

PROCEEDINGS OF

VIGILANCE AWARENESS WEEK-2014

DEDICATED FREIGHT CORRIDOR CORPORATION OF INDIA Ltd.

"COMBATING CORRUPTION – TECHNOLOGY AS AN ENABLER"

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PROCEEDINGS OF VIGILANCE AWARENESS WEEK-2014

On the occasion of the Vigilance Awareness Week-2014, following programme were held in the Head Office as well as in the Unit offices:-

1. Display of Banners:

Banners of Vigilance Awareness Week-14 were displayed in the Corporate Office/New Delhi as well as in all Unit offices. Photo of the banner is placed as under-



2. Pledge Taking Ceremony;

Corporate Office

As per schedule the pledge taking ceremony was held in the corporate office on 27th October, 2014. All the Directors/GGMs/GMs/DGMs/Managers and all other staff of DFCCIL participated in the function. Speaking on the occasion, Shri U.K. Lal, CVO/DFCCIL gave a message to all the officials that the main purpose of the Vigilance Awareness Week is to spread the awareness about the harmful effect of corruption. The attention was drawn to the main theme of the Vigilance Awareness Week of the year i.e. **"COMBATING CORRUPTION – TECHNOLOGY AS AN ENABLER"** in the organization.

Sharp at 11.00 A.M. CVO/DFCCIL read out the pledge and all the participants taken the oath. In the last the CVO sensitized about the transparency/integrity

in all the spheres of their activities in future also and to take the oath as to be read out.



Pledge taking ceremony at corporate office new Delhi



Unit Offices

In all the Units of Eastern Corridor & Western Corridor of DFCCIL the Vigilance Awareness Week was observed and pledge taking ceremony was held at 11.00 A.M. on 27th October, 2014. In this regard photographs sent by the CPM/Meerut, CPM/Ahmadabad, CPM/Ambala, CPM/Noida, and CPM/Ajmer are enclosed herewith.

CPM/Meerut



CPM/Ajmer



CPM/Ajmer



CPM/Ahmadabad



CPM/Allahabad



CPM/Ambala



CPM/Noida



CPM/Jaipur



CPM/Surat



CPM/Mugalsarai



CPM/Vadodara



CPM/Kolkata



CPM/Mumbai



CPM/Tundla

3. Vigilance Conference

A conference was organized on 30th October, 2014 in Corporate Office/New Delhi in which all the CPMs as well as all officials of corporate office participated therein. On this occasion Sh. Anil Singhal, Chief Technical Examiner was invited to address the participants on Vigilance Matters and System Improvements. The proceedings of the conference were initiated by Sh Vijender Singh, Dy CVO/DFCCIL with brief introduction of Sh. Anil Singhal, Chief Technical Examiner and Sh Singhal was welcomed by Sh U.K. Lal, CVO/DFCCIL by giving a bunch of flowers and recently joined MD, Sh Adesh Sharma was also welcomed on the occasion.

(A) Address by Shri U.K Lal, CVO/DFCCIL

In his opening speech, Sh U.K. Lal, CVO/DFCCIL heartily welcomed Sh. Anil Singhal, Chief Technical Examiner/CVC, MD/DFCCIL, all directors and all officers present in the conference on behalf of DFCCIL vigilance. Sh. U. K. Lal introduced Sh. Anil Singhal, Chief Technical Examiner/CVC to MD and other participants regarding his induction in Railway as IRSS, past assignments including the working in World bank and foreign assignments in Zambia, Shri Lanka & Bangladesh. Sh. U. K. Lal, CVO explained significance of observance of vigilance awareness week and the theme of this year **"COMBATING CORRUPTION – TECHNOLOGY AS AN ENABLER"** was also described in the conference. Sh U. K. Lal also invited all officers present in the conference for any doubt if they have regarding CVC Clarification, store etc.



