any other earth movements or the diversion of water flow arising from its work.

#### **20.** SITE ESTABLISHMENT

**20.1** LATRINES AND WASHPLACES

The Contractor shall arrange regular disposal of effluent and sludge in a manner that shall be in accordance with local laws/ regulations. DFCCIL shall provide the latrines and wash places for the use of its personnel & available at site.

The Contractor do not pose a nuisance or a health threat due to its Scope of Work. The Contractor shall also take such steps and make such provisions as may be necessary or directed by the Engineer to ensure that vermin, mosquito breeding etc. are at all times controlled.

#### **21.** QUALITY ASSAURANCE

The Contractor shall establish and maintain a Quality Assurance System in accordance to the Employer's Requirement. This Quality Assurance system shall be applied without prejudice to, or without in any way limiting, any Quality Assurance Systems that the Contractor already maintains.

#### **22.** TESTING

- (a) Equipment, apparatus and materials for in-situ tests and laboratory compliance tests carried out by the Contractor shall be provided by the Contractor. The equipment and apparatus shall be maintained by the Contractor and shall be calibrated before the testing starts and at regular intervals as permitted by the Engineer. The equipment, apparatus and materials for in-the situ tests shall be removed by the Contractor as soon as practicable after the testing is complete.
- (b) The Contractor shall be entitled in all cases to attend the testing carried out in the Employer's or other laboratories, to inspect the calibration certificates of the testing machines and to undertake the testing on counterpart samples. Testing of such samples shall be undertaken in laboratories complying with Clause 21.4(a)(i) above and particulars of the laboratory proposed shall be submitted to the Engineer for consent prior to the testing.



(c) Attendance on tests, including that by the Engineer, Contractor and Designer, shall be as laid downin the Quality Assurance procedures.

# 23. RECORDS

# 23.1 DRAWINGS PRODUCED BY THE CONTRACTOR

Drawings produced by the Contractor including drawings of site layouts, Temporary Works, etc. for submission to the Engineer shall generally be to ISO A1 size.

# **24.** PROGRESS PHOTOGRAPHS

- (a) The Contractor shall provide monthly progress photographs which have been properly recorded to show the progress of the works to the Engineer in soft in form of PPT by e-mail. The photographs, shall be taken on locations agreed with the Engineer to record the exact progress of the Works.
- (b) The Contractor shall ensure that no photography is permitted on the Site without the agreement/ permission of the Engineer. Contractor should be aware of the local regulations and conditions with regard to Photography in some "RESTRICTED AREA' as per Project Site conditions.

# 25. MATERIALS

- 25.1 Materials and goods for inclusion in the Permanent Works shall be new unless the Engineer has consented otherwise. Preference shall be given to local materials where available. Approved Manufacturers/Suppliers of few important items have been given in Appendix-4 of this Employrer's Requirement. These materials shall be procured only for these manufacturers/Suppliers.
- 25.2 Certificates of tests by manufacturers which are to be submitted to the Engineer shall be current and shall relate to the batch of material delivered to the Site. Certified true copies of certificates may be submitted if the original certificates could not be obtained from the manufacturer.
- 25.3 Parts of materials which are to be assembled on the Site shall be marked to identify the different parts.
- 25.4 Materials which are specified by means of trade or proprietary names may be substituted by materials from a different manufacturer which has received the consent of the Engineer provided that the materials are of the same or better quality and comply with the specified requirements.
- 25.5 Samples of materials submitted to the Engineer for information or consent shall be kept on the Site and shall not be returned to the Contractor or used in the Permanent Works unless permitted by the Engineer. The samples shall be used as a mean of comparison which the Engineer shall use to determine the quality of the materials subsequently delivered. Materials delivered to the Site for use in the Permanent Works shall be of the same or better quality as the samples which have received consent.

# **26.** QUALITY ASSURANCE

1. General



The Contractor shall implement a Project Quality Management Plan in accordance with ISO-9001 "Quality System - Model for Quality Assurance in Design/Development, Production, Installation and Servicing" to ensure that all materials, workmanship, plant and equipment supplied and work done under the contract meets the requirements of the contract. This plan shall apply to all activities related to the quality of items, including designing, purchasing, inspecting, handling, assembling, testing, storing, and shipping of materials and equipment and different elements of maintenance work and installations of system components.

The Quality Plan to be prepared by the Contractor and submitted to the Engineer shall follow the requirements of ISO 9000 and address each element therein.

Registration of the Contractor's organisation, or subcontractors or sub-consultants is not required for this Project but the Project Quality Management Plan as submitted shall meet the intent of the ISO 9000 requirement in that there is a comprehensive and documented approach to achieving the project quality requirements.

#### 2. Quality Assurance Management Plan

The Project Quality Management Plan (PQMP) shall as a minimum address the quality system elements as required by ISO 9001, generally noting the applicability to the Contractor's Works Programme for the Project. Procedures or Quality Plans to be prepared by others (Suppliers, Subcontractors, and Sub-consultants) and their incorporation in the overall PQMP shall be identified.

The Contractor shall provide and maintain a Quality Assurance Plan (QA) to regulate methods, procedures, and processes to ensure compliance with the Contract requirements. The QA Plan, including QA written procedures, shall be submitted to the Engineer for his review.

Adequate records shall be maintained in a readily retrievable manner to provide documented evidence of quality monitoring and accountability. These records shall be available to Employer at all times during the term of the Contract and during the Defects Liability Period and for a five year period thereafter.

The Plan shall identify:

- Design Process: that control, check and verify the accuracy, completeness and integration of the design shall be performed by certified personnel and in accordance with documented procedure that have the written consent of the Engineer.
- Special Processes: that control or verify quality shall be performed by certified personnel and in accordance with documented procedures that have the written consent of the Engineer;
- Inspection and Test: Inspection and testing instructions shall provide for reporting non- conformances or questionable conditions to the Engineer; Inspection shall occur at appropriate points in the installation sequence to ensure compliance with drawings, test specifications, process specifications, and quality standards. The Engineer shall designate, if necessary, inspection hold points into installation or inspection planning procedures;
- Receiving Inspection: These procedures shall be used to preclude the use of



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nonconforming materials and to ensure that only correct and accepted items are used and installed;

- Identification and Inspection Status: a system for identifying the progressive inspection status of equipment, materials, components, subassemblies, and assemblies as to their acceptance, rejection, or non-inspection shall be maintained;
- Identification and Control of Items: an item identification and traceability control shall be provided;
- Handling, Storage, and Delivery: provide for adequate work, surveillance and inspection instructions.

The Plan shall ensure that conditions adverse to quality such as failures, malfunctions, deficiencies, deviations, and defects in materials and equipment shall be promptly identified and corrected.

The Plan shall provide for establishing, and maintaining an effective and positive system for controlling non-conforming material including procedures for the identification, segregation, and disposal of all non-conforming material. Dispositions for the use or repair of non-conforming materials shall require the Engineers consent.

#### 3. Plan Implementation and Verification

The Plan shall clearly define the QA Organisation. Management responsibility for the QA shall be setforth on the Contractor's policy and organisation chart. The Plan shall define the requirements for QA personnel, their skills and training. Records of personnel certifications shall be maintained and monitored by the QA personnel. These records shall be made available to the Engineer for review, upon request.

The QA operations shall be subject to the Engineers, Employer or Employer's authorised representative's verification at any time, including: surveillance of the operations to determine that practices, methods and procedures of the plan are being properly applied; inspection to measure quality of items to be offered for acceptance; and audits to ensure compliance with the Contract documents.

The contractor's Quality Audit Schedule shall be submitted to the Engineer for consent every three months or more frequently as required.

The results of Quality Audits shall be summarised in the Contractor's monthly reports.

The Contractor shall provide all necessary access, assistance and facilities to enable the Engineer to carry out on-site and off-site surveillance of Quality Assurance Audits to verify that the quality system which has the consent of the Engineer is being implemented fully and properly.

# **27.** CONTRACTOR'S LABOUR CAMP

# 1. EMPLOYER NOT TO PROVIDE QUARTERS FOR CONTRACTOR'S LABOUR

The Employer will not provide living accommodation for the use of the Contractor or any of his staff or labour employed on the Works. Living accommodation shall not be established on any land provided to the contractor by the Employer.



# 2. PROVISION OF LABOUR CAMP

The Contractor, shall, at his own expense, make adequate arrangements for the housing, supply of drinking water and provision of bathrooms, latrines and urinals, with adequate water supply, for his staff and workmen directly or through subcontractors employed on the Works at the location authorised by Engineer. No labour camp shall be allowed at work site or any un-authorised place.

The Contractor at his own cost shall maintain all campsites in a clean and sanitary condition. The Contractor shall obey all health and sanitary rules and regulations, and carry out at his cost all health and sanitary measures that may from time to time be prescribed by the Local/Medical Authorities and permit inspection of all health and sanitary arrangements at all times by the Employer, Engineer and the staff of the local municipality or other authorities concerned. Should the Contractor fail to provide adequate health and sanitary arrangements these shall be provided by the Employer and the cost recovered from the Contractor.

The Contractor shall at his own cost, provide First Aid and Medical facilities at the Labour Camp and at work sites on the advice of the Medical Authority in relation to the strength of the Contractor's staff and workmen, employed directly or through sub-contractors.

The Contractor shall at his own cost, provide the following minimum requirements for fire precautions:

- Portable Fire Extinguishers.
- Manual Fire Alarms.
- Water Supply for use by the Fire Service.

The Contractor at his own cost shall provide necessary arrangements for keeping the camp area sufficiently lighted to avoid accidents to the workers. He should also ensure that electrical installations are done by Trained Electricians. These installations shall be maintained and daily maintenance records must be made available for inspection of the Engineer.

#### 3. CAMP DISCIPLINE

The Contractor shall take requisite precautions, and use his best endeavors to prevent any riotous or unlawful behavior by or amongst his workmen, and others, employed directly or through sub- contractors. These precautions shall be for the preservation of the peace and protection of the inhabitants and security property in the neighborhood of the Works. In the event of the Employer requiring the maintenance of a Special Police Force at or in the vicinity of the site, during the tenure of the work, the expenses thereof shall be borne by the Contractor and if paid by the Employer, shall be recoverable from the Contractor.

The sale of alcoholic drinks or other intoxicating drugs or beverages upon the work, in any labour camp, or in any of the buildings, encampments or tenements owned or occupied by, or within the control of, the Contractor or any of his employees directly or through sub-contractors employed on the work, shall be forbidden, and the Contractor shall exercise his influence and authority to secure strict compliance



with this condition. The Contractor shall also ensure that no labour or employees are permitted to work at the site in an intoxicated state or under the influence of drugs.

The Contractor shall remove from his camp such labour and their families, as refuse protective inoculation and vaccination when called upon to do so by the Engineer on the advice of the Medical Authority. Should Cholera, Plague or any other infectious disease break out, the Contractor shall at his own cost burn the huts, bedding, clothes and other belongings of or used by the infected parties. The Contractor shall promptly erect new huts on healthy sites as required by the Employer, within the time specified by the Employer, failing which the work may be done by the Employer and the cost recovered from the Contractor.

# 4. LABOUR ACCOMMODATION

The Contractor shall provide living accommodation that is equal to or exceeds the minimum criteria established in the following sub-sections, needed to house his staff, workers employed directly or through sub-contractors. The buildings shall be constructed so as to have a minimum life of not less than the length of the Contract.

- a. The roofs shall be watertight and laid with suitable non-flammable materials permissible for residential use under local regulations and for which the consent of the Engineer has been obtained.
- b. Each hut shall have suitable ventilation. All doors, windows, and ventilators shall be provided with security leaves and fasteners. Back to back units may be avoided.
- c. The minimum height of each unit shall be 2.10m and shall have separate cooking place.
- d. Suitable no. of common toilet/bath shall be provided.

# 5. WATER SUPPLY

The Contractor shall provide an adequate supply of water for the use of labourers in the Camp. The provision shall not be less than two gallons of pure and wholesome water per head per day for drinking purposes and three gallons of clean water per head per day for bathing and washing purposes. Where piped water supply is available, supply shall be at stand posts and where the supply is from wells or river, tanks which be of metal or masonry shall be provided. The Contractor shall also at his expense make arrangements for the provision and laying of water pipe lines from the existing mains wherever available and shall pay for all the fees and charges thereof.

#### 6. DRAINAGE

The Contractor shall provide efficient arrangements for draining away sewage water so as to keep the camp neat and tidy. Surface water shall be drained away from paths and roads and shall not be allowed to accumulate into ditches or ponds where mosquitoes can breed.

## 7. SANITATION



The Contractor shall make arrangements for conservancy and sanitation in the labour camps according to the rules and regulations of the Local Public Health and Medical Authorities.

The Contractor shall provide a sewage system that is adequate for the number of residents in the camp, and which meets the requirements of the Municipality Authorities.

# **28.** Other Technical specification

# 28.1. Code/Standards/Manual for the work

# 28.1.2 Introduction

The Materials and workmanship specification as follows has been based on Indian Standards and International Standards as scheduled below. Apart from the basic data, specifications etc. all items of works shall be governed by the Codes & Specifications as detailed hereunder and as revised / corrected / amended up to 28 days before the due date of submission of the Bid Proposal.

The Contractor shall also be responsible for getting the approval from DFCCIL for the International Standards which are not specifically included herein below and the Contractor intends to apply the same for the detailing of his design, additionally.

# 28.1.3 Relevant Standards

All items of works shall be governed by the latest versions of the following Codes, Specifications as revised/corrected/amended (with latest correction slip) up to the time as specified above.

Guidelines for Earthwork in Railway Projects: Guideline No. GE: G-1, July, 2003. And GE-14, Guidelines on Erosion Control and drainage of Railway Formation - Guideline No. GE:G-4,

Indian Railway Schedule of Dimensions for Broad Gauge

Standard Schedule of Dimensions for Eastern Dedicated Freight Corridor for Indian Railways.