Three points highlighted and emphasized by the CVO/DFCCIL in his message which are expected from all the participants being senior officers for betterment of the organization and DFCCIL given as under:-

- (i) It is expected from all of us to do preventive vigilance which is prime requirement of vigilance means every officer has to work as vigilance officer. It will not be feasible to deliver what is required from us unless we don't have the procedures & professional competence, Updation with knowledge and working of PMC and other consultants working for DFCCIL etc.
- (ii) To develop the belongingness to the organization among the subordinates, engineers, contractors and outsourced staff working with DFCCIL which can be developed by taking care of staff welfare activities such as PF deductions, insurance deduction & ensuring salary details of staff working for DFCCIL etc. The CVO emphasized that the project having the national importance may not be completed without developing the belongingness among the staff.
- (iii) There should be a dedicated grievance redressal mechanism and the response should be strong & quick so that the problems of people working with DFCCIL either staff or officers may get resolved as soon as possible. If they are satisfied, it will be helpful to DFCCIL for completing the project in time.

Sh U.K. Lal, CVO/DFCCIL also intimated in the conference that an Essay competition was also organized through the entries sought from the officials of DFCCIL on the subject of “**Combating Corruption – Technology as an enabler**” and ten entries were received from the officials of DFCCIL and the best three entries were suitably awarded.

In his closing remarks, CVO also expressed concern about delays and pointed out that delay can also be construed as vigilance angle and it was necessary that such delays are not allowed to occur in future. The participants were also informed that important circulars relating to CVC, CTE and Railway Board are available on DFC website which would help officers to familiarize themselves regarding various guidelines in project formulation and execution as well as office management.

(B) Address by Sh. Vijender Singh, Dy CVO/DFCCIL

Sh Vijender Singh, Dy CVO explained the importance of vigilance through a power point presentation. The presentation was mainly pictorial and intended to convey anti corruption message on the occasion of observance of vigilance awareness week.




It was explained through presentation that the corruption is a complex social, political and economic phenomenon that affects all countries and it is necessary to make people aware about the phenomenon and its consequences. He also explained that there is thin dividing line between corporate hospitality and bribery.

The meaning of CORRUPTION is as under-

WHAT IS CORRUPTION ??????

- C** ollection of money or commission
- O** mission of essentials in neglect.
- R** eurrence of serious mistakes.
- R** epetition of lame excuses.
- U** pholding incorrect meanings.
- P** rotection of wrong doers.
- T** emptation to kinds and coins.
- I** ndifference to rightful things.
- O** pportunistic lapses, sheer laziness.
- N** egligence of rules and system.



The common area of Corruption were also elaborated through presentation as-

- (i) Establishment matters
- (ii) Selections
- (iii) Tenders for works/Sales
- (iv) Execution of contracts

Dy CVO also explained in his presentation that how the vigilance awareness should be observed and what should be the focus of vigilance inspections. He emphasized on the technology and accountability in the project delivery. He also elaborated the area of concerns as under -

- (i) Slow progress of some Contracts/Works. Not completed even after several extensions.
- (ii) Delay in Decision making.
- (iii) Inadequate redressal of grievance of officials particularly relating to salaries & Perks.
- (iv) Implementation of ERP.
- (v) Utility shifting-agreement should be prepared.
- (vi) Non-implementation of e-tendering.

He concluded in the presentation that every officer him-self is a vigilance officer, Executive should not succumb to any pressure, always record reasons on file, intension should be clear & clean and proper planning before award of contract and execution of work. Through presentation, Dy CVO/DFCCIL gave the message that CORRUPTION never counts and everybody should say no to corruption.

(C) Address by Sh. Anil Singhal, Chief Technical Examiner/CVC

Speaking on the occasion, Sh Anil Singhal, Chief Technical Examiner/CVC stated regarding his experience in vigilance and invited the participants for any quarry with free feelings. CTE explained that how the technology can help in award of tenders which is the main topic of vigilance awareness week. He reiterated that all rules, regulations and procedures on award of tenders shall be put on web site for better transparency as per CVC circular issue in Nov'2006.