Indian Railway Code for Practice of Plain/Reinforced and Pre-stressed concrete for general/bridge construction (Concrete Bridge Code- 1997) with correction slips up- to-date Indian Railway – Engineering Code

Indian LWR Railways manual. Indian Railway Bridge Manual 1998 with correction slip up-to-date. Indian Railways Permanent Wav Manual. Indian Railways Works Manual, USFD manual, Track Machine Manual, AT Welding manual, Flash butt welding manual with latest correction slips.



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Technical specification for high output tamping cum stabililizing machine capable of 3500 sleepers per Hour peak output for B.G. (1676mm), specification No. TM/HM/HOT-STAB/371 Rev. 02-2017. Rev.-02, / revised upto date.



## PART-IV CHAPTER-VIII TENDER FORMS

FORM No.	SUBJECT		
Form No. 1	Offer Letter		
Form No. 2	Tenderer's Credentials		
Form No. 2A	Technical Eligibility Criteria Details		
Form No. 2B	Financial Eligibility Criteria Details		
Form No. 2C	Applicant's Party Information Form		
Form No. 3	Summary of Prices		
Form No. 4	Schedule of Prices and Total Prices		
Form No. 5	Contract Agreement		
Form No. 6	Performance Guarantee Bond		
Form No. 7	Form No. 7 Standing indemnity bond for on account payment. Form No. 8 ECS / NEFT / RTGS		
Form No. 8	ECS / NEFT / RTGS		
Form No. 9	Deleted		
Form No.10	Deleted		
Form No.11	Deleted		
Form No.12	Deleted		
Form No.13	Deleted		
Form No.14	Proforma for Time Extension		
Form No.15	Certificate of Fitness		
Form No.16	Proforma of 7 days Notice		
Form No. 17	Proforma of 48 Hours Notice		
Form No. 18	Proforma of Termination Notice		
Form No. 19	Format of Bank Guarantee for Mobilisation		
Form No. 20	Format of Integrity pact		
Form No. 21	Deleted		
Form No. 22	Format For Affidavit		
Form No. 23	Deleted		
Annexure-1	Format for competency		
Annexure-A	List of Spare		



# **OFFER LETTER**

# Tender No. EDFC\_CGM\_TDL\_TM\_2022 Date:-

**Name of work -** Operation & Maintenance of 09-3x-Dynamic-Temping Machine for 36 months (3 years) extendable up to 60 months (5 years) for section New Bhaupur to New Khurja of Eastern Dedicated Freight Corridor

### To,

### The Managing Director, DFCCIL,

We, the undersigned, declare that:

(a) We have examined and have no reservations to the Bidding Documents, including Addenda.;

(b) We offer to execute the Works in conformity with the Bidding Documents;

(c) Our bid shall be valid for a period of 120 days from the date fixed for the bid submission deadline in accordance with the Bidding Documents, and it shall remain binding upon us and may be accepted at any time before the expiration of that period;

(d) We have not been blacklisted/banned in accordance with para.1.3.13 (ii)(v)(a) of Preamble and General Instructions to tenderers.

(e) We are neither Bankrupt/Insolvent nor in the process of winding-up nor there is a case pending before any Court on deadline of submission of the Bid in accordance with para. 1.3.13 (ii) (v) (b) of Preamble and General Instructions to tenderers.

(f) If our bid is accepted, we commit to obtain a Performance Guarantee in accordance with the Bidding Documents;

(g) If our bid is accepted, we commit to deploy key equipment and key personnel consistent with the requirements of the work.

(h) We understand that this bid, together with your written acceptance thereof included in your notification of award/Letter of Acceptance (LOA), shall constitute a binding contract between us, until a formal contract is prepared and executed; and

(i) All information, statements and description in this bid are in all respect true, correct and complete to the best of our knowledge and belief and we have not made any tampering or changes in the bidding documents on which the bid is being submitted and if any tampering or changes/incorrect information are detected at any stage, we understand the bid will invite summarily rejection and forfeiture of bid security, the contract will be liable to be terminated along with forfeiture of performance security, even if LOA has been issued.



(j) We understand that you are not bound to accept the lowest bid or any other bid that you may receive.

Name
In the capacity of
Signed
Duly authorized to sign the Bid for and on behalf of
Date



# TENDERER'S CREDENTIALS

S. No	Description		
1. For technical experience/competence, give details of similar cor works during the last three financial years (i.e current Financial years) three previous Financial Years) in the proforma given in Form-2A			
2.	For financial capacity and organizational resources, give details of contractual payments received for the last three financial years (i.e current Financial year and three previous financial years) as peraudited balance sheet certified by Chartered Accountant in the proformagiven in Form-2B		
3.	Give constitution of your firm. Attach certified copies of legal documentsin support thereof. Form-2C		



# TECHNICAL ELIGIBILITY CRITERIA DETAILS

# Details of the similar works completed (as per Para 1.3.13 (i) of Preamble and General Instructions to Tenderers)

Similar Contract No.			
Contract Identification			
Award date			
Completion date			
Role in Contract	Prime Contr	actor	Member in JV
Total Contract Amount (Rs.)			
If member in a JV , specify participation in total Contract amount	[insert a percentage amount]	Total contra	ct amount in Rs.
Employer's Name: Address:		1	
Telephone/fax numberE- mail:			
Description of the similarity in accor	rdance with (	Criteria 1.3.1	3(i)(A)

The bidder shall attach Certified completion certificates issued by the client duly attested by Notary as per Eligibility Criteria of the tender documents.

# Signature of the Tenderer with Seal



## FINANCIAL ELIGIBILITY CRITERIA DETAILS

Each Bidder or each member of JV must fill in this form separately. Name of Bidder/JV Partner

# Details of contractual payments received during the last three financial years and current financial year

#### Contractual payments received

Contractual payments received			
Year	Value of payment received in Rs. (Contract Receipts)		
Current Year (2021-2022)			
2020-2021			
2019-2020			
2018-2019			
Total Contractual Payment			

Note: The details should be extracted from the audited balance sheet Certified by the Chartered Accountant or form 16-A issued by the Employer as per clause 1.3.13 of Preamble and General Instructions to Tenderers.

The bidder shall attach necessary documents in support of the above.

#### Signature of the Tenderer with Seal



# APPLICANT'S PARTY INFORMATION FORM

Applicant name:		
[insert full name]		
Applicant's Party name:		
[insert full name of Applicant's Party]		
Applicant's Party country of registration:		
[indicate country of registration]		
Applicant Party's year of constitution:		
[indicate year of constitution]		
Applicant Party's legal address in country of constitution:		
[insert street/ number/ town or city/ country]		
Applicant Party's authorized representative information		
Name: [insert full name]		
Address: [insert street/ number/ town or city/ country]		
Telephone/Fax numbers: [insert telephone/fax numbers, including country and city codes]		
1. Attached are copies of original documents of		
Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above.		
In case of a Government-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and absence of dependent status.		
2. Included are the organizational chart, a list of Board of Directors, and the beneficial Signature of the Tenderer with Seal		



#### Tender No: EDFC\_CGM\_TDL\_TM\_2022

**Name Of Work:** - Operation & Maintenance of 09-3x-Dynamic-Temping Machine including supply of spares for 3 years extendable up to 5 years for section New Bhaupur to New Khurja of Eastern Dedicated Freight Corridor.

Item No.	Description of Item	Unit	Qty for one Machi ne	Unit Rates in Rs. (incl. GST/TAx)	Amount in Rs. (incl. GST/TAX)	Total cost in Rs. Quoted by OEM/contr actor (including of Tax & other duties, cess, levies etc. as applicable, if any) (+/-% on 6)
1	2	3	4	5	6	7
1.0	Operation and Maintennace of DFCC owned 09-3X Dynamic	Machine Month				
	Tamping machine including manpower, consumables (Excluding HSD Oil ), spares etc. on Broad Gauge Tracks complete	1 <sup>st</sup> Year	12.0	2448500.0	29382000.0	(+/-)%
	but excluding cost of spares as per item 2.	2 <sup>nd</sup> Year	12.0	2693350.0	32320200.0	(+/-)%
		3 <sup>rd</sup> Year	12.0	2962685.0	35552220.0	(+/-)%
2.0	Supply of spares for repair and maintenance of machine as per Annexure-A including purchase	As per Annexure- A				(+/-)%
	and forwarding to site of machine work using contractor's own	1 <sup>st</sup> Year	1	19624500.0	19624500.0	(+/-)%
	resources complete.	2 <sup>nd</sup> Year	1	20605725.0	20605725.0	(+/-)%
		3 <sup>rd</sup> Year	1	21636011.25	21636011.25	(+/-)%
3.0	Any additional manpower and specialist for troubleshoot an major repair as required	Per shift 8Hrs	50.0	14750.0	737500.0	(+/-)%

#### SUMMARY OF PRICES

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TOTAL OF 1+2+3 159858156.25	
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Rate are inclusive GST/taxes etc, nothing shall be paid extra.



FORM No. 4

## SCHEDULE -1 SCHEDULE OF PRICES & TOTAL PRICES

Deleted



# FORMAT CONTRACT AGREEMENT

THIS AGREEMENT ("Agreement") is made at New Delhi on the day of BETWEEN

(1) Dedicated Freight Corridor Corporation of India Limited, incorporated under the laws of India and having its principal place of business at, Pragati Maidan Metro Station Building Complex, New Delhi, India – 110001 (hereinafter called 'the Employer'), and ---

-----, a company / corporation / JV incorporated under the

laws of -----having its principal place of business at ------having its principal place of business at ------

(hereinafter called "the Contractor").

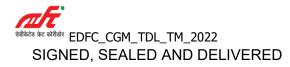
provisions therein.

WHEREAS in reference to a call for Tender for Operation & Maintenance of 09-3x-Dynamic-Temping Machine including supply of spares for 36 months (3 years) extendable up to 60 month (5 years) for section New Bhaupur to New Khurja of Eastern Dedicated Freight Corridor as per Tender paper No ..... at Annexure "A" here to, the Contractor has submitted a Tender hereto and whereas the said Tender of the contractor has been accepted for Operation & Maintenance of 09-3x-Dynamic-Temping Machine for 36 month (3 years) extendable up to 60 month (5 years) for section New Bhaupur to New Khuria of Eastern Dedicated Freight Corridor as per copy of the Letter of Acceptance of Tender No------ dated complete with enclosure at the accepted rates and at an estimated contract value of Rs.....(Rupees only). Now the agreement with witnesseth to that in consideration of the premises and the payment to be made by the Employer to the Contractor provided for herein below the Contractor shall supply all equipment and materials and execute and perform all works for which the said Tender of the Contractor has been accepted, strictly according to the various provisions in Annexure 'A' and 'B' hereto and upon such supply, execution and performance to the satisfaction of the Purchaser, the Purchaser shall pay to the

IN WITNESS WHEREOF the parties hereto have caused their respective Common Seals to be hereunto affixed/ (or have hereunto set their respective hands and seals) the day and year first above written.

contractor at the several rates accepted as per the said Annexure 'B' and in terms of the

For and on behalf of the Contractor	For and on behalf of the Employer
Signature of the authorized official	Signature of the authorized official
Name of the official	Name of the official
Stamp/seal of the Contractor	Stamp/Seal of the Employer



Witness       Name       Address         Witness       Name       Address         Enclosures:-       Image: Comparison of the second		By the said			By the said		
of: presence of: Witness Name Address Witness Name Address Enclosures:- 1. Annexure 'A' - Tender Papers No		Name			Name		
Witness Name Address Enclosures:- 1. Annexure 'A' - Tender Papers No 2. Annexure 'B' - Letter of Acceptance of Tender No Dated	on of:	behalf of the C	Contractor i		on behalf of	the Employer in the	presence
Enclosures:- 1. Annexure 'A' - Tender Papers No 2. Annexure 'B' - Letter of Acceptance of Tender No Dated	Wit	ness	Name	Address			
1. Annexure       'A'       -       Tender       Papers         No       2. Annexure 'B' - Letter of Acceptance of Tender No       Dated	Wit	ness	Name	Address			
No 2. Annexure 'B' - Letter of Acceptance of Tender No Dated	En	closures:-					
					-		Papers
					ender No		Dated



Name of the Bank

Bank Guarantee Bond No

Managing Director/ DFCCIL

Acting through (Designation Dated and address of contract signing authority)

# PERFORMANCEGUARANTEE BOND

In consideration of the Managing Director/ DFCCIL acting through

(Designation & Address of Contract Signing Authority), Dedicated Freight Corridor Corporation of India Limited, New Delhi hereinafter called "DFCCIL") having agreed under the terms and conditions of agreement/Contract Acceptance letter No. dated made between (Designation & address of contract signing Authority) and (hereinafter called "the said contractor(s)" for the work (hereinafter called "the said agreement") having agreed for submission of a irrevocable Bank Guarantee Bond for Rs.....(Rs words..... in only) as а performance security Guarantee Bond from the contractor(s) for compliance of his obligations in accordance with the terms & conditions in the said agreement.

2. We (indicate the name of the bank, further agree that (and promise) to pay the amounts due and payable under this guarantee without any demur merely on a demand from the Government through the GROUP GENERAL MANAGER/ FINANCE Dedicated Freight Corridor Corporation of India Limited, New Delhi or (Designation & Address of contract signing authority) DFCCIL, stating that the amount claimed is due by way of loss or damage caused to or would be caused or suffered by the Government by reason of any breach by the said contractor of any of the terms or conditions contained in the said agreement or by reason of the contractor failure to perform the said agreement. Any such demand made on the Bank shall be conclusive as regards the amount due and payable by the Bank under this guarantee. However, our liability under this guarantee shall be restricted to an amount not exceeding Rs.....(Rs...... only)

3 (a) We, (indicate the name of Bank) further undertake to pay to the Government any money so demanded notwithstanding any dispute or dispute raised by the contractor (s) in any suit or proceeding pending before any court or Tribunal relating to liability under this present being absolute and unequivocal.

(b) The payment so made by us under this bond shall be valid discharge of our liability for payment there under and the contractor(s) shall have no claim against us for making such payment.