He also stated that even black listing of firms is done by Ministry of Railway though DFCCIL should put the black listed firms on web site. CTE emphasized on procurement database on website, fixing eligibility criteria for ensuring transparency. In his presentation, a real case study of a power project was described on the issue of eligibility criteria and emphasized to follow the existing CVC circulars on fixing the eligibility criteria. During his presentation, CTE stated that every organization should have a proper procedure for vendor registration preferably on line method and equal opportunity has to be given to all bidders during the bid process for transparency.

Sh Anil Singhal, Chief Technical Examiner/CVC explained pitfalls, remedial measures to avoid chance of corrupt practice through the real case study of purchase of shaft forging in his presentation.

(D) Address by Sh. Adesh Sharma, MD/DFCCIL

MD observed that corruption is a factor which affects the country's progress. He reiterated that transparency in procurement & contract awarding is required. He cautioned about pitfalls and formation of cartel among bidders forcing the owner to go for single tender in some cases. He gave example of how a careful dealing led to increase no. of bids and price reduction. He stressed that basis of estimates is important. Taking MRP as basis is not correct.



(E) Discussion

During the interactive session, following points came up for discussion:-

- (i) It was pointed out during the discussion that estimated quantity should not be changed after bid opening and the all the specific term & condition of the contract shall be framed well prior of the bid opening.
- (ii) The issue on the purchase policy was raised during discussion that CVC has not circulated/formulated the guidelines on purchase policy & there are piecemeal guidelines on different aspects. The PSUs/organizations have been forced to frame their own purchase policy which is at large from one organization to another. There is standard purchase policy prescribed by Ministry of Finance but that is only for Govt entities and not applies for PSUs, hence there is lot of consistency. CTE replied that uniformity in purchase policy is not possible as it depend upon organization to organization and hence organization has to frame their own purchase policy as per their requirement according to the peculiarity and need applying mind judicially which may serve the purpose of the organization and safeguard against the misuse & should got approved by Board of Directors.

- (iii) The issue of approved list of vendors was also raised during the discussion that inviting tender through approved vendor list should be avoided and allows only the web/open tenders which should be open to everybody and everyone may come meeting the laid down specifications. The vendor may not be discarded on the basis not existing on approved list. CTE explained that it is the organization to frame the policy regarding tender invitation and CVC don't insist to go for the tender through approved list, it is the organization to decide the method of tendering considering the requirement of the company which may serve the purpose through transparency. It is better to go for open tender for getting wide publicity and fair competition.
- (iv) The issue of putting the tender on website after finalization of tender was also raised during the discussion as it should cover the 75% of the contracts, whether it should be in value or in numbers. CTE replied that it should be in numbers and threshold value should be decided in such a manner that whatever you disclosed, it should be cover at least 75%.
- (v) The issue regarding following the terms and conditions of funding agencies (World bank & JICA) was also raised as tender conditions are framed as per the funding agencies and tender process of world bank & JICA is time tested. The persons working in DFCCIL are mostly from Indian Railway and facing difficulties in following & matching between the terms & conditions of the funding agencies and CVC. Whether it is possible to frame the uniform policy for avoiding the issue. CTE replied that CVC guidelines on the issue are available and uniformity is not possible which may club both terms & conditions of funding agencies and CVC guidelines. CTE has stated in the discussion that as far as cost is concern, the World Bank & JICA are the loaning agencies, after all money is yours so it is to safe the interest how to ensue the best value for money. So just because to follow these rule, please don't compromise on the loaning agencies. You can follow the process but at the same time it is to keep in mind that ultimately it is going on the money of yours, they are the loaning agencies only. Therefore organization (DFCCIL) has to see their interest for the best value of the money along with following the terms and conditions of loaning agencies.

(E) Vote of Thanks

Sh L. D. Bhoriya , DGM/Vig/DFCCIL thanked all the participants present on the occasion of vigilance awareness week. Sh. Anil Singhal, Chief Technical Examiner/CVC was specially thanked for sparing valuable time to address the DFCCIL officers on the topic "**Technology is as enabler**" on the occasion of vigilance awareness week.