4. We, (indicate the name of bank) to further agree that the guarantee herein contained shall remain in full force and effect during the period that would be taken for the performance of the said agreement and that it shall continue to be enforceable till all



the dues of the Government under or by virtue of the said agreement have been fully paid and its claims satisfied or discharged by

(Designation & Address of contract signing authority) on behalf of the Government, certify that the terms and conditions of the said agreement have been fully and properly carried out by the said contractor (s) and accordingly discharges this guarantee.

5. (a) Not withstanding anything to the contrary contained herein the liability of the bank under this guarantee will remain in force and effect until such time as this guarantee is discharged in writing by the Government or until (date of validity/extended validity) whichever is earlier and no claim shall be valid under this guarantee unless notice in writing thereof is given by the Government within validity / extended period of validity of guarantee from the date aforesaid.

(b) Provided always that we (indicate the name of the Bank) unconditionally undertakes to renew this guarantee to extend the period of guarantee form year to year before the expiry of the period or the extended period of the guarantee, as the case may be on being called upon to do so by the Government. If the guarantee is not renewed or the period extended on demand, we (indicate the name of the Bank) shall pay the Government the full amount guarantee on demand and without demur.

6. We, (indicate the name of Bank) further agree with the Government that the Government shall have the fullest liberty without our consent and without effecting in any manner out of obligations hereunder to vary any of the terms and conditions of the said contract from time to time or to postpone for any time or from time to time any to the powers exercisable by the Government against the said contractor (s) and to forbear or enforce any of the terms and conditions of the said agreement and we shall not be relieved from our liability by reason of any such variation, or extension being granted to the said contractor (s) or for any bearance act or omission on the part of the Government or any indulgence by the Government to the said contractor (s) or by any such matter or thing whatsoever which under the law relating to sureties for the said reservation would relive us from the liability.

7. This guarantee will not be discharged by any change in the constitution of the Bank or the Contractor (s).

8. We, (indicate the name of the Bank) lastly undertake not to revoke this guarantee except with the previous consent of the Government in writing.

9. This guarantee shall be valid upto(Date of expiry of defect liability period plus 60 days beyond that). Unless extended on demand by Government. Notwithstanding anything to the contrary contained herein before, our liability under this guarantee is restricted to Rs. (Rs.....only) unless a demand under this guarantee is made on us in writing on or before ..... we, shall be

discharged from our liabilities under this guarantee thereafter.

Dated\_\_\_\_\_ the day of

for\_\_\_\_\_

(indicate the name of bank)

Signature of Bank Authorize official

(Name):



Designation: Full Address.

Witness:

1.

2.



#### STANDING INDEMNITY BOND FOR "ON ACCOUNT" PAYMENTS

#### (On paper of requisite stamp value)

We, M/s hereby undertake that we hold at our stores Depot/s at for and on behalf of the Managing Director/ DFCCIL acting in the Chief General Manager / DFCCIL/Tundla premises through the or his successor (hereinafter referred to as "The Employer") all materials for which "On Account" payments have been made to us against the Contract for ( DFCCIL also referred to as Group/s )on the section vide letter of Acceptance of Tender No..... dated .....and material

handed over to us by the employer for the purpose of execution of the said contract, until such time the materials are duly erected or otherwise handed over to him.

We shall be entirely responsible for the safe custody and protection of the said materials against all risk till they are duly delivered as erected equipment to the employer or as he may direct otherwise and shall indemnify the employer against any loss /damage or deterioration whatsoever in respect of the said material while in our possession and against disposal of surplus materials. The said materials shall at all times be open to inspection by any officer authorized by the Chief General Manager/General Manager/DFCCIL/Tundla in charge of Dedicated Freight Corridor Corporation of India Limited (Whose address will be intimated in due course).

Should any loss, damage or deterioration of materials occur or surplus material disposed off and refund becomes due, the Employer shall be entitled to recover from us the 85% of supply portion of Part IV, Chapter – II (Form - 4) to the Contract (as applicable) and also compensation for such loss or damage if any long with the amount to be refunded without prejudice to any other remedies available to him by deduction from any sum due or any sum which at any time hereafter becomes due to us under the said or any other Contract.

#### Dated this day day of 2020

for and on behalf of M/s (Contractor) Signature of witness Name of witness in Block letter. Address.





#### ECS / NEFT / RTGS MANDATE FORM

Date :- To,

GM (F) / GGM (F)

DFCCIL, New Delhi.

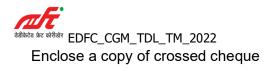
Sub : ECS / NEFT / RTGS payments

We refer to the ECS / NEFT / RTGS set up by DFCCIL for remittance of our payments using RBI's NEFT / RTGS scheme, our payments may be made through the above scheme to our under noted account.

Name of Bank	
Name of City	
Bank Code No	
Name of Bank Branch	
Branch Code No	
Address of Bank Branch	
Telephone Number of Bank Branch	
Fax No of Bank Branch	
Name of customer / Tenderer as per account	
Account Number of Tenderer appearing on cheque book	
Type of Account (S. B. / Current / Cash credit)	
IFSC code for NEFT	
IFSC code for RTGS	
9-Digit-code number of the bank and branch appearing on the MICR cheque issued by the bank.	
Details of Cancelled Cheque leaf	
Telephone no of tenderer	
Cell Phone Number of the tenderer to whom details with regard to the status of bill submitted to Accounts Office i.e Co6 & Co7 & Cheque Purchase Orders particulars can be intimated through SMS	
Tenderer's E - mail ID	

Confirmed by Bank signature of tenderer With stamp and address

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FORM No. 9

## DRAFT MEMORANDUM OF UNDERSTANDING (MOU)For JOINT VENTURE PARTICIPATION BETWEEN

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FORM No. 10

DRAFT FORMAT OF JOINT VENTURE AGREEMENT



# PRO-FORMA LETTER OF PARTICIPATION FROM EACH PARTNER OF JOINT VENTURE (JV)



# FORMAT FOR POWER OF ATTORNEY FOR AUTHORISED SIGNATORY OF JOINT VENTURE (JV) PARTNERS



FORMAT FOR POWER OF ATTORNEY TO LEAD PARTNER OF JOINT VENTURE  $(\mathsf{JV})$ 



Registered Acknowledgement Due

### PROFORMA FOR TIME EXTENSION

No. Dated :

Sub : (i) (name of work).

(ii) Acceptance letter no.

(iii) Understanding/Agreement no.

Ref: (Quote specific application of Contractor for extension to the date received)

Dear Sir,

1. The stipulated date for completion of the work mentioned above is

.From the progress made so far and the present rate of progress, it is unlikely that the work will be completed by the above date (or 'However, the work was not completed on this date').

2. Expecting that you may be able to complete the work, if some more time is given, the competent authority, although not bound to do so, hereby extends the time for completion from to \_\_\_\_\_\_.

3. Please note that an amount equal to the liquidated damages for delay in the completion of the work after the expiry of (give here the stipulated date for completion with/without any penalty fixed earlier)will be recovered from you as mentioned in Clause, 17-B of the Standard General Conditions of Contract for the extended period, notwithstanding the grant of this extension. You may proceed with the work accordingly.

4. The above extension of the completion date will also be subject to the further condition that no increase in rates on any account will be payable to you.

5. Please intimate within a week of the receipt of this letter your acceptance of the extension of the conditions stated above.

6. Please note that in the event of your declining to accept the extension on the above said conditions or in the event of your failure after accepting or acting upto this extension to complete the work by (here mention the extended date), further action will be taken in terms of Clause 62 of the Standard General Conditions of Contract.

#### Yours faithfully



For and on behalf of the Employer Name of the Official:-Stamp/Seal of the Employer



### CERTIFICATE OF FITNESS

- 1. (a) Serial Number
  - (b) Date
- 2. Name of person examined
- I certify that I have personally examined (name)
- 3. Father's Name: son/daughter of , residing at
- 4. Sex
- 5. Residence:
- 6. Date of birth, if available, and/or certified age
- 7. Physical fitness
- 8. Identification marks
- 9. Reasons for:
- (a) refusal to grant certificate, or
- (b) revoking the Certificate

Signature or Left Hand Thumb Impression of the person Examined

Signature of Certifying Surgeon

Note :In case of physical disability, the exact details of the cause of the physical disability should be clearly stated



FORM No. 16 Registered Acknowledgement Due

PROFORMA OF 7 DAYS NOTICE DFCCIL (Without Prejudice) To M/s

Dear Sir,

Contract Agreement No. In connection with

1. In spite of repeated instructions to you by the subordinate offices as well as by this office in various letters of even no. , dated ; you have failed to start work/show adequate progress and/or submit detailed programme for completing the work.

2. Your attention is invited to this office/Chief Engineer's office letter no.

	, dateo	t			
in	reference	to	your	representation,	dated

3. As you have failed to abide by the instructions issued to commence the work/to show adequate progress of work you are hereby given 7 days' notice in accordance with Clause 62 of Standard General Conditions of Contract to commence works / to make good the progress, failing which further action as provided in Clause 62 of the Standard General Conditions of Contract viz. to terminate your Contract and complete the balance work without your participation will be taken.

Kindly acknowledge receipt.

Yours faithfully



For and on behalf of the Employer Name of the Official:-

Stamp/Seal of the Employer



Registered Acknowledgement Due

PROFORMA OF 48 HRS. NOTICE DFCCIL

(Without Prejudice) To

M/s

Dear Sir,

Contract Agreement No.

In connection with

1. Seven days' notice under Clause 62 of Standard General Conditions of Contract was given to you under this office letter of even no., dated ; but you have taken no action to commence the work/show adequate progress of the work.

2. You are hereby given 48 hours' notice in terms of Clause 62 of Standard General Conditions of Contract to commence works / to make good the progress of works, failing which and on expiry of this period your above contract will stand rescinded and the work under this contract will be carried out independently without your participation and your Security Deposit shall be forfeited and Performance Guarantee shall also be encashed and consequences which may please be noted.

Kindly acknowledge receipt.

Yours faithfully

For and on behalf of the Employer Name of the Official:-

Stamp/Seal of the Employer



Registered Acknowledgement Due

PROFORMA OF TERMINATION NOTICE DFCCIL

(Without Prejudice)

No. Dated

То

M/s

Dear Sir,

Contract Agreement No.

In connection with

Forty eight hours (48 hrs.) notice was given to you under this office letter of even no., dated ; but you have taken no action to commence the work/show adequate progress of the work.

Since the period of 48 hours' notice has already expired, the above contract stands rescinded in terms of Clause 62 of Standard General Conditions of Contract and the balance work under this contract will be carried out independently without your participation. Your participation as well as participation of every member/partner in any manner as an individual or a partnership firm/JV is hereby debarred from participation in the tender for executing the balance work and your Security Deposit shall be forfeited and Performance Guarantee shall also be encashed.

Kindly acknowledge receipt.

Yours faithfully

For and on behalf of the Employer Name of the Official:-

Stamp/Seal of the Employer



FORM No. 19

# SAMPLE FORMAT OF BANK GUARANTEE FOR MOBILISATION ADVANCE (Clause 1.5.20, Part - I, Chapter - V)

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### PRE CONTRACT INTEGRITY PACT

This pre-bid pre-contract Agreement (hereinafter called the Integrity Pact) is made on----------day of the month of----- 20xx, between, on one hand, the DFCCIL acting through Shri ------ Designation of the officer, (hereinafter called the CLIENT, which expression shall mean and include, unless the context otherwise requires, his successors in office and assigns) of the First Part and M/s------Chief Executive Officer (hereinafter called the "BIDDER/SELLER" which expression shall mean and include, unless the context otherwise requires, his successors and permitted assigns) of the Second part.

WHEREAS the CLIENT proposes to procure (Name of the Stores/Equipment/Item, Name of the Consultancy Service, Name of Works Contract, Name of Services) and the [A] is willing to Offer/has offered for stores or works.

WHEREAS the [A] is a private company/ public company/ Government undertaking/ partnership/ registered export agency, constituted in accordance with the relevant law in the matter and the CLIENT is a PSU performing its functions or behalf of the President of India.

#### NOW, THEREFORE,

To avoid all forms of corruption by following a system that is fair, transparent and free from any influence/prejudiced dealings prior to, during and subsequent to the currency of the contract to be entered into with a view to:-

Enabling the CLIENT to obtain the desired said (Name of the Stores/Equipment/Item, Name of the Consultancy Service, Name of Works Contract, Name of Services) at a competitive price in conformity with the defined specifications by avoiding the high cost and the distortionary impact of corruption on public procurement, and

Enabling BIDDERs to abstain from bribing or indulging in any corrupt practice in order to secure [B] by providing assurance to them that their competitors will also abstain from bribing and other corrupt practices and the CLIENT will commit to prevent corruption, in any form, by its Officials by following transparent procedures.

The parties hereto hereby agree to enter into this Integrity Pact and agree as follows:

#### Commitments of the CLIENT

1.0 The CLIENT undertakes that no official of the CLIENT, connected directly or indirectly with the [B], will demand, take a promise for or accept, directly or through intermediaries, any bribe, consideration, gift, reward, favour or any material or immaterial benefit or any other advantage from the [A] either for themselves or for any person, organization or third party related to the [B], in exchange for an advantage in the bidding process, bid evaluation, contracting or implementation process related to the [B].



1.1 The CLIENT will, during the pre-contract stage, treat all BIDDERs alike, and will provide to all BIDDERs the same information and will not provide any such information to any particular BIDDER which could afford an advantage to

that particular [A] in comparison to other BIDDERs.

1.2 All the officials of the CLIENT will report to the appropriate Government office any attempted or completed breaches of the above commitments as well as any substantial suspicion of such a breach.

2. In case any such preceding misconduct on the part of such official(s) in reported by the [A] to the CLIENT with full, and verifiable facts and the same is prima facie found to be correct by the CLIENT, necessary disciplinary proceedings, or any other action as deemed fit, including criminal proceedings may be initiated by the CLIENT and such a person shall be debarred from further dealings related to the [B] process. In such a case while an enquiry is being conducted by the CLIENT the proceedings under the [B] would not be stalled.

Commitments of BIDDERS

3. The [A] commits itself to take all measures necessary to prevent corrupt practices, unfair means and illegal activities during any stage of its bid or during any pre-contract or post-contract stage) in order to secure the [B] contract or in furtherance to secure it and in particular committee itself to the following:-

3.1 The [A] will not offer, directly or through intermediaries, any bribe, gift, consideration, reward, favour, any material or immaterial benefit or other advantage, commission fees, brokerage or inducement to any official of the CLIENT, connected directly or indirectly with the bidding process, or to any person, organization or third party related to the (B] in exchange for any advantage in the bidding, evaluation, contracting and implementation of the [B].

3.2 The (A] further undertakes that it has not given, offered or promised to give, directly or indirectly any bribe, gift, consideration, reward, favour, any Material or immaterial benefit or other advantage, commission, fees, brokerage or inducement to any official of the CLIENT or otherwise in procuring the Contract or forbearing to do or having done any act in relation to the obtaining or execution of the [B] or any other [B] with the Government for showing or forbearing to show favour or disfavor to any person in relation to the [B] or any other [B] with the Government.

3.3 \* [A] shall disclose the name and address of agents and representatives and Indian [A] shall disclose their foreign principals or associates.

3.4 \* [A] shall disclose the payments to be made by them to agents/brokers or any other intermediary, in connection with this bid/contract.

3.5 The [A] further confirms and declares to the CLIENT that the [A] is the original manufacturer/integrator/authorized government sponsored export entity of the defense stores and has not engaged any individual or firm or company whether Indian or foreign



to intercede, facilitate or in any way to recommend to the CLIENT or any of its functionaries, whether officially or unofficially to the award of the [B] to the [A] nor has any amount been paid, promised or intended to be paid to any such individual, firm or company in respect of any such intercession, facilitation or recommendation.

3.6 The [A] either while presenting the bid or during pre-contract negotiations or before signing the [B] shall disclose any payments he has made, is committed to or intends to make to officials of the CLIENT or their family members, agents, brokers or any other intermediaries in connection with the [B] and the details of services agreed upon for such payments.

3.7 The [A] will not collude with other parties interested in the [B] to impair the transparency, fairness and progress of the bidding process, bid evaluation, contracting and implementation of the [B].

3.8 The [A] will not accept any advantage in exchange for any corrupt practice, unfair means and illegal activities.

3.9 The [A] shall not use improperly, for purposes of competition or personal gain, or pass on to others, any information provided by the CLIENT as part of the business relationship, regarding plans, technical proposals and business details, including

information contained in any electronic data carrier. The [A] also undertakes to exercise due and adequate care lest any such information is divulged.

3.10 The [A] commits to refrain from giving any complaint directly or through any other manner without Supporting it with full and verifiable facts.

3.11 The [A] shall not instigate or cause to instigate any third person to commit any of the actions mentioned above.

3.12 If the, [A] or any employee of the [A] or any person acting on behalf of the [A], either directly or Indirectly, is a relative of any of the officers of the CLIENT, or alternatively, if any relative of an officer of the CLIENT has financial. Interest/stake in the Bidder's firm, the same shall be disclosed by the [A] at the time of filling of tender.

The term 'relative' for this purpose would be as defined in section 6 of the companies act 1956.

3.13 The [A] shall not lend to or borrow any money from or enter into any monetary dealings or transactions, directly or indirectly, with any employee of the CLIENT.

4. Previous Transaction

4.1 The [A] declares that no previous transgression occurred in the last three years immediately before signing of this integrity Pact, with any other company in any country in respect of any corrupt practices envisaged hereunder or with any public sector enterprise in India or any Government department in India that could justify BIDDER's exclusion from the tender process.

4.2 The [A] agrees that if it makes incorrect statement on this subject, [A] can be disqualified from the ender process or the contact, if already awarded, can be terminated for such reason.

5. Earnest Money (Security Deposit)

5.1 While submitting commercial bid, the [A] shall deposit an amount \_\_\_ (to be specified in RFP) as Earnest Money/Security Deposit, with the CLIENT through any of the following instruments:-

i. Bank draft or a pay order in favor of \_\_\_\_\_

ii. A confirmed guarantee by an Indian nationalized bank, promising payment of the guaranteed sum to the CLIENT on demand within three working days without any demur whatsoever and without seeking any reasons whatsoever. The demand for payment by the CLIENT shall be treated as conclusive proof or payment.

iii. Any other mode or through any other instrument (to be specified in the RFP).

5.2 The earnest money/Security deposit shall be valid up to a period of five years or the contractual obligations to the complete satisfaction of both the BIDDER and the CLIENT, including warranty period, whichever is later.

5.3 In case of the successful [A] a clause would also be incorporated in the article pertaining to performance Guarantee in the [B] that the provisions of sanctions for violation shall be applicable for forfeiture of performance bond in case of a decision by client to forfeit the same without assigning any reason for imposing sanction for violation of this pact.

5.4 No interest shall be payable by CLIENT to the [A] on earnest Money/Security Deposit for the period of its currency.

6. Sanctions for Violations

6.1 Any breach of the aforesaid provisions by the [A] or any one employed by it or acting on its behalf (whether with or without the knowledge of the [A] shall entitle the CLIENT to take all or any one of the following actions, wherever required :-

(i) To immediately call off the pre-contract negotiations without assigning any reason or giving any compensation to the [A]. However, the proceedings with the other BIDDER(s) would continue.

(ii) The earnest money deposit (in pre-contract stage) and/or security Deposit/performance Bond (after the [B] is signed) shall stand forfeited fully and the CLIENT shall not be required to assign any reason therefore.

(iii) To immediately cancel the [B], if already signed, without giving any compensation to the [A].

(iv) To recover all sums already paid by the CLIENT, and in case of an Indian [A] with interest thereon at 2% higher that the prevailing prime lending rate of state bank of India, while in case of a [A] from the country other that India with interest thereon at 2% higher than the LIBOR. If any outstanding payment is due to [A] from the CLIENT in connection with any other [B], such outstanding payment could also be utilized to recover the aforesaid sum and interest.

(v) To encash the advance bank guarantee and performance bond, if furnished by the [A], in order to recover the payments, already made by CLIENT, along with interest.

(vi) To cancel all or any other contracts with the [A]. The [A] shall be liable to pay compensation for any loss or damage to the Client resulting from such



cancellation/rescission and the client shall be entitled to deduct the amount so payable from the money(s) due to the [A].

(vii) To debar the [A] from participating in future bidding processes of the Government of India for a minimum period of five years, which may be further extended at the discretion of the CLIENT.

(viii) To recover all sums paid in violation of this pact by [A]) to any middleman or agent or broker with a view a view to securing [B] the contract.

(ix) In cases where irrevocable letters of credit have been received in respect of any [B] signed by the client with the [A], the shall not be opened.

(x) Forfeiture of Performance Bond in case of a decision by the client to forfeit the same without assigning any reason for imposing sanction for violation of this pact.

6.2 The client will entitled to take all or any of the actions mentioned at para 6.1(i) to (x) of this pact also on the commission by the [A] or any one employed by it or acting on its behalf (whether with or without the

knowledge of the [A], of an offence as defined in chapter IX of the Indian penal code, 1860 or prevention of Corruption Act, 1988 or any other statute enacted for prevention of corruption.

6.3 The decision of the CLIENT to the effect that a breach of the provisions of this pact has been committed by the [A] shall be final and conclusive on the [A]. However, the [A] can approach the Independent Monitor(s) appointed for the purposes of this Pact.

7. Fall Clause

7.1 The [A] undertakes that it has not supplied/is not supplying similar product/systems or subsystems at a price lower than that offered in the present bid in respect of any other Ministry/Department of the Government of India or PSU and if it is found at any stage that similar product/system or sub systems way supplied by [A] to any other Ministry/Department of the Government of India or a PSU at a lower price, then that very price, with due allowance for elapsed time, will be applicable to the present case and the difference in the cost would be refunded by the [A] to the CLIENT, if the [B] has already been concluded.

8. Independent Monitors

8.1 The CLIENT has appointed Independent Monitors (hereinafter referred to as Monitors) for this pact in consultant with the central vigilance commission (Names and addresses of the Monitors to be given)

8.2 the task of the Monitors shall be to review independently and objectively, whether and to what extent the parties comply with the obligations under this pact.



8.3 The monitors shall not be subject to instructions by the representatives of the parties and perform their functions neutrally and independently.

8.4 Both the parties accept that the Monitors have the right to access all the documents relating to the project/procurement, including minutes of meetings.

8.5 As soon as the Monitor notices, or has reason to believe, a violation of this Pact, he will so inform the Authority designated by the CLIENT

8.6 The BIDDER(s) accepts that the Monitor has the right to access without restriction to all Project documentation of the CLIENT including that provided by the BIDOER. The [A] will also grant the Monitor, upon his

request and demonstration of a valid Interest, unrestricted and unconditional access to his project documentation. The same is applicable to Subcontractors. The Monitor shall be und 'contractual obligation to treat the information and documents of the [A] with confidentiality.

8.7 The client will provide to the Monitor sufficient information about all meetings among the parties related to the Project provided such meetings could have an impact on the contractual relations between the parties. The parties will offer to the Monitor the option to participate in such meetings.

8.8 The monitor will submit a written report to the MD/DFCCIL within 8 to 10 weeks from the date of reference or intimation to him by the CLIENT/BIDDER and, should the occasion arise, submit proposal for correcting problematic situations.

9. Facilitation of Investigation

In case of any allegation of violation of any provisions of this Pact or payment of commission, the CLIENT or its agencies shall be entitled to examine all the documents including the Books of Accounts of the [A] and the [A] shall provide necessary information and documents in English and shall exte4nd all possible help for the purpose of such examination.

10. Law and Place of Jurisdiction

This pact is subject to Indian law. The place of performance and jurisdiction is the seat of the CLIENT.



#### 11. Other Legal Actions

The actions stipulated in this integrity pact are without prejudice to any other legal action that may follow in accordance with the provisions of the extant law in force relating to any civil or criminal proceedings.

12. Validity

12.1 The validity of this integrity pact shall be from date of its signing and extend upto 5 years or the complete execution of the [B] to the satisfaction of both the CLIENT and the [A] including warranty period, whichever is later. In case [A] is unsuccessful, this integrity pact shall expire after six months from the date of the signing of the [B].

12.2 Should one or several provisions of this pact turn out to be invalid; the remainder of this pact shall remain valid. In this case, the parties will strive to come to an agreement to their original intentions.

13. The parties hereby sign this integrity pact at on

.....

CLIENT BIDDER

Name of the officer CHIEF EXEUCTIVE OFFICER Designation

Deptt./Ministry/PSU Witness witness

Note:

[A]- To be replaced by BIDDER/Seller/Consultant/Consultancy firm/Service provider as the case was may be

[B]- To be replaced by contract/supply contract/consultancy contract/works contract as the case was may be.



FORM No. 21

# Declaration of Site Investigation



# FORMAT FOR AFFIDEVIT TO BE UPLODED BY TENDERER ALONGWITH THE TENDER DOCUMENT

(To be executed in presence of Public notary on non-judicial stamp paper of the value of Rs. 100/- The stamp paper has to be in the name of the tenderer)\*\* Tender Notice No.....

Name of Work:....

I (Name and designation)\*\* appointed as the

attorney/authorized signatory of the tenderer (including its constituents), M/s

..... (hereinafter called the tenderer) for the purpose of the

Tender documents for the work of .....

.....as per the tender No of DFCCIL, do hereby

solemnly affirm and state on the behalf of the tenderer including its constituents as under:

1. I/we the tenderer(s), am/are signing this document after carefully reading the contents.

2. I/we the tenderer(s) also accept all the conditions of the tender and have signed all the pages in confirmation thereof.

3. I/we hereby declare that I/we have downloaded the tender document from the website www.ireps.gov.in. I/we have verified the content of the document from the website and there is no addition, no deletion or no alteration to the content of the tender document. In case of any discrepancy noticed at any stage i.e. evaluation of tenders, execution of work of final payment of the contract, the master copy available with the DFCCIL shall be final and binding up me/us.

4. I/we declare and certify that I/we have not made any misleading or false representation in the forms, statements and attachments in proof of the qualification requirements.

5. I/we also understand that my/our offer will be evaluated based on the document/credentials submitted along with the offer and same shall be binding upon me/us.

6. I/we declare that the information and documents submitted along with the tender by me/us are correct and I/we are fully responsible for the correctness for the information and documents, submitted by us.

7. I/we understand that if the certificates regarding eligibility criteria submitted by us are found to be forged/false or incorrect at any time during process for evaluation of



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tenders, it shall lead to forfeiture of the tender EMD besides banning of business for five year on entire DFCCIL. Further, I/we

(insert name of the tenderer)\*\* and all my/our constituents

understand that my/our offer shall be summarily rejected.

8. I/we also understand that if the certificates submitted by us found to be false/forged or incorrect at any time after the award of the contract, it will lead to termination of the contract, along with forfeiture of EMD/SD and

Performance guarantee besides any other provided in the contract including banning of business for five year on entire DFCCIL.

.....

DEPONENT SEAL AND SIGNATURE OF THE TENDERER VERIFICATION

I/we above named tenderer do hereby solemnly affirm and verify that the contents of my/our above affidavit are true and correct. Nothing has been concealed and no part of it is false.

DEPONENT SEAL AND SIGNATURE OF THE TENDERER Place:

Dated:

\*\*The contents in Italics are only for guidance purpose. Details as appropriate, are to be filled in suitably by tenderer.

Attestation before Magistrate/Notary Pubic



# **Bid Securing Declaration Form**

FORM No. 23



## Format for Competency Certificate

Certified that Shri \_\_\_\_\_\_ Supervisor/Operator of M/s. \_\_\_\_\_\_ has been trained and examined in safety measures to be followed while working in the vicinity of running DFCCIL track for the work \_\_\_\_\_\_. His knowledge has been found satisfactory and he is capable of supervising the work safely.

This certificate is valid only for the work mentioned in this certificate only.

#### Signature and designation of the officer



## Annexure-A

Attached Separately.